

# CONDUCTING HUMAN SUBJECTS RESEARCH

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Responsible Conduct of Research

August 2015

# Overview

- Definition and Authority
- Background / Ethical foundations
- What requires IRB review
- Tips

# What is an IRB?

IRB = Institutional Review Board

A group registered with the federal government that is formally designated to review and monitor research involving human subjects.

# IRB Authority

## Federal regulations

- FDA: 21 CFR 56
- Federally-funded research: 45 CFR 46 (the Common Rule)

**An IRB shall review and have authority to approve, require modifications in (to secure approval), or disapprove all research activities.**

21 CFR 56.109(a), 45 CFR 46.109(a)

# Background

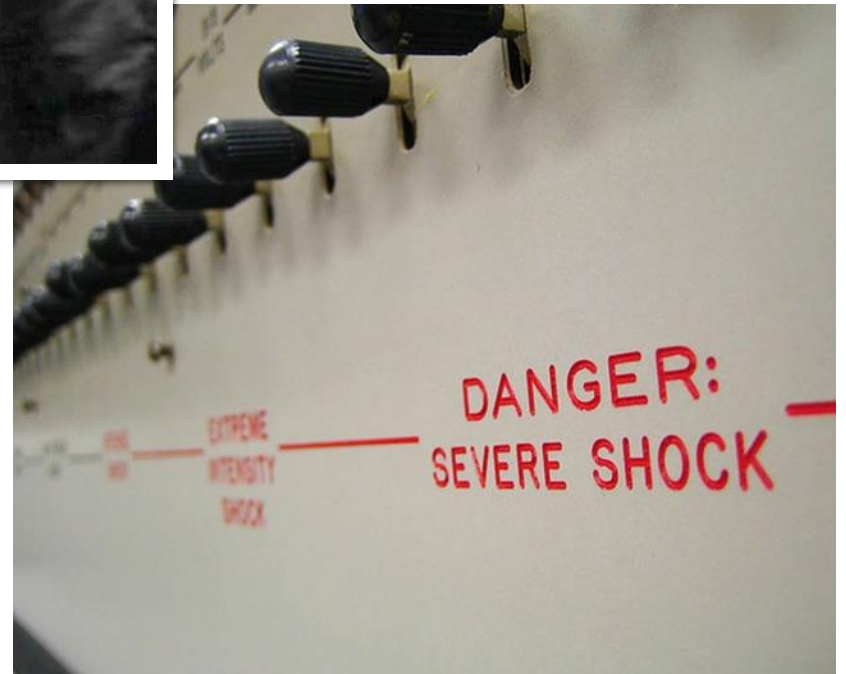
- Mostly reactive
- Nuremberg; Tuskegee syphilis study





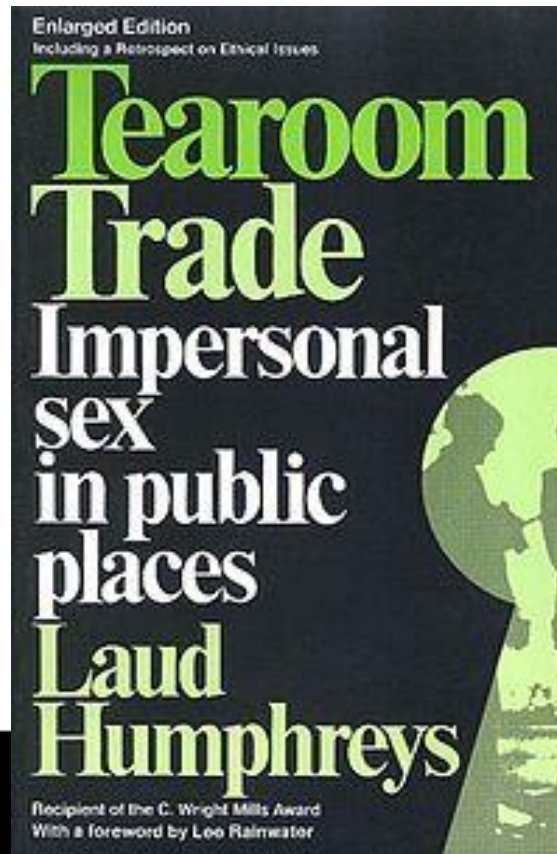
# Obedience to Authority

Stanley Milgram



# Tea Room Trade Study

Laud Humphreys



# Restrooms



# Ethical and Regulatory Foundations





# What requires IRB review?



**Designed, Systematic  
Investigation**

**Contribute to  
GENERALIZABLE  
knowledge**

# What requires IRB review?



## Human Subject

A living individual about whom an investigator obtains: (1) data through intervention or interaction with the individual OR (2) private, identifiable information



# IRB Criteria for Approval

- Risks are reasonable relative to benefits
- Risks to subjects are minimized
- Privacy & confidentiality protected
- Adequate safety monitoring plan
- Written informed consent obtained
- Consent process provides all required info
- Subject selection is equitable
- Additional safeguards for protected & vulnerable populations

Not  
always  
easy....



# Tip #1

**Records and specimens** can be “human subjects”, even if you are not obtaining them directly from the individuals.



# Tip #2

Consent is not just a document....

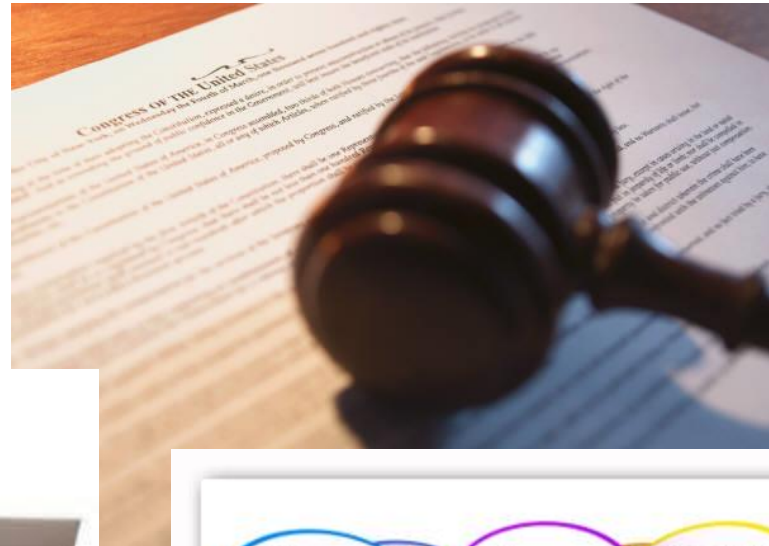


**It is a process.**



# Tip #3

“Risk” does not only mean physical risk



# Tip #4

**Vulnerable Populations = additional requirements**





## Tip #5

### Multiple IRB Involvement

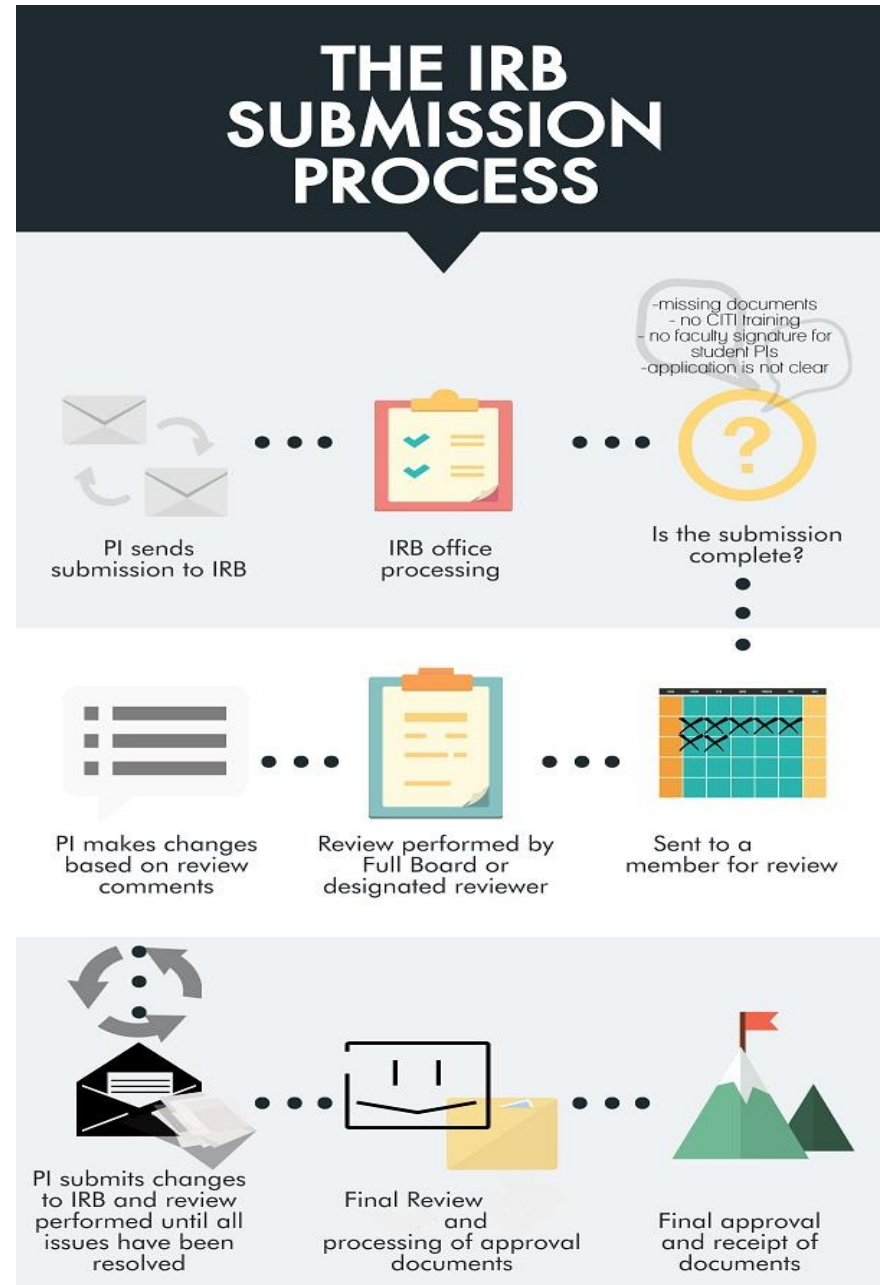
Each institution involved in the research must obtain IRB approval, from

- Its own IRB
- A commercial IRB
- The IRB of one of the other institutions



# Tip #6

IRB review takes  
time –  
plan ahead



## Tip #7

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