



# **An ensemble Kalman filter based on 3D GEOS-Chem transport model for estimating CO<sub>2</sub> and CH<sub>4</sub> surface fluxes from GOSAT XCO<sub>2</sub> and XCH<sub>4</sub> observations: Preliminary results**

Liang Feng, Annemarie Fraser, Paul Palmer,  
James Barlow

*University of Edinburgh*

Hartmut Bösch, Robert Parker

*University of Leicester*

JPL ACOS Team

# I. Ensemble Kalman Filter

## ➤ Top-down optimal flux estimation

$$\overset{\text{Posteriori}}{\mathbf{X}^a} = \overset{\text{Priori}}{\mathbf{X}^f} + \overset{\text{gain}}{\mathbf{K}} \left( \overset{\text{observation}}{\mathbf{y}_{obs}} - \overset{\text{model}}{\mathbf{H}\mathbf{X}^f} \right)$$

$\mathbf{x}$ : regional surface fluxes.

$\mathbf{y}_{obs}$ : measurements of atmospheric concentrations.

$\mathbf{H}$ : Jacobian (*GEOS-Chem transport model*).

$\mathbf{K} = \mathbf{P}\mathbf{H}^T(\mathbf{H}\mathbf{P}\mathbf{H}^T + \mathbf{R})^{-1}$  – Kalman gain matrix.

$\mathbf{P}$ : a priori uncertainty matrix

$\mathbf{R}$ : observation error matrix

## ➤ Ensemble Approach

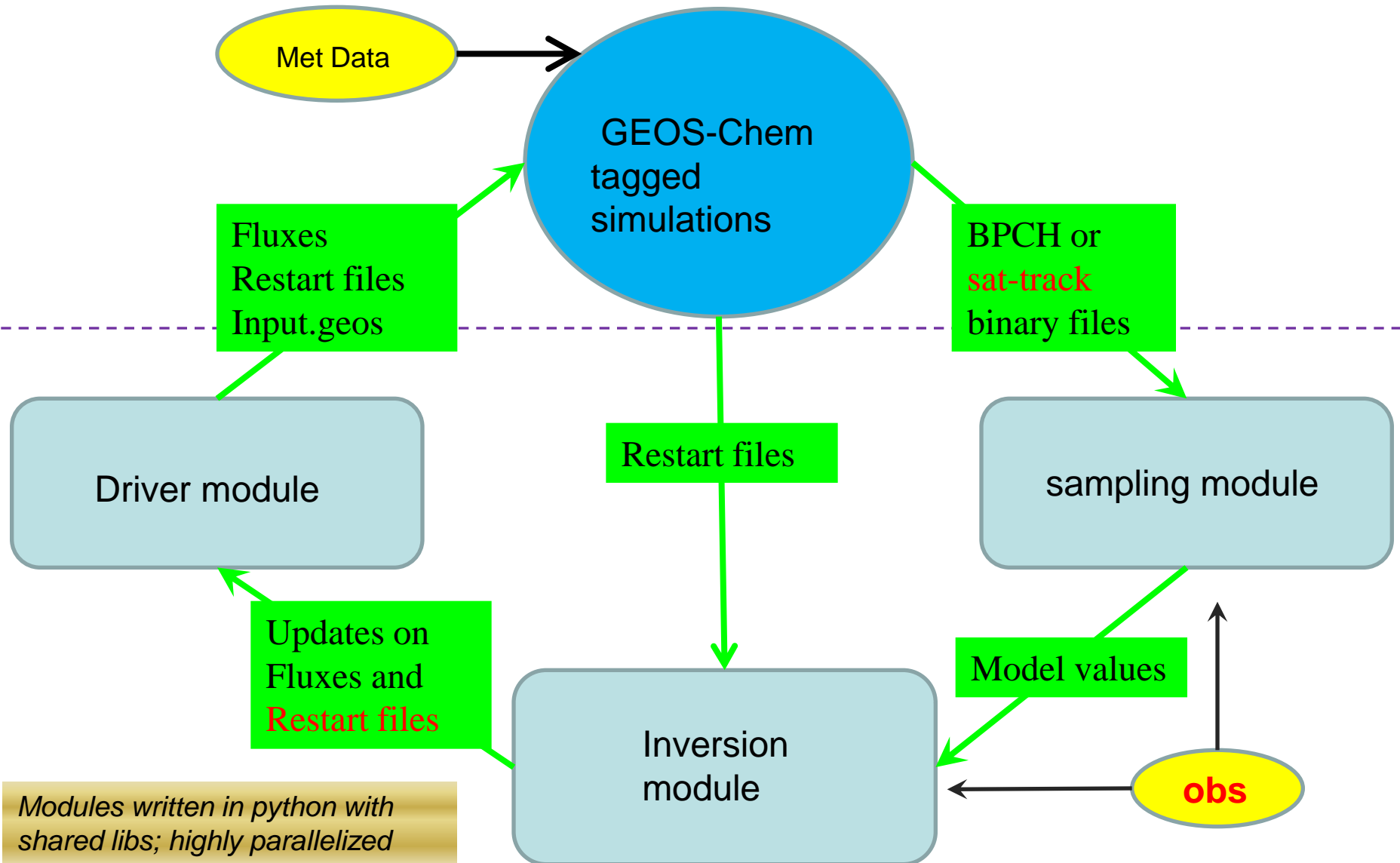
### Key features:

*(Feng et al., 2009 ; 2011)*

- *Represent a-priori uncertainties by an ensemble of flux perturbations.*
- *Use a lag windows to limit computational costs.*  
Any emission will only be constrained by observations within a following limited time period. After that period, it is considered to be well-known.
- *Project the ensemble of perturbations together with prior estimates into the observation space using GEOS-Chem.*
- Use Ensemble Transform Kalman Filter (ETKF) to determine posterior fluxes, and the associated uncertainties from digesting observations.

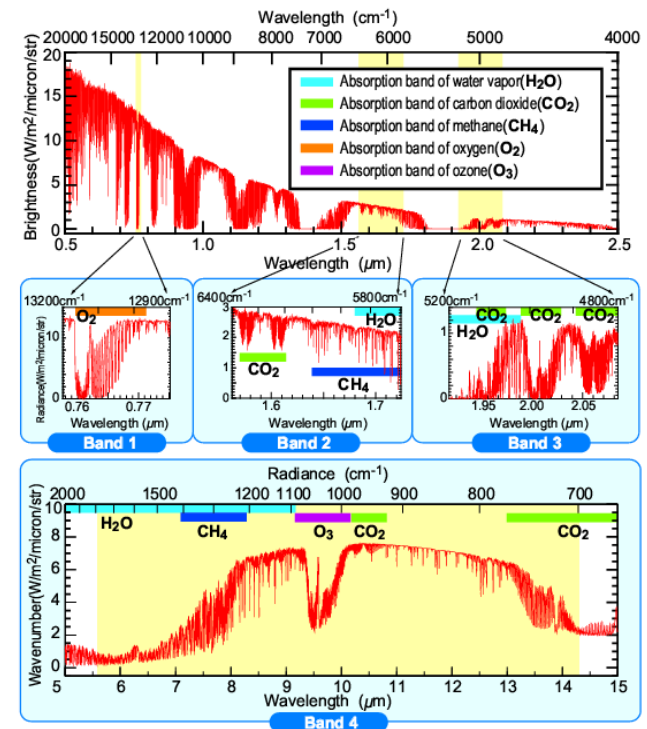
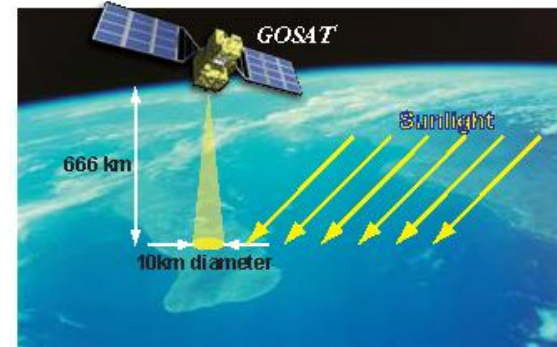
# ➤ Implementation

- *Highly flexible ; only small changes needed for GEOS-Chem codes; sufficient diagnostics*

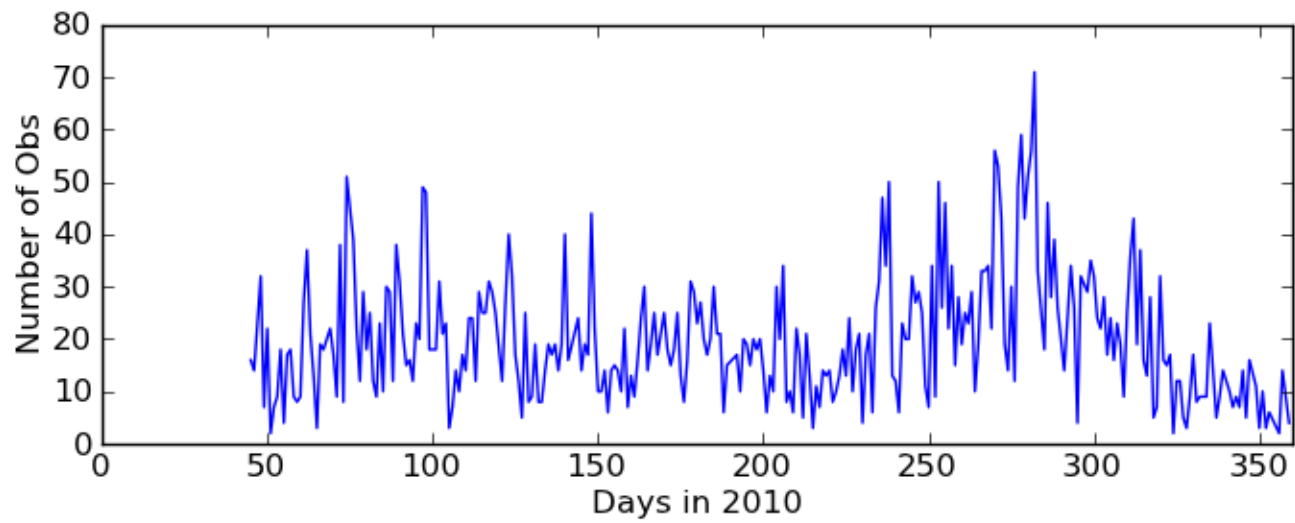
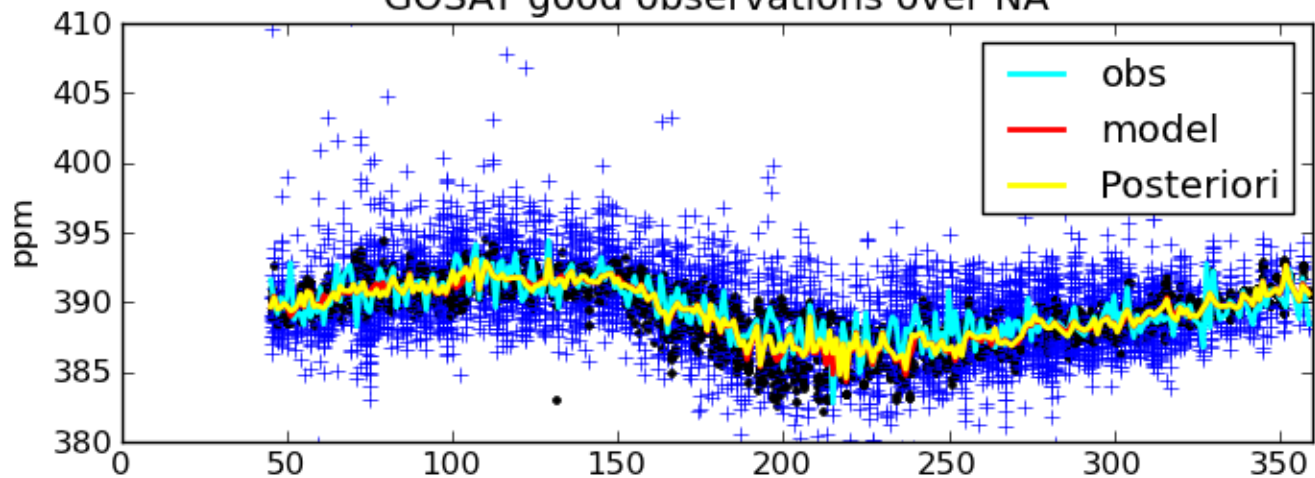


# II. GOSAT observations

- Greenhouse Gases Observing SATellite.
- GOSAT is the first dedicated GHG sensor.
- GOSAT provides shortwave-IR and thermal-IR observations of CO<sub>2</sub>, CH<sub>4</sub>, O<sub>3</sub>, H<sub>2</sub>O, and many minor species.
- We only use XCO<sub>2</sub> and XCH<sub>4</sub> L2 retrievals with quality flag='GOOD'.
- We apply bias corrections to GOSAT retrievals.
- We inflate observation errors and add spatial correlations.

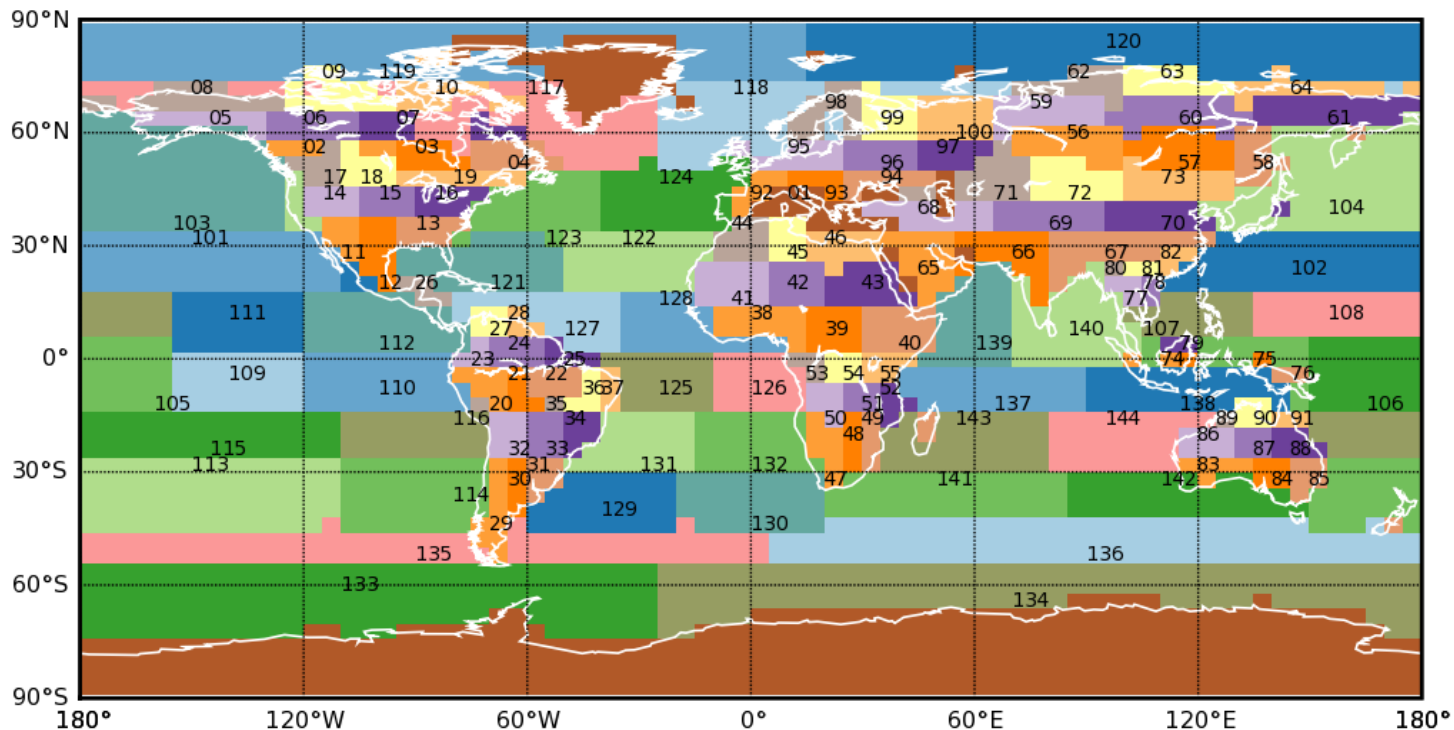


GOSAT good observations over NA



# III. Inversions for CO2 fluxes

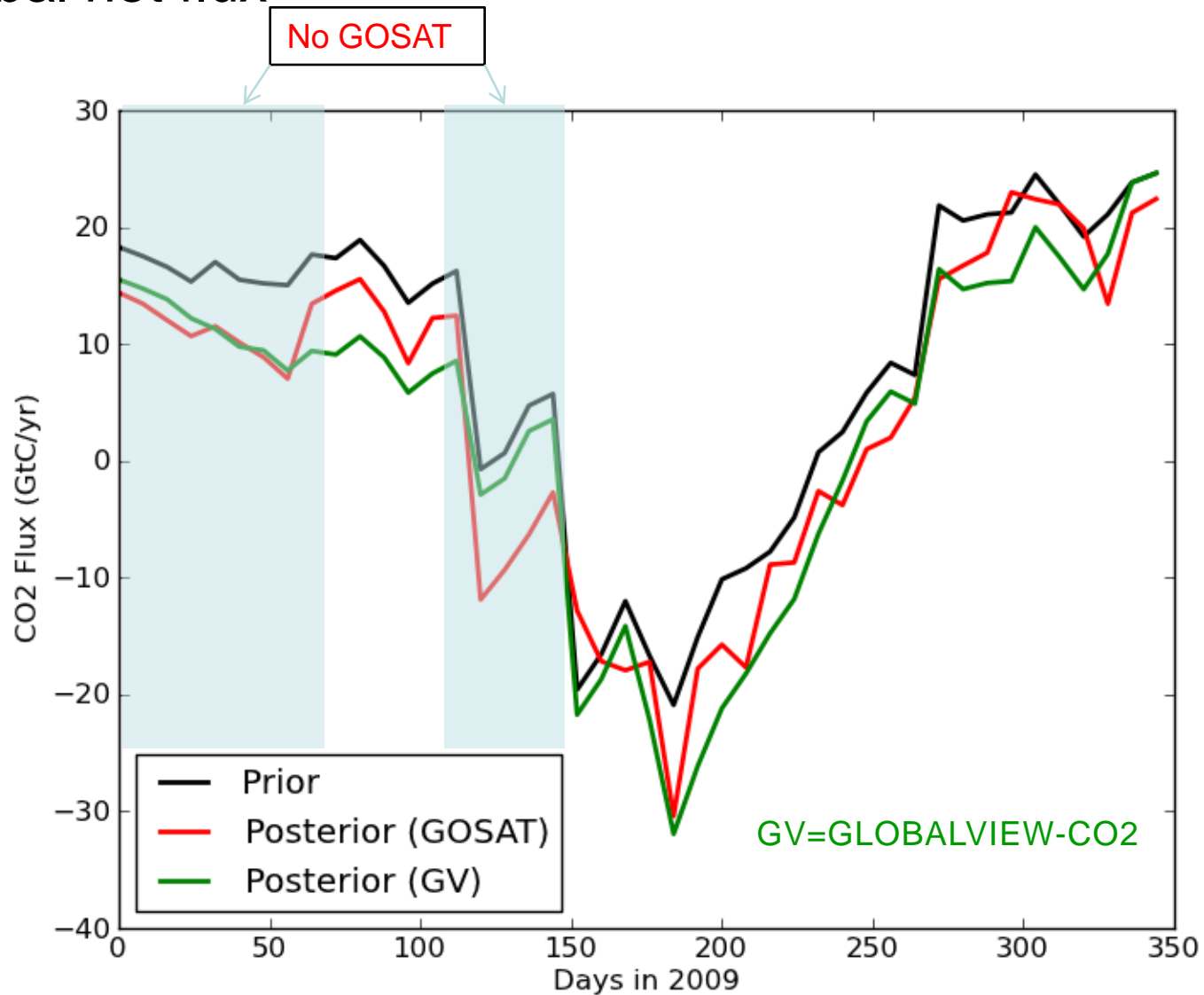
8-day fluxes from 9 11 land regions+4 11 ocean regions.



- Prior fluxes: Annual fossil fuel; Monthly biomass burning (GFEDv2); 3-h biosphere (CASA); Monthly ocean fluxes.
- Uncertainties based on TransCom 3 experiments.
- 112-day lag windows.

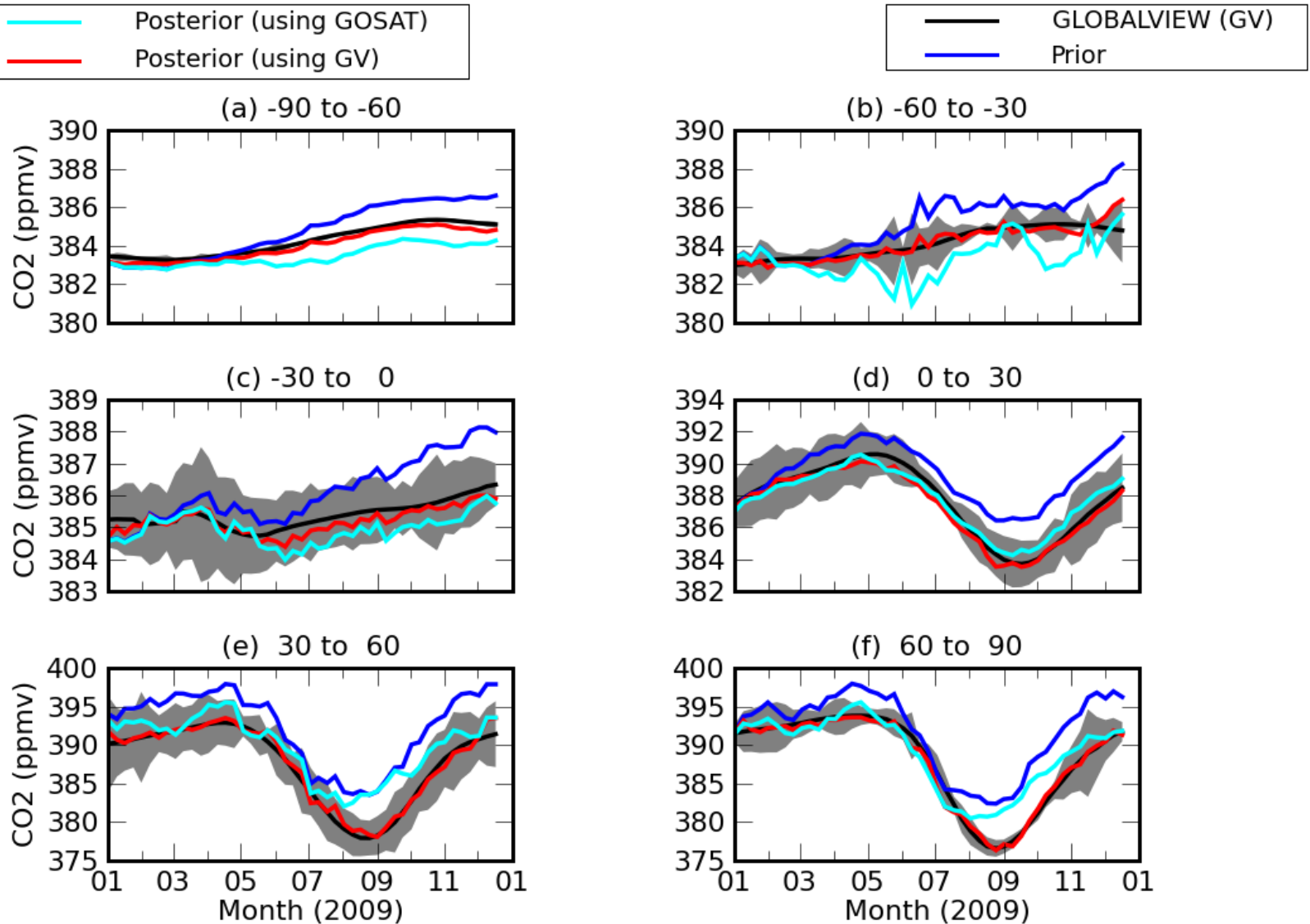
# IV. Preliminary Results

## ➤ Global net flux



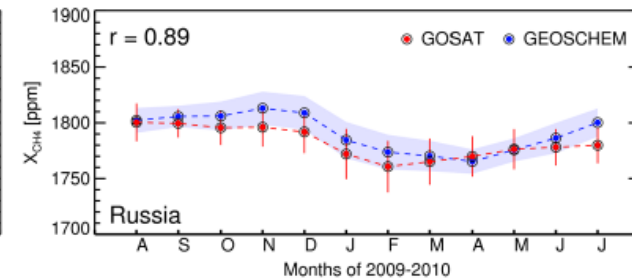
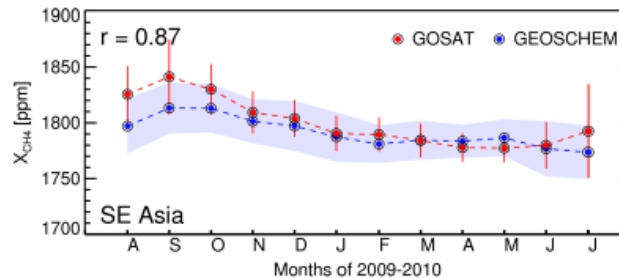
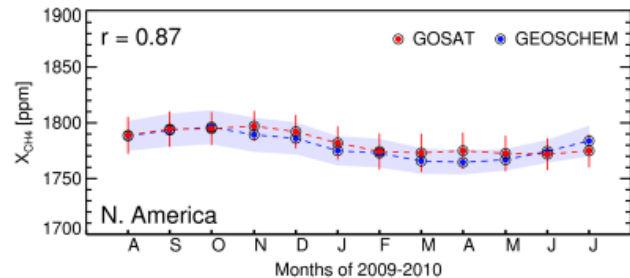
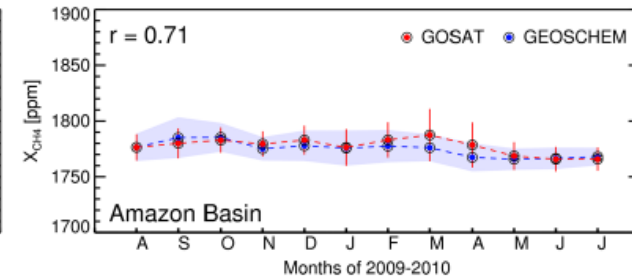
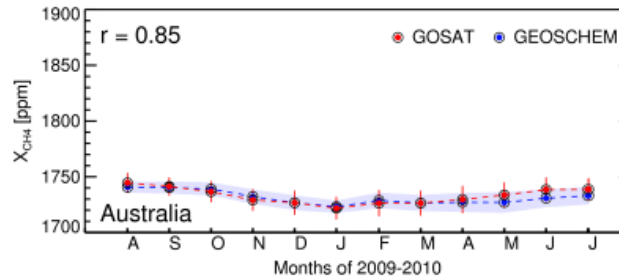
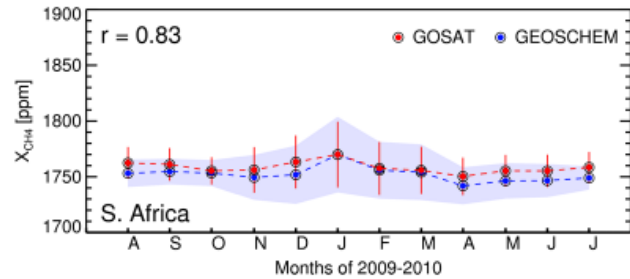
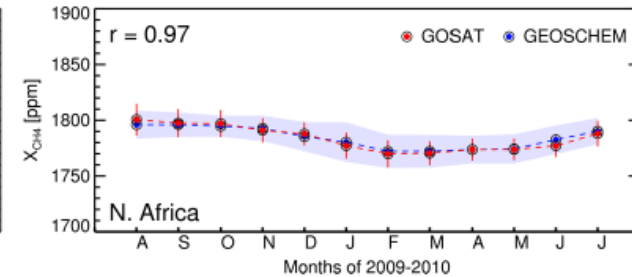
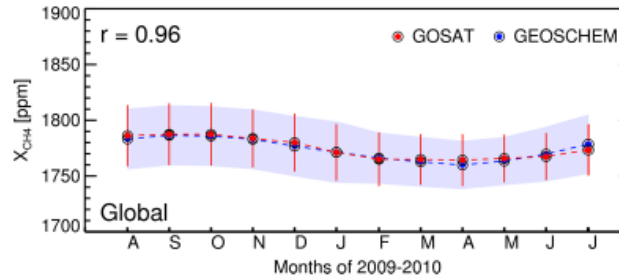
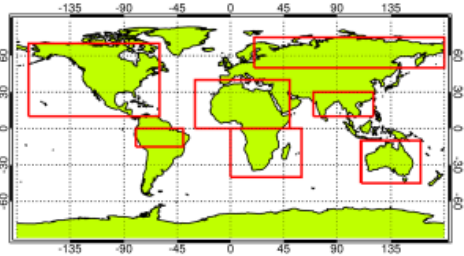


# ➤ Comparison with surface CO2 observations

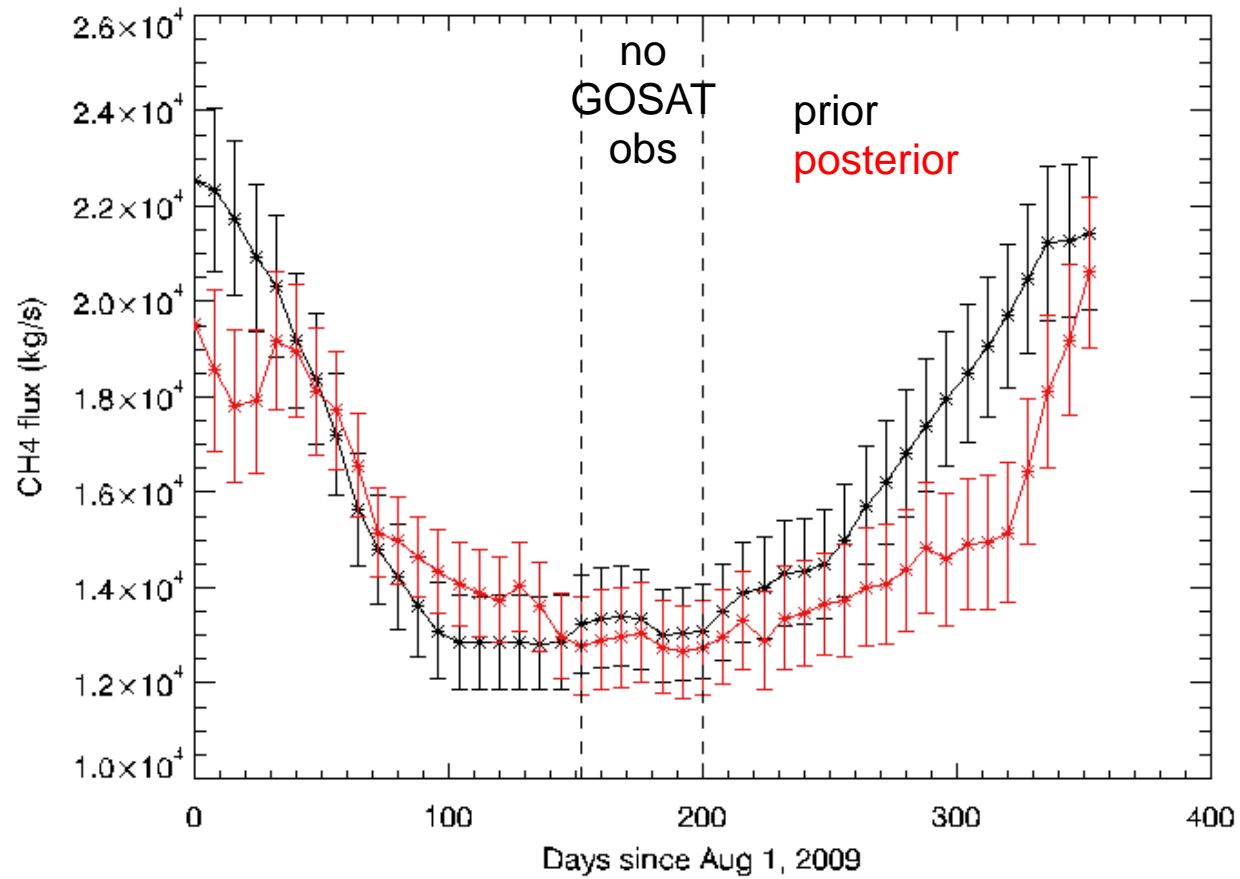


# V Preliminary results for CH<sub>4</sub> fluxes.

- Prior model values in good agreement with GOSAT

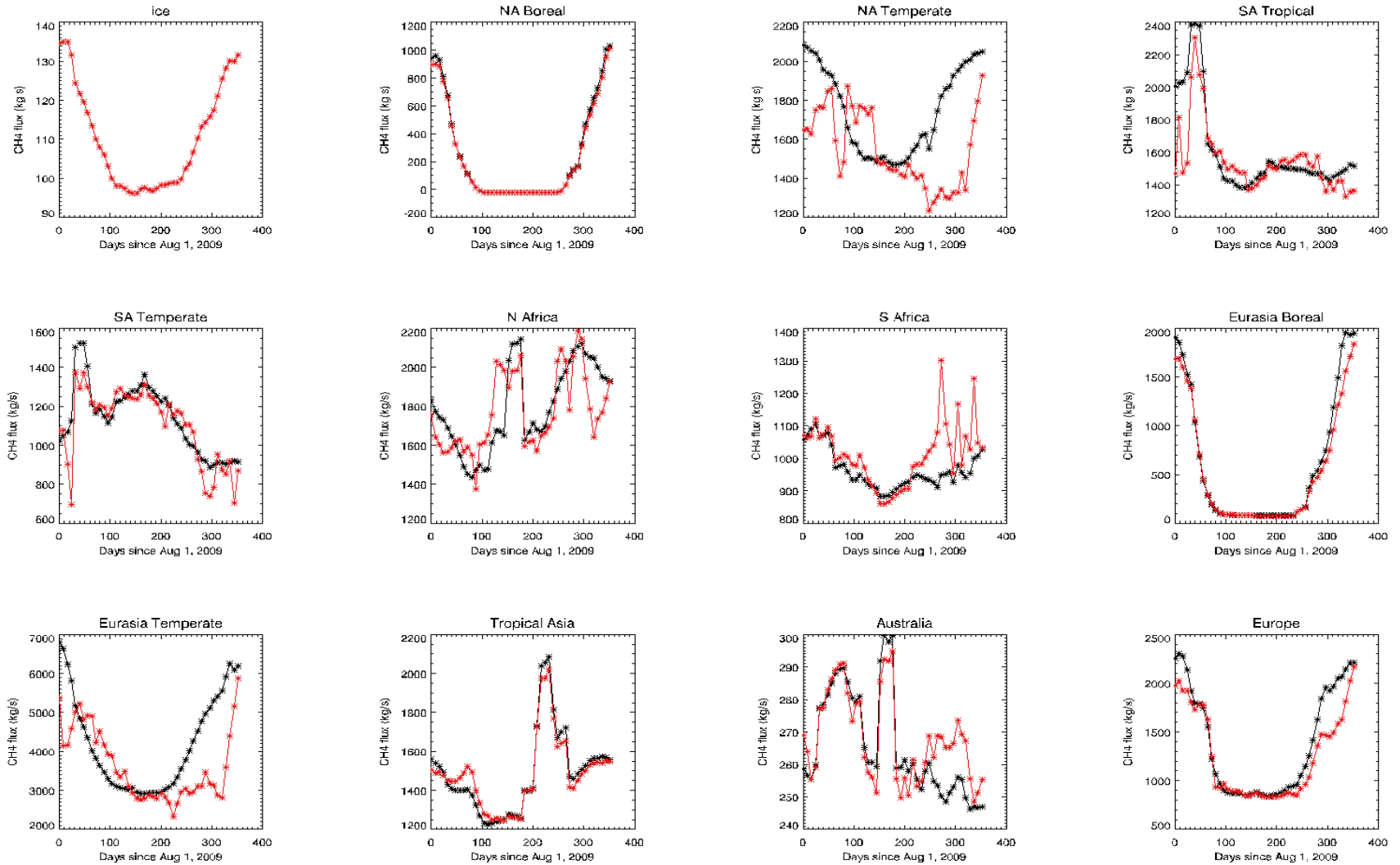


➤ PRELIMINARY posterior CH<sub>4</sub> Global Fluxes



# ➤ Fluxes over TransCom land regions

prior **posterior**



# Concluding Remarks

- We have developed an EnKF assimilation tool for interpreting  $X_{\text{CO}_2}$  and  $X_{\text{CH}_4}$  data.
- Preliminary results are encouraging.
- There are issues on how to choose and use current GOSAT retrievals .