

## Asian chemical outflow to the Pacific in spring: Origins, pathways, and budgets

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**Abstract.** We analyze the Asian outflow of CO, ozone, and nitrogen oxides ( $\text{NO}_x$ ) to the Pacific in spring by using the GEOS-CHEM global three-dimensional model of tropospheric chemistry and simulating the Pacific Exploratory Mission-West (PEM-West B) aircraft mission in February-March 1994. The GEOS-CHEM model uses assimilated meteorological fields from the NASA Goddard Earth Observing System (GEOS). It reproduces relatively well the main features of tropospheric ozone, CO, and reactive nitrogen species observed in PEM-West B, including latitudinal and vertical gradients of the Asian pollution outflow over the western Pacific although simulated concentrations of CO tend to be too low (possibly because biogenic sources are underestimated). We use CO as a long-lived tracer to diagnose the processes contributing to the outflow. The highest concentrations in the outflow are in the boundary layer (0–2 km), but the strongest outflow fluxes are in the lower free troposphere (2–5 km) and reflect episodic lifting of pollution over central and eastern China ahead of eastward moving cold fronts. This frontal lifting, followed by westerly transport in the lower free troposphere, is the principal process responsible for export of both anthropogenic and biomass burning pollution from Asia. Anthropogenic emissions from Europe and biomass burning emissions from Africa make also major contributions to the Asian outflow over the western Pacific; European sources dominate in the lower troposphere north of  $40^\circ\text{N}$ , while African sources are important in the upper troposphere at low latitudes. For the period of PEM-West B (February-March) we estimate that fossil fuel combustion and biomass burning make comparable contributions to the budgets of CO, ozone, and  $\text{NO}_x$  in the Asian outflow. We find that 13% of  $\text{NO}_x$  emitted in Asia is exported as  $\text{NO}_x$  or PAN, a smaller fraction than for the United States because of higher aerosol concentrations that promote heterogeneous conversion of  $\text{NO}_x$  to  $\text{HNO}_3$ . Production and export of ozone from Asia in spring is much greater than from the United States because of the higher photochemical activity.

### 1. Introduction

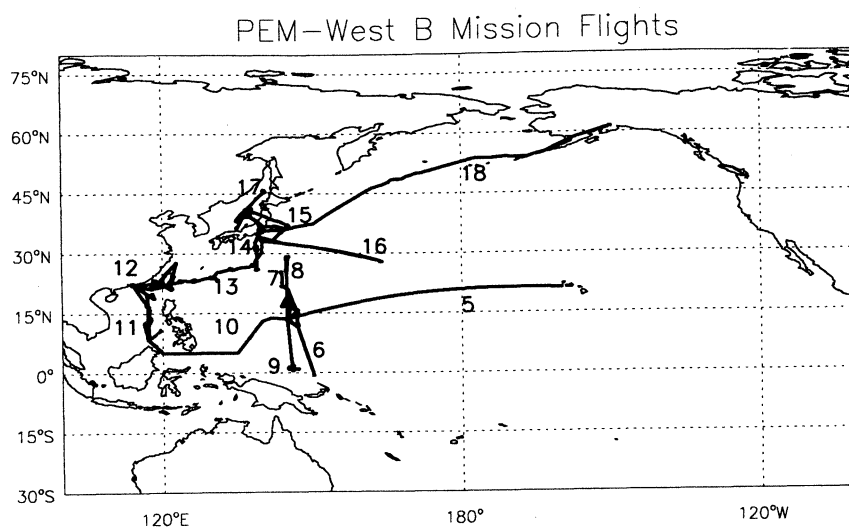
Rapid industrialization of eastern Asia is expected to have important implications for global atmospheric chemistry over the next decades [Bernsten *et al.*, 1996] and also perhaps for surface air pollution over North America [Bernsten *et al.*, 1999; Jaffe *et al.*, 1999; Jacob *et al.*, 1999]. Akimoto and Narita [1994] reported a 65% increase of nitrogen oxides ( $\text{NO}_x$ ) emissions in eastern Asia between 1975 and 1987, and van Aardenne *et al.* [1999] predict an increase of almost five fold in  $\text{NO}_x$  emissions from 1990 to 2020. By contrast, little change and even decrease can be expected for  $\text{NO}_x$  emissions in North America or western Europe due to emission controls [Environmental Protection

Agency (EPA), 1996], making Asia the more important anthropogenic emitter over the next 20 years. There is thus a need to better understand the chemical processing of emissions over Asia and the mechanisms for export of this pollution to the global atmosphere.

In this paper, we use a global three-dimensional (3-D) model of tropospheric chemistry driven by assimilated meteorological observations [Bey *et al.*, this issue] to examine Asian outflow over the western Pacific through simulations of the Pacific Exploratory Mission (PEM) West B aircraft mission in February-March 1994 [Hoell *et al.*, 1997]. The PEM-West B mission used a DC-8 aircraft operating out of Hong Kong, Japan, and Guam to survey the western Pacific atmosphere up to 12 km altitude (Figure 1). The mission was flown in late winter-early spring, the season of strongest Asian outflow to the Pacific [Merrill, 1989; Balkanski *et al.*, 1992].

Data from PEM-West B showed that the chemical outflow from Asia is a complex mixture. Koike *et al.* [1997] pointed out the diversity of  $\text{NO}_x$  origins: in addition to fossil

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**Figure 1.** Flight tracks of the PEM-West B mission (February-March 1994). Flights number are indicated.

fuel sources, there was evidence of significant contributions from aircraft exhaust and lightning. The hydrocarbon and halocarbon data of *Blake et al.* [1997] identified various chemical signatures in the Asian outflow, including fossil fuel combustion and biomass burning. *Liu et al.* [1999] and *Chan et al.* [2000] found that biomass burning taking place in Southeast Asia could explain enhancements of ozone concentrations in spring over Hong Kong. *Talbot et al.* [1997] examined correlations between various hydrocarbon, halocarbon, nitrogen oxides and ozone concentrations from PEM-West B and found aged air masses with an industrial signature which could have been advected from Europe or the Middle East. Moreover, the presence of high aerosol concentrations in the Asian outflow, including sulfate and nitrate aerosols as well as mineral aerosols [*Chen et al.*, 1997], further complicates the composition of the outflow and its evolution [*Zhang and Carmichael*, 1999].

Previous analyses of the Asian outflow have focused on quantifying the impact of anthropogenic Asian emissions on global tropospheric composition [*Berntsen et al.*, 1996] and on the composition of air masses reaching North America [*Jacob et al.*, 1999; *Berntsen et al.*, 1999; *Yienger et al.*, 2000]. More interest needs to be given to the Asian outflow in terms of quantification of the flux exported and the mechanisms involved in the export. Using a regional model, *Carmichael et al.* [1998] found that stratospheric intrusions as well as Asian outflow contribute significantly to ozone concentrations in surface air over Japan. *Yienger et al.* [2000] showed that convergence over Asia makes a major contribution to the Asian outflow over the western Pacific. In the present study, we focus on quantifying the Asian export of CO, NO<sub>x</sub> and ozone, which are of particular interest for driving global atmospheric chemistry. Our simulation uses the GEOS-CHEM global 3-D model of tropospheric chemistry with assimilated meteorological observations for 1994, and our evaluation of model results focuses on PEM-West B observations. We identify the major pathways and meteorological drivers for export of Asian pollution, esti-

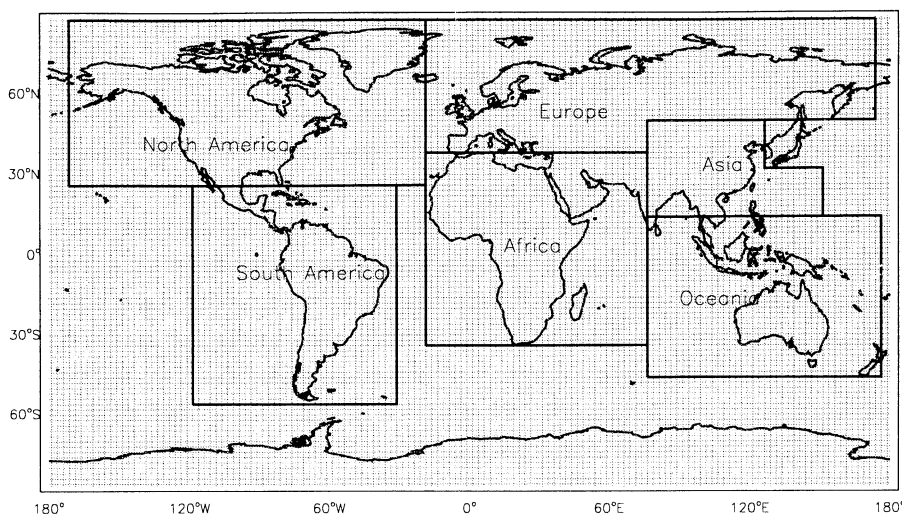
mate the role of chemical processing over the continent, and quantify the contributions from various sources including biomass burning and intercontinental transport to the Asian outflow over the western Pacific.

## 2. Model Description

The GEOS-CHEM model is a global model of tropospheric chemistry driven by assimilated meteorological observations from the Goddard Earth Observing System (GEOS) of the NASA Data Assimilation Office (DAO). A full description of the model is given by *Bey et al.* [this issue]. For the present work, we use meteorological fields for 1993 and 1994 (GEOS-1) which are provided with horizontal resolution of 2° latitude by 2.5° longitude and 20 sigma levels in the vertical, from the surface up to 10 hPa. For some of the work presented here, we regrid the GEOS data to a horizontal resolution of 4° latitude by 5° longitude which saves a factor of 8 in computation time.

The model includes 80 chemical species and carries 24 tracers to describe tropospheric O<sub>3</sub>-NO<sub>x</sub>-hydrocarbons chemistry. Emissions include anthropogenic activities, biomass burning, sources from the biosphere, and lightning. Anthropogenic emissions are distributed on the basis of inventories for 1985 and are scaled to 1994 levels on the basis of energy use statistics [*Bey et al.*, this issue]. Biomass burning emissions are from a climatological inventory with a monthly temporal resolution [*Wang et al.*, 1998]. Lightning emission of NO<sub>x</sub> occurs in conjunction with deep convective events in the GEOS data following the parameterizations of *Price and Rind* [1992] and *Pickering et al.* [1998]. Advection is computed with a flux-form semi-Lagrangian method described by *Lin and Rood* [1996]. Moist convection is computed using the GEOS convective, entrainment, and detrainment mass fluxes as described by *Allen et al.* [1996a, 1996b]. Full vertical mixing is assumed up to the GEOS-diagnosed mixing depth.

A global evaluation of the model is presented by *Bey*



**Figure 2.** Model grid ( $2^{\circ} \times 2.5^{\circ}$ ) and geopolitical source regions used for model analysis.

*et al.* [this issue], using meteorological fields for 1994. This evaluation includes comparisons with climatological and 1994 ozonesonde data, long-term and 1994 observations of CO at surface sites, aircraft observations of NO, PAN, HNO<sub>3</sub>, hydrocarbons and acetone, and the atmospheric lifetime of methylchloroform as a proxy of the global mean OH concentrations. The global evaluation shows that the main features of ozone concentrations in the troposphere are well captured by the model; diagnosed problems include a low seasonal amplitude in the middle troposphere at northern midlatitudes. Modeled nitrogen species (NO, PAN, and HNO<sub>3</sub>) are usually within a factor 2 of observed values although the model overestimates HNO<sub>3</sub>. The lifetime of methylchloroform against oxidation by tropospheric OH is 5.1 years in our model, as compared to a best estimate of 5.5 years by Spivakovsky *et al.* [2000]. Simulated CO concentrations are in general too low (by 5 to 20 ppb in most of the cases). As discussed by Bey *et al.* [2001], it is not clear whether this underestimate reflects excessive OH concentrations in the model or an undercounting of CO sources from oxidation of biogenic hydrocarbons.

We present here a more focused evaluation of the model with observations from PEM-West B, sampling the model along the aircraft flight tracks and for the specific flight day. We use for that purpose a version of the model including full O<sub>3</sub>-NO<sub>x</sub>-hydrocarbons chemistry and  $4^{\circ} \times 5^{\circ}$  horizontal resolution. The simulation is conducted from June 1993 to March 1994, starting from climatological values as initial conditions. The 7-month initialization from June 1993 to January 1994 effectively removes the effect of initial conditions, and results from February-March 1994 are used to compare with the observations.

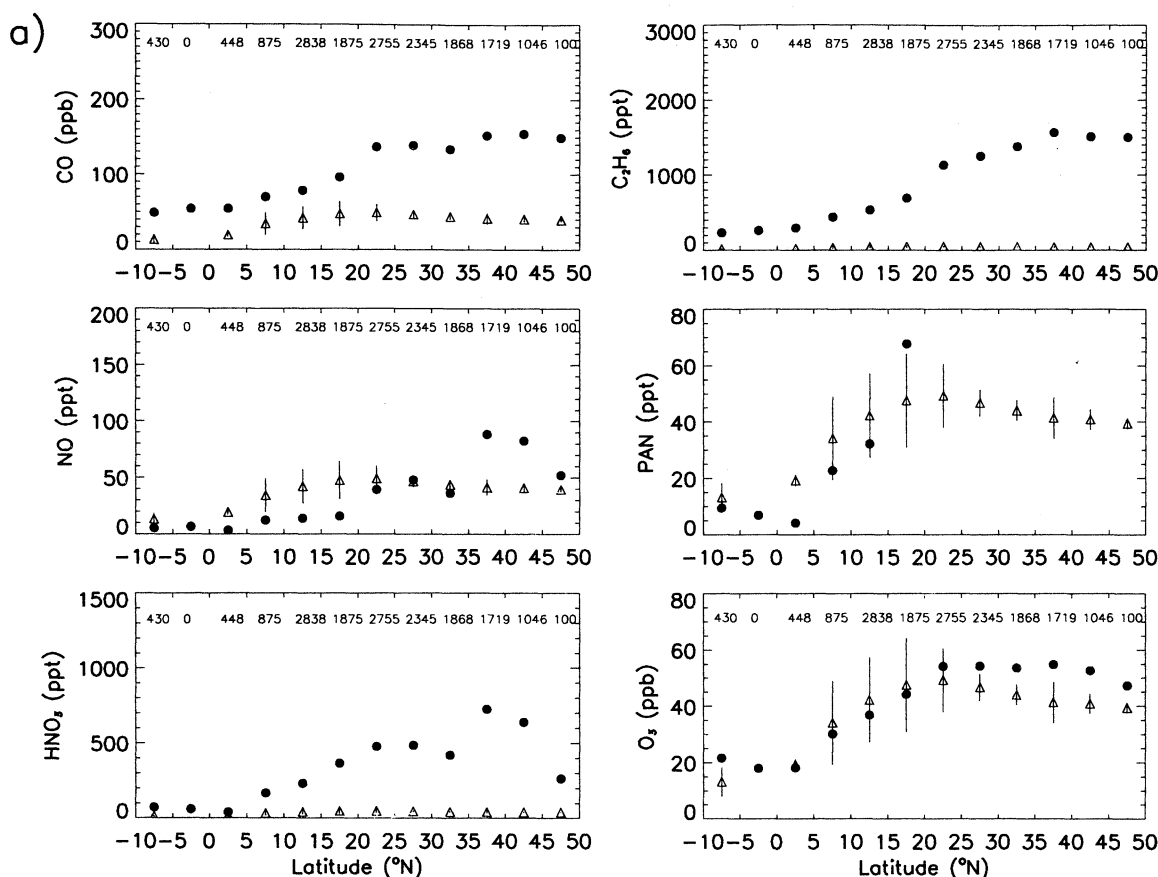
We also present in this paper a CO simulation with the original  $2^{\circ} \times 2.5^{\circ}$  horizontal resolution to better resolve the structure in the Asian outflow and to diagnose any failures of the coarser resolution in representing major features of transport. This CO-only simulation uses eight tagged CO tracers to resolve source regions contributing to Asian outflow: four

tracers for fuel combustion including fossil fuel and wood (North America, Europe, Asia, and rest of the world) and four tracers for biomass burning (South America, Africa, Asia, and rest of the world). The corresponding domains are given in Figure 2. Loss of CO by reaction with OH and production of CO from oxidation of isoprene and methane by OH (using molar yields of 1.25 and 1, respectively) are calculated using OH monthly mean fields generated with the standard simulation described previously. The source of CO due to oxidation of hydrocarbons other than methane and isoprene is relatively small and is ignored. By summing the concentrations of all CO tracers we reproduce closely the CO concentrations obtained in the standard full-chemistry simulation.

### 3. Comparison With Observations from the PEM-West B Mission

In comparing the model results with the PEM-West B aircraft observations, we sample the model along the flight tracks and for the specific flight days. We use 24-hour average model results for the flight days; considering the  $4^{\circ} \times 5^{\circ}$  spatial resolution of the model and the 6-hour temporal resolution of the GEOS meteorological data, any finer temporal detail in comparing model results to observations would be illusory. Additional evaluation of model results with time series of meteorological variables and CO concentrations measured at a Taiwan site during PEM-West B [Liu *et al.*, 1997] is presented in section 4 in the context of analysis of frontal passages.

The comparison between model results and the PEM-West B observations results is carried out in two ways. Figure 3 compares the latitudinal and longitudinal distributions of selected species (O<sub>3</sub>, CO, C<sub>2</sub>H<sub>6</sub>, NO, PAN, HNO<sub>3</sub>) in the PEM-West B observations and in the model in order to show the capability of the model over the whole region of interest. Observations were averaged over  $5^{\circ}$  latitude bands for the lower troposphere (below 6 km) (Figure 3a) and



**Figure 3.** Comparison of PEM-West B aircraft observations (triangles) with model concentrations (solid circles) as function of latitude in the (a) lower troposphere (0–6 km) and (b) upper troposphere (6–12 km). Observed concentrations include data from all flights and have been averaged over 5° latitude bands; model results are averaged over 5° latitude bands and over longitude bins corresponding to those of observations for the days of the flights. (c) Comparison of PEM-West B aircraft observations (triangles) with model concentrations (solid circles) as function of longitude in the lower troposphere (0–6 km) north of 25°N of latitude. Sampling of observed and modeled values is done in the same way as described in Figures 3a and 3b. The number of individual observations used in obtaining mean values for each bin is indicated in insets in the plot.

the upper troposphere (6–12 km) (Figure 3b). Longitudinal variation is shown for the lower troposphere for latitudes higher than 25°N (Figure 3c) where the continental outflow is maximum [Blake *et al.*, 1997; Talbot *et al.*, 1997]. There is little longitudinal variation in the upper troposphere, so those results are not shown. We also compared vertical profiles collected during each flight with daily mean values sampled in the model for the corresponding day and location of the flight. Two examples are given in Figure 4 which shows vertical profiles of modeled and observed concentrations of selected species ( $O_3$ , CO,  $C_2H_6$ , NO, PAN,  $HNO_3$ ) for flight 17 (which took place over the Sea of Japan in the region impacted by continental outflow) and for flight 7 (which took place between the equator and 25°N) (see Figure 1).

Observed concentrations of CO and ethane increase with latitude at all altitudes (Figures 3a and 3b) and decline slightly from west to east (Figure 3c) due to dilution of the Asian outflow. The enhancements of CO and ethane are strongest in the lower troposphere north of 25°N, where Asian outflow is most active [Blake *et al.*, 1997; Talbot *et al.*,

1997]. The model underestimates CO and ethane levels but it reproduces well the latitudinal and longitudinal gradients, including the enhancement due to continental outflow. The large underestimate of ethane in the lower troposphere north of 30°N suggests an underestimate of high-latitude sources from natural gas exploitation. The general underestimate of CO in our model has been discussed in section 2, but could also reflect here a regional underestimate of CO sources from biomass burning and wood fuel as discussed in section 4.2. The model reproduces well the coarse vertical structure of CO, for example the strong increase observed below 6 km during flight 17 (Figure 4a). It cannot capture fine layers of the extremely high CO levels (up to 300 ppb) observed during that flight, if only because of insufficient vertical and horizontal resolution.

Relatively high NO concentrations are observed in the Asian continental outflow at 15°–40°N. Some anomalously high NO concentrations are observed at 20°N and south of the equator in the upper troposphere. Koike *et al.* [1997] attributed the high levels around 25°N to fresh aircraft

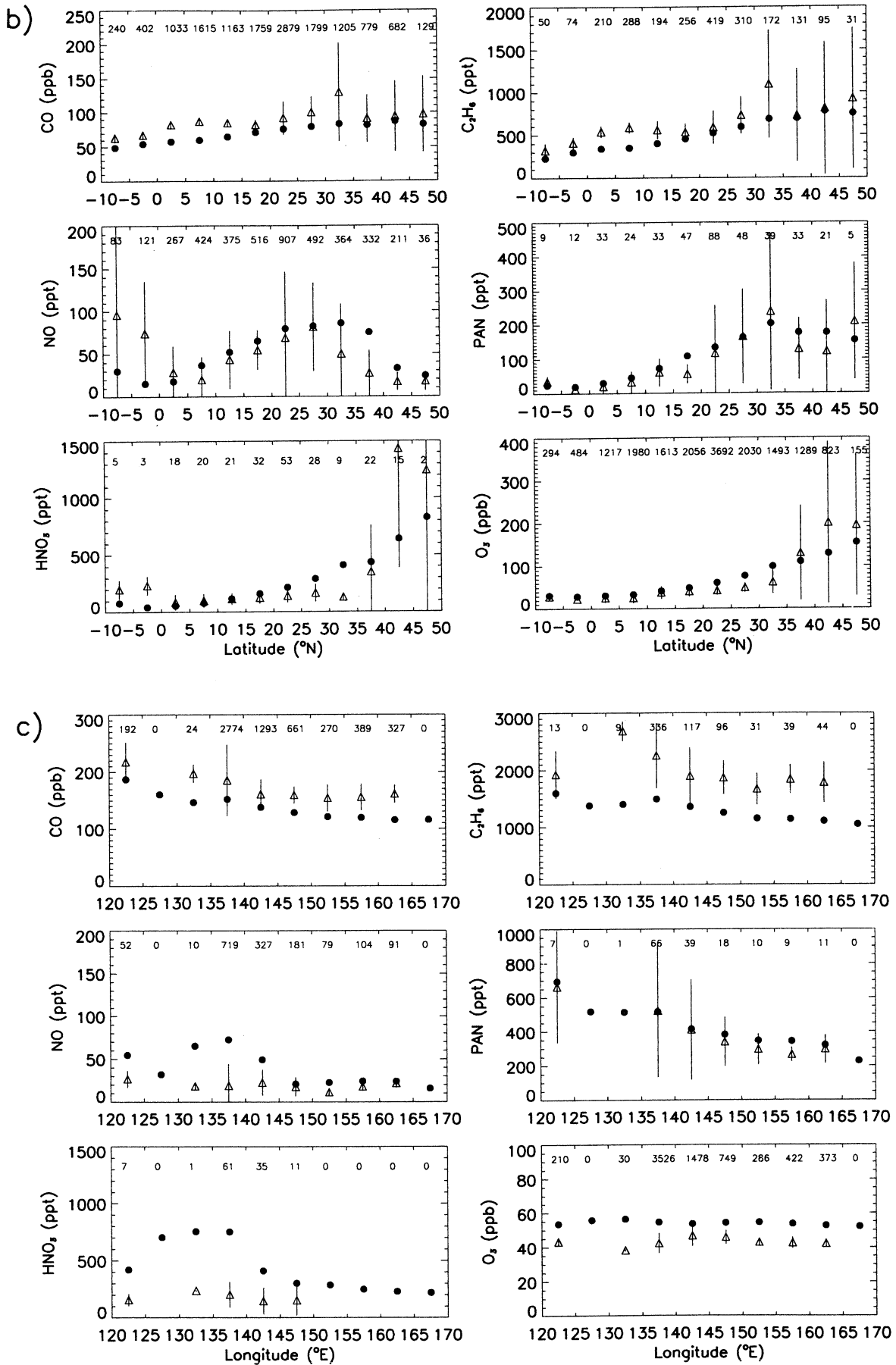
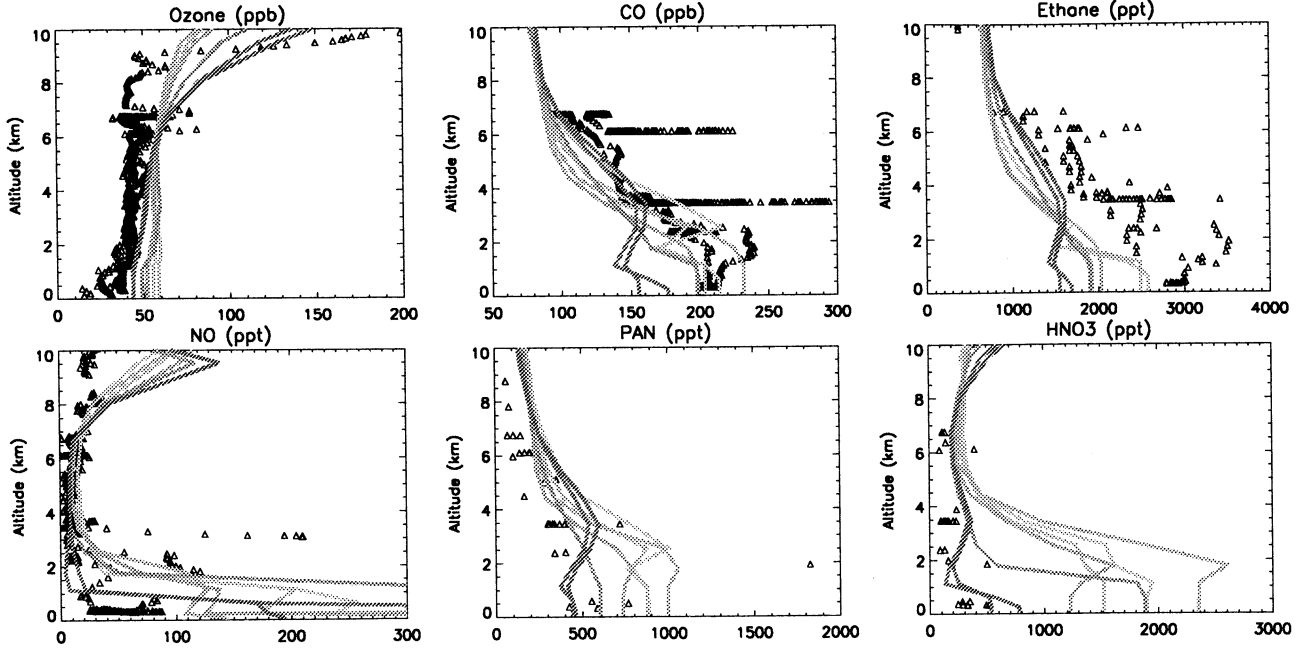
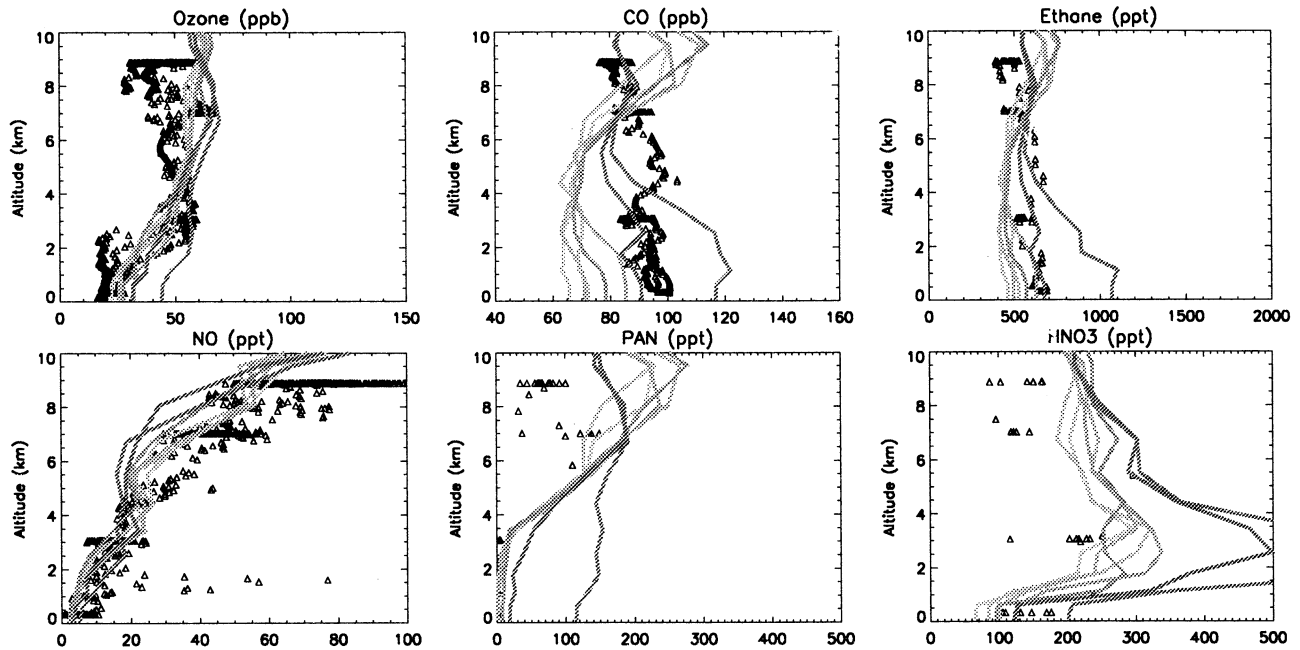


Figure 3. (continued)

## a) Flight 17



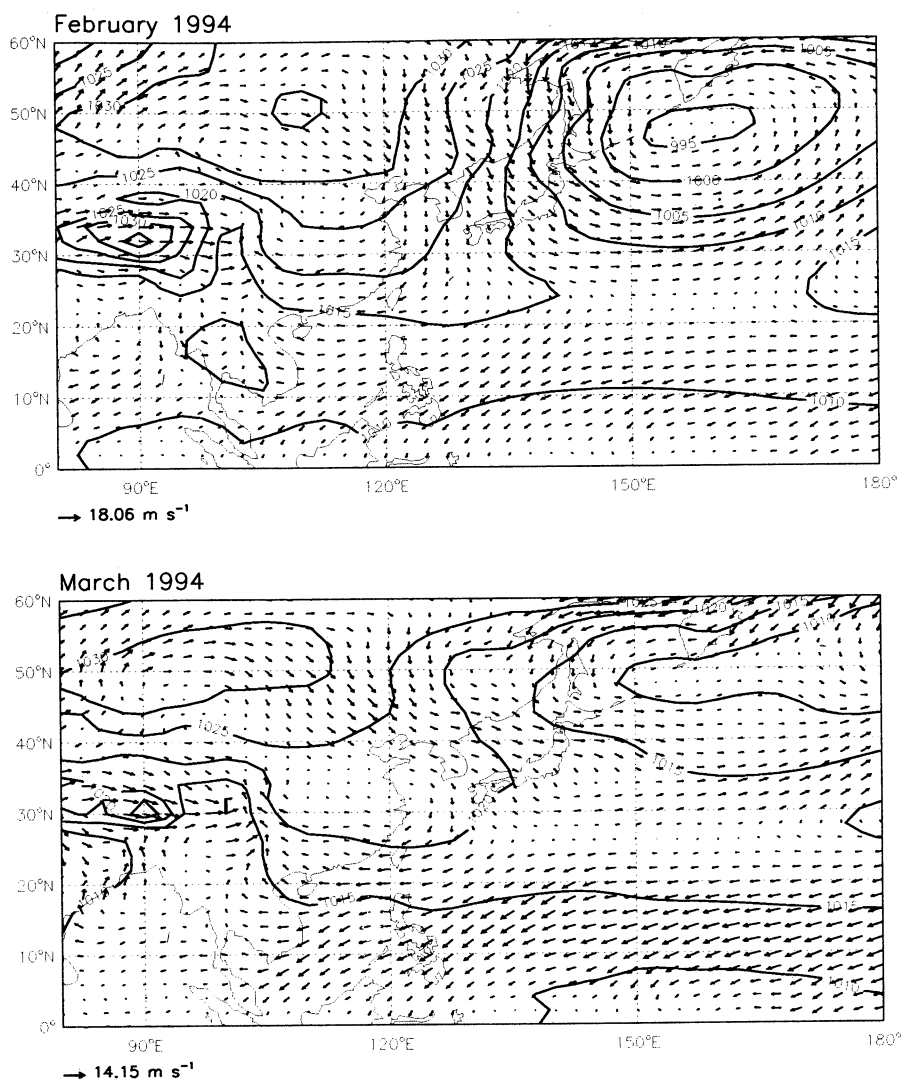
## b) Flight 7



**Figure 4.** Comparison of model results with PEM-West B aircraft observations on (a) flight 17 and (b) flight 7 (see flight tracks in Figure 1). Triangle are observations. Grey lines are model results for the different grid squares sampled along the flight track. The model values are 24-hour averages (daytime averages for NO) for the days of the flights.

exhaust from the air traffic corridor between Japan and Southeast Asia. Levels as high as 900 ppt were observed in the southern tropics during PEM-West B and appear related to lightning activity [Kawakami *et al.*, 1997; Crawford *et al.*, 1997]. The model reproduces the general increase of NO with latitude (Figures 3a and 3b) but overestimates NO levels in the lower troposphere especially north of 35°N

(Figures 3a and 3c). Observations north of 35°N are for the narrow corridor between Japan and China (Figure 1) which is not geographically resolved in the model because of the 4° by 5° horizontal resolution. The model underestimates NO in the southern tropics, indicating a possible underestimate of lightning NO<sub>x</sub> emissions in the model for that region. Simulated vertical profiles of NO for these latitudes show



**Figure 5.** Monthly mean GEOS sea level pressures (hPa) for February and March 1994 and corresponding wind vectors at 250 m above the surface.

an increase of NO concentrations up to 100 ppt in the upper troposphere but never reach the highest observed values. The observed PAN mixing ratios show strong gradients with latitude and longitude similar to CO and hydrocarbons that are well captured by the model. HNO<sub>3</sub> concentrations are greatly overestimated, especially north of 25°N in the lower troposphere (Figures 3a and 3c). A possible explanation is partitioning of nitrate into the aerosols which the model does not resolve. This effect could be especially important in Asian outflow because of high concentrations of alkaline soil dust aerosol [Chen *et al.*, 1997; Zhang and Carmichael, 1999].

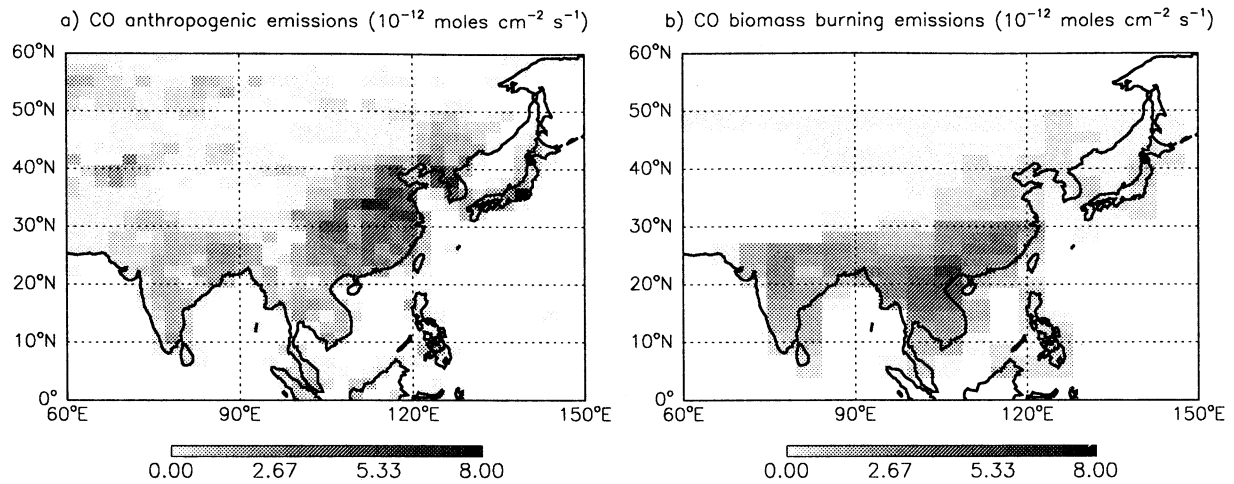
The model reproduces well the observed ozone mixing ratios with the exception of an overestimate of 10–15 ppb in the lower troposphere north of 25°N. This could reflect a too strong stratospheric input in that season in our model [Bey *et al.*, this issue]. Another possibility is heterogeneous reaction of ozone on dust, which we do not account for: Zhang and Carmichael [1999] and Dentener *et al.* [1996] have proposed that heterogeneous reaction of ozone on dust could

provide an important ozone sink in Asian continental plumes (10 to 40%) but there is so far no identified mechanism or experimental evidence for this reaction.

## 4. Pathways for the Export of Pollution From Asia

### 4.1. Meteorological Setting in February–March

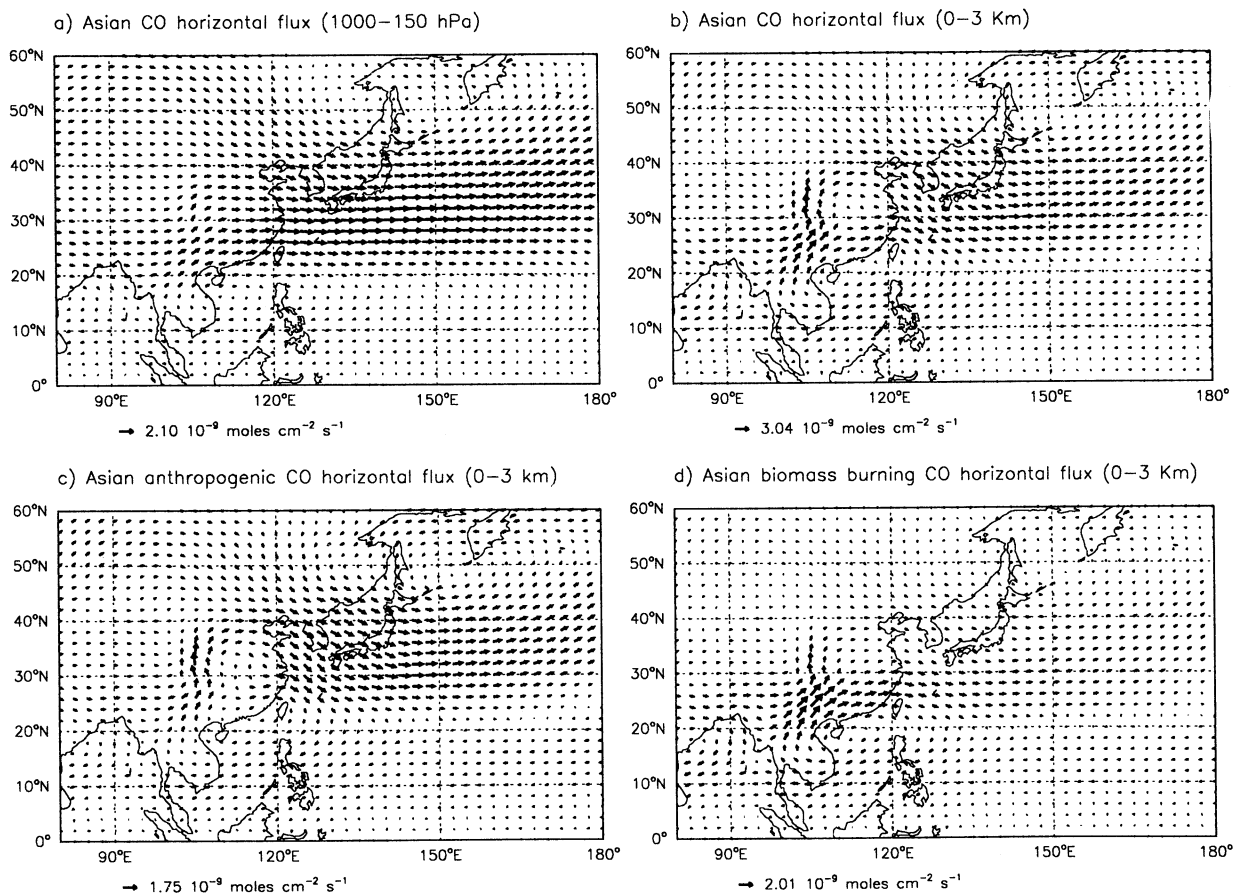
Early spring in Asia corresponds to the period of transition between the winter and summer monsoons. During winter the major meteorological features are the Siberian High over Mongolia/Siberia and the Aleutian Low over the Pacific Ocean (Figure 5). The Siberian High produces in the mean a strong northerly flow in the boundary layer along the Pacific rim (Figure 5). When the flow reaches Southeast Asia, it meets a marine tropical air mass from the Pacific carried by the northeastern trade winds [Watts, 1969]. The meteorological situation over eastern China in winter-early spring is also characterized by the frequent passages of strong cold fronts which move southward across northern China and



**Figure 6.** Carbon monoxide emissions in Asia in February-March 1994 from (a) anthropogenic activities (fossil and wood fuels) and (b) biomass burning.

Korea. Cold fronts in East Asia are known to be stronger than in other parts of the world (in term of wind speed and variation in surface temperature and pressure) because of the presence of the Tibetan Plateau which limits the southward movement of the polar air and thus leads to the formation

of the very strong high pressure system over Siberia [Yihui *et al.*, 1994]. By March the Siberian High weakens, while the Pacific high-pressure system is building up (Figure 5). As a result, the strength of the winter monsoon winds and the frequency of occurrence of the cold south moving surges



**Figure 7.** (a) Horizontal flux of Asian CO in the troposphere (1000-150 hPa column). (b) Horizontal flux of Asian CO in the boundary layer (surface-3 km column). (c) Same as Figure 7b but only for anthropogenic Asian CO. (d) same as Figure 7b but only for biomass burning Asian CO. The fluxes are averages for February-March 1994. "Asian CO" refers to CO emitted within our Asia region (Figure 2). Anthropogenic and biomass burning contributions are separated using tagged tracers.



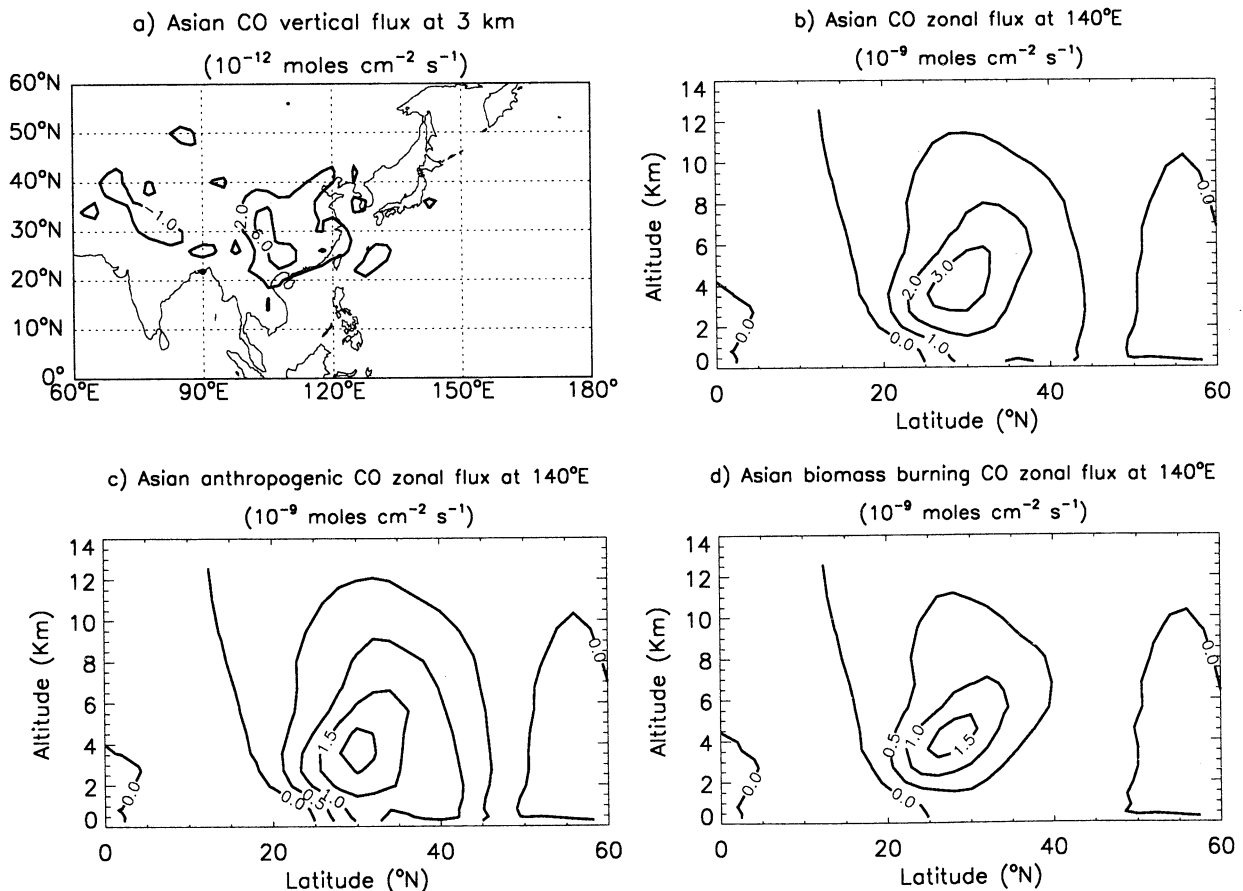
decrease, while incursions of warmer and tropical air from the south become more frequent. The warming leads to more frequent development of convective thunderstorms, especially in Southeast Asia [Nieuwolt, 1977]. Figure 5 shows the presence of a convergence zone over central China where air masses from the north, driven by monsoon winds, encounter oceanic air masses coming from the south. As expected, the convergence zone becomes more apparent as the winter monsoon weakens and the regime of summer monsoon slowly starts to establish. As will be discussed later, this convergence zone plays an important role in the springtime export of pollution from the Asian continent. In contrast to the lower troposphere, winds in the free troposphere (not shown here) show little variability during the winter-spring transition. Strong westerlies are the prevailing meteorological pattern at altitudes above 4 km and latitudes above 20°N.

#### 4.2. Export Pathways for Carbon Monoxide

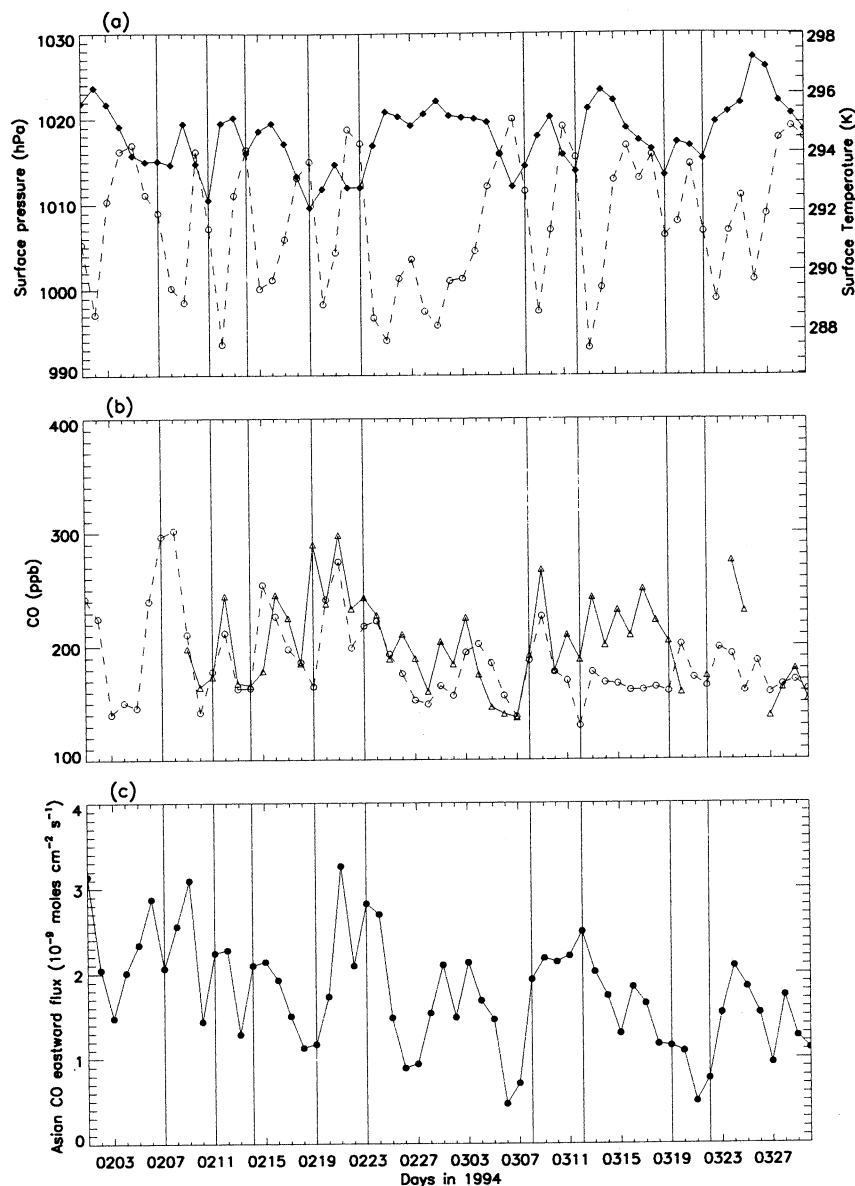
Figures 6a and 6b show the distribution of CO emissions in Asia in February-March from anthropogenic activities and biomass burning, respectively. Anthropogenic emissions include fuel combustion (fossil and wood), industrial activities, plus minor sources from other industrial activities such as steel manufacturing. Biomass burning emissions

include sources from forest wildfires, deforestation, savanna burning, slash-and-burn agriculture, and agricultural waste burning. Anthropogenic emissions are largest in northeastern China. Spring is the dry season in Asia, and there is extensive biomass burning in Southeast Asia and India, mainly due to burning of agricultural waste (rice straw) and deforestation [Nguyen *et al.*, 1994]. Our yearly inventories for CO emissions in Asia (for the geopolitical region shown Figure 2) include 113 Tg CO yr<sup>-1</sup> from fossil fuel combustion, 59 Tg CO yr<sup>-1</sup> from woodfuel burning, and 61 Tg CO yr<sup>-1</sup> from biomass burning (concentrated in the 4-month period from January to April). Recent inventories suggest a higher CO source in Asia from biofuel combustion and biomass burning (165 Tg CO yr<sup>-1</sup> [Galanter *et al.*, 2000; R. M. Yevich and J. A. Logan, personal communication, 2000]) than we used in our model (120 Tg CO yr<sup>-1</sup>), and this could contribute to the CO model underestimate discussed in section 2. During February-March, Asian CO emissions from anthropogenic activities and biomass burning are of comparable magnitude (28 Tg CO) in our model.

Mean horizontal fluxes of Asian CO integrated over the tropospheric column (1000-150 hPa) and over the boundary layer (lowest 3.0 km) are shown in Figures 7a and 7b, respectively. These fluxes were calculated from the tagged CO tracer simulation (section 2) for CO emitted in Asia (Figure



**Figure 8.** (a) Vertical flux of Asian CO at the top of the boundary layer (3 km). Positive fluxes are upward. (b) Zonal flux of Asian CO at 140°E. Positive fluxes are eastward. (c) Same as Figure 8b but only for anthropogenic Asian CO. (d) Same as Figure 8b but only for biomass burning Asian CO. Fluxes are averages for February-March 1994.



**Figure 9.** Time series of meteorological variables and CO concentrations for a site on the southern tip of Taiwan operated by Liu *et al.* [1997] in February-March 1994. (a) GEOS sea level surface pressure (solid line, left axis) and surface temperature (dashed line, right axis). (b) Observed CO concentrations (solid line) and corresponding model results (dashed line) obtained with the  $2^\circ \times 2.5^\circ$  horizontal resolution version of the model. (c) Eastward fluxes of Asian CO ( $10^{-9}$  moles  $\text{cm}^{-2} \text{s}^{-1}$ ) through a wall located at  $140^\circ\text{E}$  between  $20^\circ$  and  $40^\circ\text{N}$ . Vertical lines mark the passage of cold fronts at the site as reported by Liu *et al.* [1997].

2) from anthropogenic activities and biomass burning. The main export pathway for Asian pollution is to the Pacific in the westerly flow north of  $25^\circ\text{N}$ . Figure 5 shows northerly surface winds along the coast of China that reach southern latitudes but the winds shift to westerly above 1 km altitude. Maps of horizontal CO fluxes at individual levels indicate that little mass is carried in the northerly surface flow, as is apparent from Figure 7b, and part of this flow is eventually recirculated over the continent by anticyclonic circulation over Southeast Asia. Figure 7 shows strong southwesterly CO fluxes over China from  $20^\circ\text{N}$  to  $30^\circ\text{N}$  which result from the collocation of high emissions with the convergence zone

described previously (Section 4.1). This convergence results in an upward flux of CO (see Figure 8) which lifts the pollution above the boundary layer into the free troposphere where it is caught by the strong westerlies. We thus find that the strongest export flux of Asian CO to the western Pacific is at 4 km altitude (Figure 8b) even though the highest concentrations along the Pacific rim are found below 2 km altitude (Figure 4a).

We investigated separately the contributions of anthropogenic and biomass burning sources to the export of Asian CO to the western Pacific. Biomass burning CO, mainly emitted in Southeast Asia, is transported toward the conver-

gence zone where it is uplifted into the free troposphere and then carried by the westerlies. Little biomass burning CO is exported over the ocean in the boundary layer (Figure 8d). In contrast, CO from fossil fuel combustion, which is emitted at more northerly latitudes, shows substantial export in the boundary layer by the monsoon winds, especially at latitudes higher than 35°N. Even for fossil fuel CO, however, most of the export is in the lower free troposphere (Figure 8c). In our model, during February–March, deep convective events are largely restricted to Southeast Asia, and thus mainly contribute to export of biomass burning CO. Large-scale convergence rather than convection is the principal driver for ventilation of Asian pollution from the boundary layer to the free troposphere in our model during February–March.

Our discussion so far has focused on monthly mean concentrations and fluxes. Examination of daily fields shows that the export of CO from the Asian continent is in fact episodic. The episodic export of pollution can be illustrated by ground-based observations during PEM-West B by *Liu et al.* [1997], who conducted continuous measurements of CO and ozone at the southern tip of Taiwan (22°N, 122°E). Their measurements are shown in Figure 9, together with GEOS meteorological data for temperature and pressure at the site and corresponding model results for CO. As discussed in section 4.1, the synoptic weather pattern in Asia in late winter–early spring is dominated by the passage of cold fronts, each cold front being followed by strong outbreaks of cold air masses. At the passage of each front, *Liu et al.* [1997] observed a shift of wind direction from north-northeasterly to south-southwesterly, bringing marine tropical air with low CO to the site. After the passage of the front, they observed a sharp increase of CO due to transport from the Asian continent. Frontal passages in the GEOS meteorological data, as diagnosed from pressure drops followed by temperature drops (Figure 9a), match the dates identified by *Liu et al.* [1997] i.e., February 6–7, 11–12, 14–15, 19–20, 23–24, and March 7–8, 12–13, 19–20, 22–23. The model reproduces well most of the events of high CO concentrations observed at the site, as shown in Figure 9b. In our model the passage of a cold front results most of the time in an increase of the CO vertical flux out of the boundary layer (due to lifting of warm air ahead of the cold front) followed by an increase in the eastward flux of CO to the western Pacific due to rapid transport by westerly winds in the free troposphere (Figure 9c).

Our successful simulation of the frontal events and associated CO changes seen in the *Liu et al.* [1997] observations confirms that frontal activity is a major pathway for export of Asian pollution to the Pacific Ocean. An example of this mechanism is shown in Figure 10 for the February 10–15 time period. On February 10 and 13 we find in the model a strong increase of the CO vertical flux out of the boundary layer, due to the passage of two successive cold fronts traveling through China (note from Figure 9a that these fronts are observed at Taiwan 1 day later). This leads to export of CO from China and formation of an Asian pollution plume in the midtroposphere over the Pacific, as seen in Figure 10b. During the PEM-West B period, about

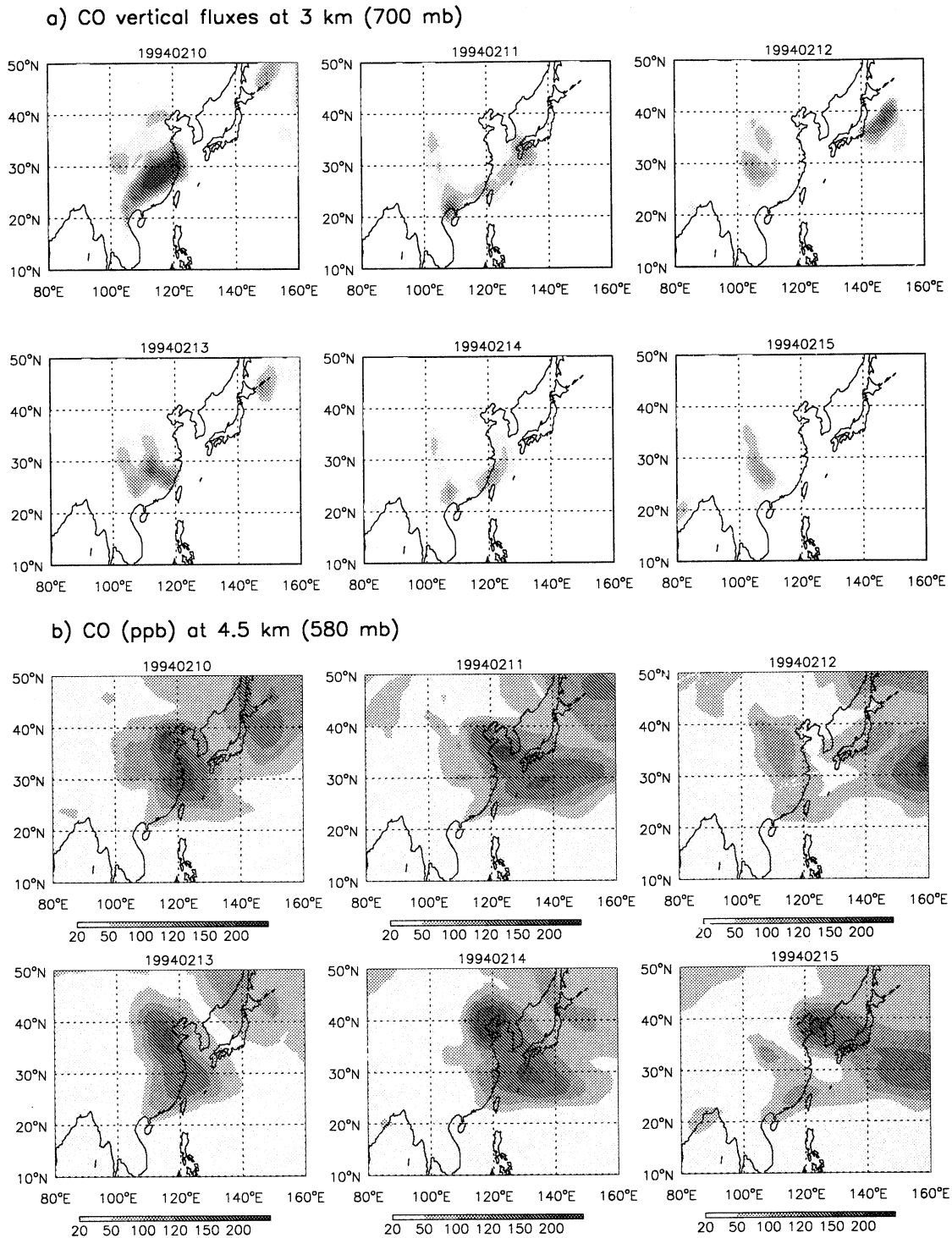
10 major events can be identified in our model simulation (Figure 9c). Our analysis is consistent with that of *Yienger et al.* [2000], who proposed that an important mechanism for the export of pollution from Asia is the development of low-pressure baroclinic systems over Asia.

## 5. Contribution of Intercontinental Transport to Asian Outflow

The chemical outflow from the Asian continent to the western Pacific includes contributions from other continents besides Asia. Figure 11 shows the total column concentrations of each tagged CO tracer for February–March 1994, and Table 1 summarizes their contributions to the total CO burden in the Northern Hemisphere and to the Asian outflow (defined as the flux through a wall located at 140°E between 20° and 50°N). We discuss here the contributions of different geopolitical source regions as those originating from direct CO emissions only.

The largest single contribution to the CO burden in the Northern Hemisphere is the background source from methane oxidation. Next in importance are anthropogenic emissions in Europe and Asia, and biomass burning in Africa, which are all three of similar magnitude even though the African biomass burning source (255 Tg CO yr<sup>-1</sup>) is greater than the anthropogenic Asian source (172 Tg CO yr<sup>-1</sup>) or European source (141 Tg CO yr<sup>-1</sup>). Because African CO is emitted in the tropics, its lifetime against oxidation by OH (39 days) is shorter than that of Asian or European CO (66 and 86 days, respectively, Table 1). We see from Table 1 that the Asian outflow includes major contributions from anthropogenic emissions in Asia (21%) as well as Europe (15%) and from biomass burning emissions in Asia (12%) as well as Africa (11%). European CO makes a relatively large contribution because of its accumulation at high latitudes in winter [*Staudt et al.*, 2001]. Biomass burning in northern Africa occurs from November to March with a peak from December to February (as shown by the advanced very high resolution radiometer (AVHRR) fire counts), thus it contributes significantly to the Asian outflow in February–March.

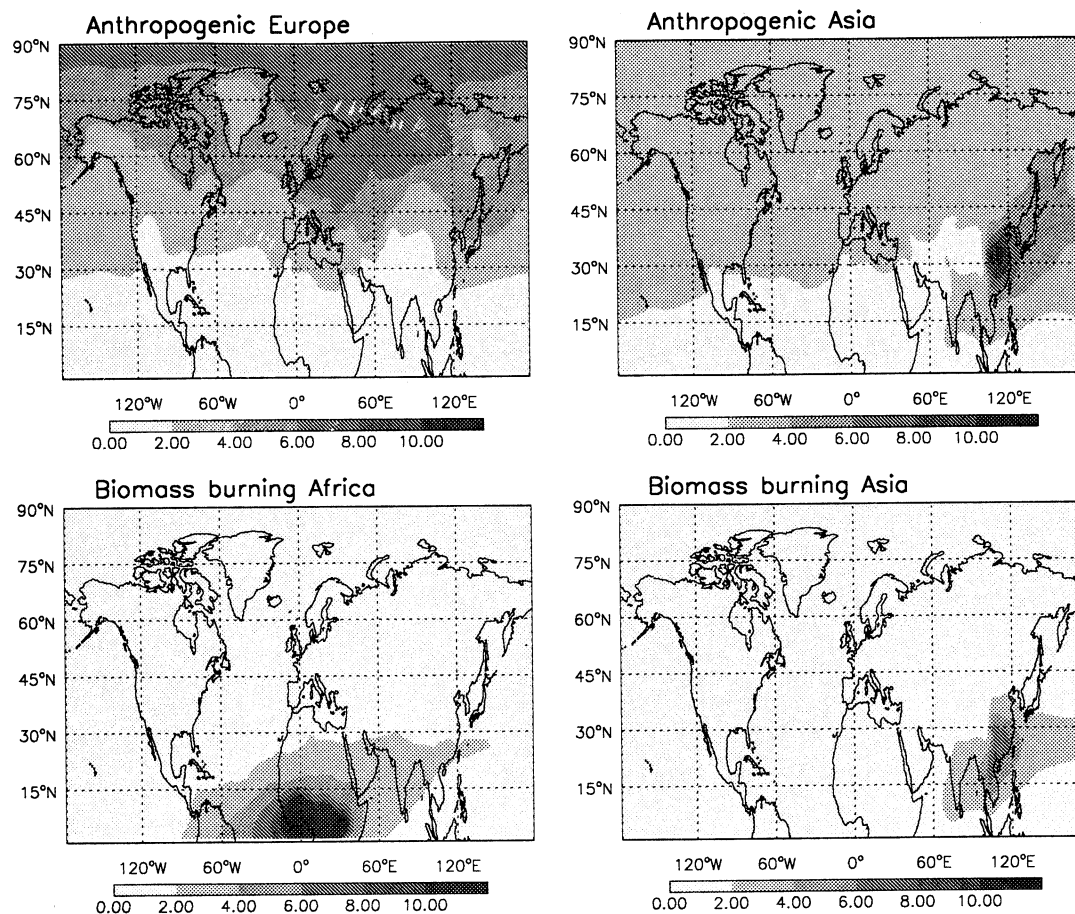
Pollution sources from different continents have distinct latitudinal signatures in their contributions to Asian outflow, as illustrated in Figure 12. In this figure each component has been sampled in the model as described in section 3, i.e. along the PEM-West B flight tracks, and thus the total CO versus latitude shown in Figure 12 is similar to the one shown in Figure 3. In the lower troposphere, anthropogenic CO dominates over biomass burning CO, while both categories are important in the upper troposphere. We find that Asian biomass burning contributes significantly to the total CO observed in the Asian outflow, especially south of 25°N and in the lower troposphere. This result is supported by observations of hydrocarbons and halocarbons from PEM West B. *Blake et al.* [1997] reported that polluted air masses sampled below 6 km presented a strong signature from fossil fuel combustion north of 25°N while those encountered south of 25°N were more characteristic of biomass burning



**Figure 10.** (a) Time series of CO vertical flux (moles  $\text{cm}^{-2} \text{s}^{-1}$ ) through the 3 km surface in the model. Shaded areas represent upward vertical CO fluxes. (b) Time series of CO concentrations (ppb) at 4.5 km altitude. Values are 24-hour average model results for individual days over the February 10–15, 1994 period.

sources. Note also that our definition of anthropogenic emissions includes woodfuel combustion, which accounts for about one third of anthropogenic emissions of CO in Asia. Such a source would have a biomass burning chemical signature in the observations. In the upper troposphere south of  $25^{\circ}\text{N}$  we find that African biomass burning is the

single most important contributor to Asian outflow of CO. The contribution from European sources to Asian outflow increases strongly with latitude and dominates over Asian sources north of  $40^{\circ}\text{N}$ . This result reflects in large part the wintertime accumulation of European CO in the Arctic as noted previously.

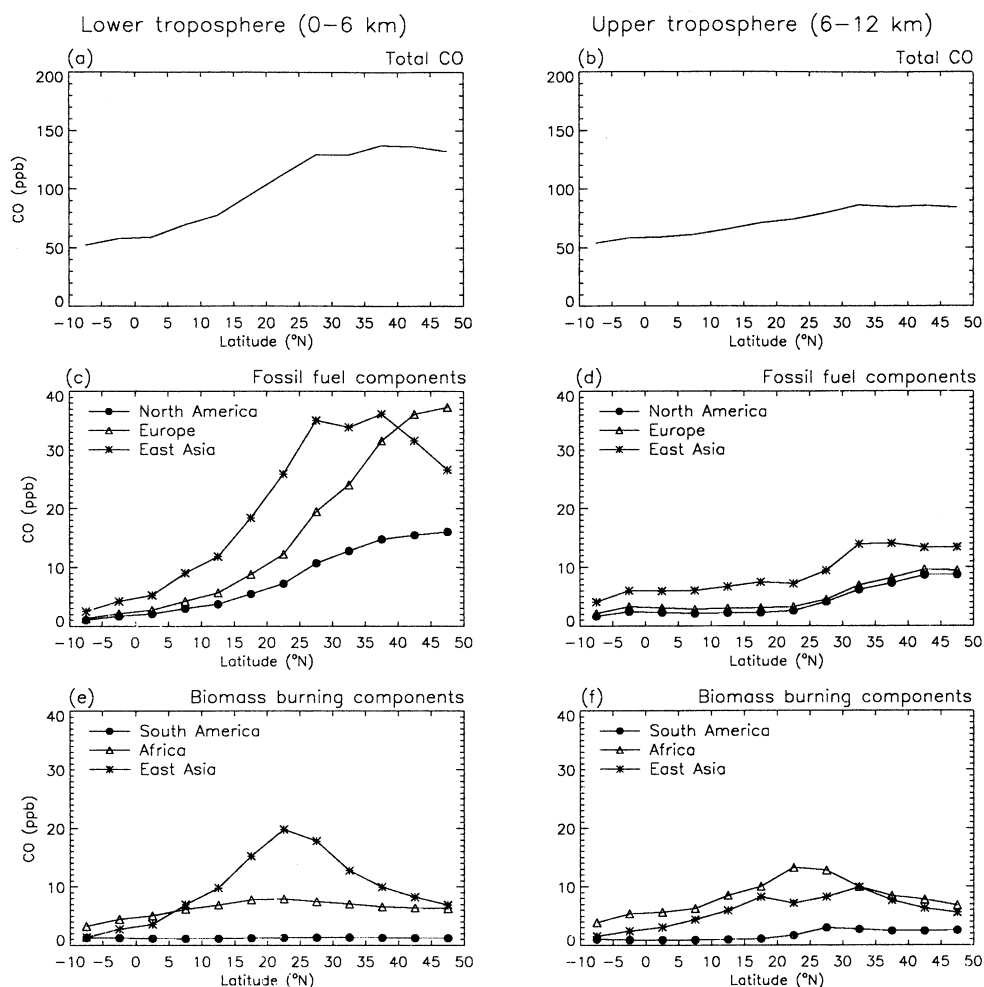


**Figure 11.** Carbon monoxide columns ( $10^{17}$  molecules  $\text{cm}^{-2}$ ) contributed by anthropogenic emissions from Asia and Europe, and biomass burning in Asia and Africa. Geopolitical source regions are given in Figure 2. Values are average model results for February-March 1994.

**Table 1.** Contributions From Different Source Regions to Asian Outflow of CO

	Sources	Northern Hemisphere Burden	Lifetime	Asian Outflow Flux
Total CO	2241 (389)	231 (100%)	56	45.2 (100%)
Anthropogenic emissions				
North America	95 (15.4)	21 (9%)	75	4.0 (9%)
Europe	141 (22.8)	30 (13%)	86	6.7 (15%)
Asia	172 (27.8)	30 (13%)	66	9.4 (21%)
Other	113 (18.3)	10 (4.5%)	56	1.9 (4%)
Biomass burning emissions				
South America	123 (22.8)	8 (3.5%)	56	0.9 (2%)
Africa	255 (55.4)	28 (12%)	39	4.9 (11%)
Asia	61 (29.7)	15 (6.5%)	50	5.3 (12%)
Other	82 (7.8)	5 (2.0%)	72	0.8 (2%)
Chemical production	1170 (189)	84 (36.5%)	55	11.3 (25%)

Geopolitical source regions are as given in Figure 2. Sources of CO are annual means for 1994 in  $\text{Tg CO yr}^{-1}$ . (Values for February-March are given in parentheses in units of  $\text{Tg CO}$ .) Northern Hemispheric burdens of CO contributed by individual sources are model averages for February-March 1994 and are given in  $\text{Tg CO}$ . Lifetime in February-March 1994 for CO originating from each source is given in days. The Asian outflow flux is defined as the eastward flux ( $10^{-9}$  moles  $\text{cm}^{-2} \text{ s}^{-1}$ ) integrated for the tropospheric column through a wall located at  $140^\circ\text{E}$  between  $20^\circ$  and  $50^\circ\text{N}$ ; values are model averages for February-March 1994. "Chemical production" refers to the source of CO from methane oxidation ( $945 \text{ Tg CO yr}^{-1}$ ) and isoprene oxidation ( $225 \text{ Tg CO yr}^{-1}$ ). The small source of CO from oxidation of other hydrocarbons is not included in this budget.



**Figure 12.** CO concentrations (ppb) as function of latitude for the lower troposphere (0–6 km) and the upper troposphere (6–12 km) contributed by emissions in different geopolitical source regions (Figure 2). Values are model results sampled along the PEM-West B flight tracks. The total CO concentration is thus similar to those shown in Figures 3a and 3b.

## 6. Export of $\text{NO}_y$ and Ozone From Asia

We isolate the contribution of Asian emissions to the budgets of total reactive nitrogen oxides ( $\text{NO}_y$ ) and ozone in the Asian boundary layer for the PEM-West B period by subtracting the background terms (given by a simulation with no Asian emissions) from the terms obtained in our standard simulation. We refer to the resulting budgets as those of "Asian"  $\text{NO}_y$  and ozone. Although this method is only approximate because tropospheric chemistry is not linear, it allows us to isolate the fate of  $\text{NO}_x$  and other compounds emitted over Asia. The budget of ozone is actually computed for the extended odd oxygen family  $\text{O}_x = \text{O}_3 + \text{NO}_2 + 2 \times \text{NO}_3 + 3 \times \text{N}_2\text{O}_5 + \text{HNO}_4 + \text{HNO}_3 + \text{peroxyacylnitrates}$  to account for rapid chemical cycling within the species in that family. Considering that  $\text{O}_3$  accounts for more than 95% of  $\text{O}_x$ , the budget of  $\text{O}_3$  and  $\text{O}_x$  can be considered equivalent.

### 6.1. Export of $\text{NO}_y$ From Asia

Table 2 summarizes budgets for individual  $\text{NO}_y$  species in the Asian boundary layer in February–March 1994. We

examined the budgets of  $\text{NO}_y$  from fossil fuel combustion and biomass burning separately. The emission rate of  $\text{NO}_x$  within the geographical domain is  $1.15 \text{ Gmol d}^{-1}$  from fossil fuel combustion and  $0.81 \text{ Gmol d}^{-1}$  from biomass burning. Because of the short lifetime of  $\text{NO}_x$  (0.4 days), only a small fraction of the  $\text{NO}_x$  emitted is exported outside of the boundary layer, 4% and 6.5% from fossil fuel combustion and biomass burning, respectively. Most of the  $\text{NO}_x$  is converted to  $\text{HNO}_3$  and PANs within the boundary layer. Other organic nitrates represent only a small fraction ( $\sim 3\%$ ) of total  $\text{NO}_y$ . About 70% of the  $\text{NO}_y$  emitted in Asia is lost within the domain by deposition of  $\text{HNO}_3$ . The net export flux of  $\text{NO}_y$  from the boundary layer to the free troposphere is  $0.37 \text{ Gmol d}^{-1}$  (20% of the  $\text{NO}_x$  emitted) and is mainly to the western Pacific, as discussed previously for CO. The major component of exported  $\text{NO}_y$  is PANs (45%) with  $\text{NO}_x$  and  $\text{HNO}_3$  contributing each about 25–30%. PANs are mainly composed of PAN with only 10% contributed by higher peroxyacylnitrates. Because  $\text{HNO}_3$  is quickly removed by wet and dry deposition, it cannot represent a significant source of  $\text{NO}_x$  even after export to the free troposphere. If we do not consider  $\text{HNO}_3$  in the

**Table 2.** Budget for Asian  $\text{NO}_y$  in the Boundary Layer Over Asia

Species	Concentration	Lifetime	Emissions	P-L	Deposition	Export
$\text{NO}_x$	0.41	0.41	1.15 (0.81)	-1.02 (-0.68)	0.05 (0.05)	0.04 (0.05)
PANs	0.40	0.40	-	0.11 (0.10)	0.02 (0.02)	0.09 (0.07)
$\text{HNO}_3$	0.73	1.2	-	0.85 (0.53)	0.75 (0.48)	0.06 (0.05)
Alkyl nitrates	0.05	-	-	0.04 (0.05)	0.002(0.001)	0.01 (0.004)
Total			1.96	0.0	1.37	0.37

Mean model values for February-March 1994 in the Asian boundary layer defined as the region in Figure 2 and for a vertical column extending to 3 km altitude. Concentrations are given in ppb, lifetime is given in days, and other quantities are given in  $\text{Gmol d}^{-1}$ . PANs includes PAN and other peroxyacylnitrates. The budget terms are determined by subtracting from the standard simulation the background  $\text{NO}_y$  fluxes obtained with a simulation for which fossil fuel or biomass burning emissions are zeroed within Asia. P-L denotes the net chemical production (production minus loss) in the Asian boundary layer. Emissions, net chemical production, and export are contributed by fossil fuel combustion (first number) and biomass burning (second number in parentheses).

$\text{NO}_y$  exported, the remaining flux is  $0.26 \text{ Gmol d}^{-1}$  ( $1.4 \text{ Tg N yr}^{-1}$ ), which represents 13% of the  $\text{NO}_x$  emitted, with similar contributions from fossil fuel combustion and biomass burning.

Our results can be compared to the export of PANs+ $\text{NO}_x$  out of the U.S. boundary layer in spring as calculated by Liang *et al.* [1998] with an earlier version of the Harvard global 3-D model of tropospheric chemistry. With an emission rate of  $\text{NO}_x$  within the U.S. of  $1.34 \text{ Gmol d}^{-1}$  the United States export of PANs+ $\text{NO}_x$  ( $0.27 \text{ Gmol d}^{-1}$ ) represented 20% of the emissions in that study. We find that faster loss of  $\text{NO}_x$  by heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  on aerosols is responsible for the lower exported fraction of  $\text{NO}_x$  emissions out of the Asian boundary layer. Sulfate aerosol concentrations used in our model [Chin *et al.*, 1996] are much higher over Asia than over the United States, reflecting the difference in  $\text{SO}_2$  emissions. The mean PAN/ $\text{NO}_x$  concentration ratio of 1.7 in the Asian outflow in our model is higher than that calculated by Liang *et al.* [1998] (1.25) but similar to observations obtained during the North Atlantic Regional Experiment (NARE) campaign which flew in spring 1996 along the east coast of North America (D. D. Parrish, personal communication, 2000).

## 6.2. Export of Ozone From Asia

Table 3 shows the budget of ozone in the boundary layer over Asia due solely to Asian emissions of precursors (hydrocarbons, CO,  $\text{NO}_x$ ). Background  $\text{O}_3$  is subtracted following the procedure previously described. Fossil fuel

combustion and biomass burning emissions both lead to a similar ozone production of  $\sim 9.5 \text{ Gmol d}^{-1}$ . Thirty-four percent of the  $\text{O}_3$  produced is chemically destroyed within the Asian boundary layer, and 21% is lost by deposition. We calculated the ozone production efficiency (OPE) in the standard model simulation using the definition given by Liu *et al.* [1987], i.e., the gross number of  $\text{O}_3$  molecules produced per  $\text{NO}_x$  molecule oxidized. We find an average value of 12.5 mol/mol for the boundary layer over Asia as compared to 3.0 and 9.0 for the boundary layer over the United States in winter and spring, respectively [Liang *et al.*, 1998]. We attribute the high OPE of Asian emissions in our simulation to the lower latitudes; biomass burning in Southeast Asia may be particularly important in that regard.

A total of  $9.6 \text{ Gmol d}^{-1}$  of ozone is exported from the Asian boundary layer to the free troposphere. Using  $\text{O}_3/\text{CO}$  correlations over Asia and Japan, Mauzerall *et al.* [2000] estimated a yearly averaged export of ozone from China and Japan of  $3.3 \text{ Gmol d}^{-1}$ . A comparison with our study is difficult because we do not consider the same period nor the same region. Liang *et al.* [1998] calculated a direct export of ozone from the U.S. boundary layer of  $4 \text{ Gmol d}^{-1}$  during spring. The export from the Asian continent appears to be substantially larger.

## 7. Summary and Conclusion

We used a global 3-D model of tropospheric chemistry driven by assimilated meteorology (GEOS-CHEM model)

**Table 3.** Budget for Asian Ozone in the Boundary Layer Over Asia

	Production	Loss	Deposition	Export
Anthropogenic ozone	9.6	3.0	2.2	4.0
Biomass burning ozone	9.3	3.4	2.0	3.9
Total	18.9	6.4	4.2	7.9

Mean model values ( $\text{Gmol d}^{-1}$ ) for February-March 1994 in the Asian boundary layer defined as the region in Figure 2 and for a vertical column extending to 3 km altitude. The budgets are for the extended odd oxygen family defined as  $\text{O}_3 + \text{NO}_2 + 2 \times \text{NO}_3 + 3 \times \text{N}_2\text{O}_5 + \text{HNO}_4 + \text{HNO}_3 + \text{peroxyacylnitrates}$ . Contribution from Asian sources are determined by subtracting from the standard simulation the background budget terms obtained with a simulation for which anthropogenic or biomass burning sources are zeroed over Asia.

to examine the Asian outflow of ozone, CO, and NO<sub>y</sub> species over the western Pacific by simulation of observations from the PEM-West B aircraft mission in February-March 1994. Considerable biomass burning takes place in the northern tropics during that time of year, and we find that emissions of CO and NO<sub>x</sub> from biomass burning in Asia are of comparable magnitude to the regional anthropogenic source. Comparisons of model results to the PEM-West B observations for O<sub>3</sub>, CO, hydrocarbons, NO<sub>x</sub>, and PAN show that the model reproduces well the latitudinal and vertical distribution of the Asian outflow, with highest concentrations below 6 km altitude and north of 25°N.

We find in the model that fast boundary layer outflow from Asia to the western Pacific is largely restricted to high latitudes (north of 35°N) where the westerly flow extends to the surface. The GEOS monthly mean meteorological fields show the presence of a low-level convergence region over central and eastern China which appears to reflect the episodic lifting of warm air ahead of cold fronts advected eastward from central Asia. The frontal lifting plays a critical role for outflow of Asian pollution in the model, as the polluted air brought from the surface to the lower free troposphere over China is then caught in the strong westerly flow. Analysis of model results shows that the strongest Asian outflow over the western Pacific is associated with the passage of these cold fronts. Although the PEM-West B observations (and the model results) show the highest outflow concentrations over the western Pacific to be in the boundary layer (0-2 km), the maximum outflow fluxes are in fact in the lower free troposphere (2-5 km). Large-scale convergence over central China is of particular importance for driving the outflow of biomass burning pollution emitted in Southeast Asia. Convective activity over Asia during February-March is largely confined to the tropics, and makes some contribution to the export of biomass burning pollution, but is not as important as large-scale convergence.

The contribution of intercontinental transport of pollution to the Asian outflow over the western Pacific was examined in the model by tagging CO emitted from different source regions. We find that both anthropogenic sources in Europe and biomass burning in Africa make major contributions to the Asian outflow, with distinct geographical signatures. European pollution dominates the Asian outflow in the lower troposphere at high latitudes, while African pollution is important in the upper troposphere at all latitudes.

A budget analysis for the fate of NO<sub>x</sub> emissions in East Asia during February-March 1994 indicates that 5% is exported out of the Asian boundary layer as NO<sub>x</sub> and 8% as PAN. In comparison, it has been estimated previously that 20% of NO<sub>x</sub> emitted in the United States in spring is exported as NO<sub>x</sub> or PAN. The lower export efficiency for Asian emissions reflects higher aerosol concentrations that promote heterogeneous conversion of NO<sub>x</sub> to HNO<sub>3</sub> by hydrolysis of N<sub>2</sub>O<sub>5</sub>. We find that production of O<sub>3</sub> over East Asia and its export to the global atmosphere are much higher than for the United States because of the lower latitude of the Asian sources.

The observations from the PEM-West B mission have been of considerable value as an initial test of our simulation of Asian outflow to the Pacific. This mission was exploratory, however, and it does not provide the data necessary for identifying the source regions contributing to the outflow or for establishing the outflow mechanisms. Further work is needed to test several of the hypotheses presented in the present paper regarding the springtime Asian outflow, notably that (1) lifting of pollution ahead of cold fronts is a major mechanism for export of pollution from China and Southeast Asia to the western Pacific; (2) biomass burning and fossil fuel combustion make contributions of comparable magnitude to the export of Asian CO, NO<sub>y</sub> species, and O<sub>3</sub>; and (3) intercontinental transport of anthropogenic pollution from Europe and biomass burning pollution in Africa make major contributions to the Asian outflow with distinct geographical signatures. The Transport and Chemical Evolution Over the Pacific (TRACE-P) aircraft mission, to be conducted by NASA in the spring of 2001, should allow significant progress in testing these hypotheses by sampling plumes of Asian outflow over a wide range of conditions.

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