

1 **A Multi-Scale Infrastructure for Chemistry and Aerosols - MUSICA**

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36

37 **Abstract**

38 To explore the various couplings across space, time and between ecosystems in a consistent
39 manner, atmospheric modeling is moving away from the fractured limited-scale modeling strategy
40 of the past towards a unification of the range of scales inherent in the Earth System. This paper
41 describes the forward-looking MUlti-Scale Infrastructure for Chemistry and Aerosols (MUSICA),
42 which is intended to become the next generation community infrastructure for research involving
43 atmospheric chemistry and aerosols. MUSICA will be developed collaboratively by the National
44 Center for Atmospheric Research (NCAR) and university and government researchers, with the
45 goal of serving the international research and applications communities. The capability of unifying
46 various spatio-temporal scales, coupling to other Earth System components and process-level
47 modularization will allow advances on topics ranging from fundamental research to air quality to
48 climate and is also envisioned to become a platform that addresses the needs of policy makers and
49 stakeholders.

50 *Capsule: MUSICA will become a community infrastructure for simulating atmospheric chemistry.*

51 *The unification of various spatio-temporal scales, coupling to other Earth System components and*
52 *process-level modularization will allow significant scientific advances.*

53 1. Introduction

54 Empirical and modeling studies have provided strong evidence of dynamical and chemical
55 coupling across the range of spatial and temporal scales inherent in the Earth System (e.g. Prinn,
56 2012). Current chemical transport models, however, inadequately account for the two-way
57 coupling of atmospheric chemistry with other Earth System components over the range of
58 urban/local to regional to global scales and from the surface up to the top of the atmosphere.

59 As a result, the predictability of local air quality from regional models is currently limited by the
60 insufficient representation of large-scale feedbacks and prescribed land and ocean state, while
61 predictability of future atmospheric trace constituents is hampered by either the coarse resolution
62 of global chemical climate models or the initial and boundary impacts affecting limited area
63 regional models. Also, predictions of weather and climate often neglect the effects of atmospheric
64 trace constituents.

65 However, in order to establish warning systems and develop adaptation and mitigation strategies,
66 decision makers need accurate predictions of atmospheric composition, weather and climate on
67 time scales from hours to weeks to seasonal to decadal, along with reliable quantification of their
68 uncertainties. To meet future challenges, future modeling systems need to have the ability to (1)
69 change spatial scales in a consistent manner, (2) resolve multiple spatial scales *in a single*
70 *simulation*, (3) couple model components which represent different Earth system processes, and
71 (4) easily mix-and-match model components. This requires moving away from the fractured
72 modeling activities of the past and bridging the gap between regional chemical weather models
73 and global chemical climate models. It requires coupling from the local emission scale all the way
74 up to the global forcing scale within a single framework.

75 This is the motivation behind the MUlTi-Scale Infrastructure for Chemistry and Aerosols
76 (MUSICA). MUSICA is not a single model, but a set of infrastructure concepts and requirements
77 with rigorously defined standards which will enable studying atmospheric composition across all
78 relevant scales. It describes a unified and modular framework with consistent scale-aware
79 modeling approaches, i.e. approaches that are not dependent on model resolution and which can
80 be applied to any Earth system model. It will account for feedbacks between all components of the
81 Earth System; thereby permitting the exploration of interactions between atmospheric chemistry
82 and weather and climate. Evaluation and data assimilation will be essential components of
83 MUSICA.

84 To date, the lack of coordination between the different chemistry models has made it difficult to
85 accurately assess the benefits of different model components (Fast et al., 2011). MUSICA's
86 flexible modular design will break down the problem of simulating atmospheric composition into
87 the representation of individual processes that are described by separate modules. MUSICA can
88 be configured in different ways appropriate for the scientific question on hand. This design will
89 facilitate direct inter-comparison of individual modules in a single framework, thus will open the
90 way to quantifying uncertainties of individual processes and enable co-development of individual
91 components.

92 MUSICA will enable exploration of new science topics (Table 1) addressing important, frontier
93 issues by overcoming constraints posed due to limited scale-awareness of current models and
94 frameworks. MUSICA is envisioned to become a central tool for research but needs to be
95 applicable to a wide range of users and should also be suitable for operational applications. This
96 requires the system to be open source, transferable, efficient, and user friendly.

97 These new approaches to a modular unified framework are too large a challenge to be achieved by
98 a single organization. MUSICA and its components are being developed by the atmospheric
99 research community under the coordination of the National Center for Atmospheric Research
100 (NCAR) Atmospheric Chemistry Observations and Modeling (ACOM) Laboratory and in support
101 of the National Science Foundation (NSF) Atmospheric and Geospace Sciences (AGS)
102 Atmospheric Chemistry program. Several strategic partnerships with prominent research groups
103 and organizations in the USA and elsewhere in the world are being established to foster the
104 development. MUSICA is guided by a Steering Group (Appendix A) with committed
105 representatives of the broad research community and by Working Groups each led by three co-
106 Chairs (Appendix B), who represent the wider research community including national and
107 international universities and research organizations. The NCAR ACOM Laboratory serves as the
108 lead organizer and coordinator of MUSICA. It is intended that MUSICA development will connect
109 with other unified atmospheric chemistry modeling initiatives (e.g., Jöckel et al., 2010; Badia et
110 al., 2017).

111 **2. Infrastructure design**

112 The specific goal of MUSICA is to produce a new modular and flexible infrastructure that will
113 enable chemistry and aerosols to be simulated over all relevant atmospheric scales in a single,
114 coherent fashion. Coupling of a stand-alone chemistry component to other atmospheric processes
115 (e.g. aerosol cloud interactions or convective scavenging) and Earth System components (e.g.
116 land/sea atmospheric exchange) is a challenge and requires MUSICA to apply modern software
117 approaches (e.g. object oriented) and scientists and software engineers to closely work together
118 throughout all stages of the design and development.

119 MUSICA design will enable its components to be connected to any 3-dimensional (3-D) global or
120 regional atmosphere model, or to any 1-D single column or 0-D box model. It will also have the
121 capability to be driven by atmospheric re-analyses. The infrastructure will provide and extend the
122 functionality of existing models (e.g., the Community Atmosphere Model with Chemistry (CAM-
123 chem) and Whole Atmosphere Community Climate Model (WACCM), both embedded in the
124 Community Earth System Model (CESM) (Hurrell et al., 2013), GEOS-Chem (Bey et al., 2001)
125 or the Weather Research and Forecasting with Chemistry (WRF-Chem) (Grell et al., 2005; Fast et
126 al., 2006)), but will also incorporate and advance models of chemistry and aerosols down to
127 turbulence-resolved scales.

128 At the heart of MUSICA is the Chemistry and Aerosol Suite (Figure 1), which provides updates
129 to chemical states of gases and aerosols within the broader atmospheric simulation framework.
130 The suite is being designed to support a range of gas phase schemes (Section 3c) and aerosol
131 representations (Section 3d) and includes all associated processes (e.g. photolysis rate calculations,
132 nucleation, coagulation, thermodynamics, etc.) in separate modules. It is to be incorporated
133 through a standardized and well-defined interface, thus can be connected to any atmosphere
134 dynamics model and physical component that is compliant with the interface. This modular
135 structure with a standardized interface simplifies the inter-comparison of individual modules and
136 opens the way to quantifying uncertainties of individual processes, e.g. directly assessing the
137 impact of different aerosol schemes on radiative forcing.

138 MUSICA will be capable of coupling to biogeochemistry treated in land and ocean models and
139 provide a platform for both short-term air quality predictions, and fully-coupled Earth System
140 simulations. Closely tied to the development of MUSICA is the development of community tools

141 for processing input data such as emissions onto flexible model grids (Section 3b), a common
142 model evaluation and diagnostics framework, and data assimilation capabilities (Section 3g).

143 **3. MUSICA components and working groups**

144 The following Sections provide a brief overview of the currently seven working group topics,
145 expected developments, and challenges and scientific gains expected from the new infrastructure.

146 The working groups are in the process of establishing themselves and are inviting members from
147 the community to become active members.

148 **3a. Model architecture**

149 The development of MUSICA will be enabled by novel thinking in modern computational
150 architectures. MUSICA needs to be fundamentally flexible to allow individual components to be
151 used in multiple atmospheric host models and data needs to be communicated between individual
152 modules through well-defined standardized interfaces. Data requirements from each module will
153 be communicated during the time of model configuration and configuration tests will be
154 implemented to ensure that a valid and compatible set of model components is selected. Users of
155 MUSICA will be able to select parameterizations in a user-friendly way. A dictionary of chemical
156 properties will ensure that physical and chemical quantities are defined consistently between all
157 components of the framework.

158 In current models, physical and chemical processes are typically packaged together into a single
159 monolithic code base with complex data interdependencies, but this slows down scientific
160 advancement – if package A is better than package B for (e.g.) surface ozone but package B is
161 better than A for predictions of carbonaceous aerosols, we want to be able to combine the best of
162 both. For MUSICA monolithic codes will be broken into separate interoperable modules (Figure

163 1), thus a user can choose a set of modules during configuration and also change the order of the
164 module call (e.g. test calling transport routines before or after chemistry routines).

165 In MUSICA, emissions and observational data or any other input data will be accessed and
166 remapped to the model grid during runtime. A remapping tool is responsible for the full 3-D
167 conservative remapping required by the user specified model grid and the source data grids. A
168 clearly defined layer will provide a connection for code related to the evaluation of the model,
169 modification of model data through assimilation (Section 3g), and delivery of emissions data
170 (Section 3b). These processes abstract the data sources from the model data, thus minimizing
171 problems such as storing data on alternative grids, communication costs, and load balancing. It
172 will eliminate the need for users to implement re-gridding solutions themselves.

173 MUSICA will simplify the user experience, clarify data dependency between parameterizations,
174 make the configurations of scientific model runs and corresponding data sets more traceable,
175 support unit testing and integration testing, broaden and simplify model evaluation, and simplify
176 updating and adding new schemes and parameterizations. Important goals include ensuring that
177 MUSICA can be run on many different computer systems, designing clear processes for code
178 improvements, and satisfying many different types of users. Lastly, MUSICA and all its
179 components must guarantee open access and provide comprehensive benchmark scenarios, and
180 user guides.

181 **3b. Emissions and deposition**

182 A critical component of chemistry models is the specification of anthropogenic, biomass burning
183 and natural emissions. Historic and up-to-date emission inventories will be made available in

184 MUSICA, in cooperation with international groups developing these inventories, and efforts will
185 be made to achieve consistency among global, regional and local emission inventories.

186 The spatial resolution of emission inventories is constantly improving: the resolution of some
187 global inventories is currently on the order of 0.1 degrees (e.g., Crippa et al., 2016; Granier et al.,
188 2018), close to that of regional inventories at 7 km (Kuenen et al., 2014). Nevertheless, such
189 resolutions might still be too coarse for modeling some scenarios, e.g., urban air quality at the city
190 scale. Moreover, the proxies used to spatially allocate the emissions may often not be
191 representative of the real-world spatial emission patterns (e.g., use of population density to
192 distribute residential wood combustion emissions).

193 An online capability for re-gridding, applying temporal variation and vertical distribution of
194 emissions, and combining different inventories (e.g., with different sectors and/or different
195 resolutions) is needed. This will eliminate the need for creating model-configured emission files
196 as a preprocessing step for running a model and reduces disk space needs. More importantly, it
197 will allow accounting for impacts of dynamics and meteorology on emissions such as changes in
198 fugitive emissions with temperature or plume dispersion for point emissions. In order to
199 accomplish this, we will learn from the examples of the HEMCO (Keller et al., 2014) and
200 HERMES (Guevara et al., 2019) emission models and collaborate with these teams.

201 Conservative re-gridding tools that can handle unstructured grid meshes with regional refinement
202 capabilities (Figure 2) are needed. Applying diurnal, day-of-week, holiday and seasonal variations,
203 as well as vertical distribution (e.g., power plant stack height) to standard inventories is critical for
204 high resolution air quality modeling, but may not have been necessary for coarse resolution global
205 modeling. Emission temporal profiles should account for spatial variations across countries and
206 regions due to variable sociodemographic habits (e.g., influence of local crop calendars on the

207 application of fertilizers) and climate conditions (e.g., relationship between outdoor temperature
208 and residential combustion emissions).

209 An online emission capability for MUSICA should enable efficient updating of inventories such
210 as the ability to predict preliminary emissions for more recent years (e.g., applying sector and
211 pollutant-dependent extrapolations) or adding emission sectors that are not captured in inventories
212 (e.g., traffic dust resuspension emissions). Detailed maps of vegetation and land-use will be
213 necessary for the spatial distribution of anthropogenic emissions linked to, e.g. agriculture
214 practices, and for natural emissions (biogenic, dust, etc.), and should be consistent with those used
215 in the development of fire emissions and the land type used in any other part of the simulation.

216 It should be considered to integrate emission models into the emission tools. Such models should
217 combine activity data and emission factors collected at a fine spatial scale (e.g., road level) with
218 detailed emission estimation algorithms that represent the different factors influencing the
219 emission processes. Moreover, these models should include functionalities for automatically
220 manipulating and performing spatial operations on geometric objects (raster, shapefiles) so that
221 they can be implemented in any region at high resolution.

222 Some emission sources are already calculated online in many models, such as biogenic emissions
223 (Guenther et al., 2012; Hudman et al., 2012), lightning NO emissions (Section 3e), or dust and sea
224 salt emissions (Mahowald et al., 2006a; Mahowald et al., 2006b). These emissions depend on
225 environmental variables in non-linear ways, requiring special care to make the underlying
226 parameterization scale-aware and linking to land and ocean models.

227 Dry deposition of gases and aerosols depends on meteorology and biophysics, and needs to be
228 fully consistent with the land and oceanic surface representation and meteorology determining

229 surface fluxes of energy and emission of biogenic compounds. Current dry deposition schemes
230 crudely parameterize the process, leading to poor understanding of the impact of dry deposition
231 on atmospheric chemistry and vegetation. However, the degree to which depositional processes
232 should be resolved for accurate simulation at different scales is uncertain. While recent work points
233 to the value of coupling atmospheric and land models through terrestrial dry deposition (e.g.,
234 Paulot et al., 2018; Clifton, 2018), a major question is whether more complex models such as
235 multilayer canopy models, including those with in-canopy ambient chemistry, more accurately
236 capture dry deposition at regional scales. A common framework for testing different approaches
237 for scale-aware dry deposition modeling would expedite advancing our understanding of dry
238 deposition's role in the earth system.

239 **3c. Chemical schemes**

240 It is both a challenge and opportunity to provide accurate yet computationally efficient chemical
241 mechanisms for diverse regions (local to global, troposphere to upper atmosphere) and time scales
242 (hours to centuries). Therefore, chemical mechanisms should be developed to be more flexible and
243 modular than is currently the case. Such mechanisms could be tailored to address different types
244 of problems - e.g., a long-term global climate study might require a different mechanism than a
245 short-term air quality study. Albeit a large challenge, the potential to apply different mechanisms
246 at different locations/altitudes within a single model run (Santillana et al., 2010; Shen et al., 2019)
247 should be pursued.

248 Perhaps most exciting are the tools with which next-generation chemical mechanisms will likely
249 be built. Traditional methods for mechanism development, where mechanisms are hand-written,
250 starting simple and adding complexity as needed, are a vital, proven tool, and the maintenance and
251 development of these mechanisms (for example, Carbon Bond (Sarwar et al., 2008), SAPRC

252 (Carter, 2010), RACM2 (Stockwell et al., 1997), MOZART (Emmons et al., 2010), GEOS-Chem
253 (Bates et al., 2019; Wang et al., 2019)) must continue. However, mechanisms developed on the
254 basis of a new paradigm are also coming into existence. Models like GECKO-A (Aumont et al.,
255 2005) and the SAPRC MechGen system (Carter, 2019) use structure-activity relationships (SARs)
256 to estimate kinetic parameters for unstudied reactions, and may contain millions of chemical
257 species and reactions. These fully explicit mechanisms could be reduced through some
258 combination of machine learning and more traditional approaches (Szopa et al., 2005) to
259 lumped/parameterized mechanisms of magnitude and content appropriate to answer a specific
260 science problem.

261 As with other aspects of MUSICA, modularity is critical. There is a clear need for an adaptive
262 approach (Shen et al., 2019) or other methods that allow for facile switching between different
263 mechanisms including user-provided mechanisms. Benchmark mechanisms need to be provided
264 that meet the needs of the user community, with respect to their ability to address scientific
265 problems of current scope and interest as well as possible limitations in computing resources. In
266 addition, seamless connections to other infrastructure components must exist (e.g. connections to
267 solvers and radiative transfer codes). Connecting the chemical mechanisms to emissions modules
268 – i.e., providing protocols that allow connections to be made between emitted and mechanism
269 species – needs to be given full consideration.

270 Various unresolved challenges associated with mechanism development remain. For example, as
271 fossil fuel emissions, which currently are the dominant source over much of the developed world,
272 are decreasing as a result of mitigation policies, other sources such as biomass burning or personal
273 care products gain in importance. Including these new emission sources requires identifying
274 appropriate compounds that represent the chemistry, developing reaction pathways for these

275 identified compounds, and applying reduced-chemistry approaches. In many cases, the
276 representative compounds are still being identified; for many of the compounds that have been
277 identified, the available kinetic and mechanistic data are insufficient to develop reliable reaction
278 pathways.

279 Mechanisms must include gas-phase chemistry, but also must consider condensed-phase
280 partitioning and chemistry in aerosols and clouds. Chemical mechanisms for aerosol and cloud
281 chemistry, which are continually developing, could follow the new paradigm of utilizing SARs to
282 represent organic chemistry in the aqueous phase. The next-generation chemical mechanisms must
283 address the need to represent a broader range of atmospheric processes, in addition to the range of
284 scales and conditions previously described.

285

286 **3d. Aerosols**

287 The representation of aerosol populations in current models ranges from the simplest and
288 computationally most efficient bulk approach that assumes a single or fixed size bin (e.g., Colarco
289 et al., 2010) to modal (e.g., Binkowski and Roselle, 2003; Liu et al., 2012), and sectional
290 approaches (Wexler et al., 1994; Bessagnet et al., 2004). MUSICA will be designed to
291 accommodate any type of aerosol treatment.

292 Sectional aerosol models such as MOSAIC (Zaveri et al., 2008) represent aerosols in different
293 internally mixed and discrete size bins. They are typically more computationally expensive than
294 modal ones and thus used primarily for research purposes. Modal aerosol representation as
295 frequently used in global climate models (e.g., Neale et al., 2010; Rasch et al., 2019) assumes a
296 log-normal uniform aerosol composition within each size mode. Fully explicit approaches, which

297 simulate individual particles using a Monte-Carlo method (e.g., Riemer et al., 2009) are becoming
298 available. In contrast with the other approaches, the fully explicit approach does not impose any
299 assumptions regarding the size distribution and mixing state of aerosol compositions. However,
300 millions of particles are needed; therefore, the explicit approach is used primarily within a box-
301 model like configuration although a few recent studies have integrated this approach into 3-D
302 models (Curtis et al., 2017).

303 In addition to treating the aerosol number and size distribution, the complexity of an aerosol model
304 also results from the treatment of processes that affect their lifecycle such as nucleation,
305 coagulation, gas-to-particle partitioning, removal mechanisms, and hygroscopic and optical
306 properties. Currently, modules that treat aerosol processes are typically strongly tied to the aerosol
307 representation of the host model, which complicates porting aerosol process treatments between
308 host models with different aerosol representations. The required level of complexity increases
309 when considering aerosol-cloud interactions or interstitial and cloud-borne aerosol species.

310 This prevents fair comparisons and rigorous assessments of different aerosol treatments and also
311 complicates modifying or replacing aerosol treatments in models. Determining which treatment
312 for an aerosol process performs the best, and why, is nearly impossible because many parts of 0-
313 D or 3-D model configurations are different. In addition, it is difficult to gauge which numerical
314 representation of an aerosol process is most appropriate for a particular application or spatio-
315 temporal scale.

316 MUSICA and its underlying modularity will permit greater interoperability of processes within an
317 aerosol model, therefore facilitating advances in aerosol process treatments that can be
318 documented over time. For example, many types of thermodynamic modules (e.g., Jacobson et
319 al., 1996; Nenes et al., 1999; Zaveri et al., 2005) with varying complexity and computational

320 expense have been developed and implemented in various aerosol models. However, in a modular
321 framework, one aerosol model could be chosen for different thermodynamic modules allowing a
322 more direct assessment of errors associated with the treatment of gas-to-particle partitioning. Also,
323 a flexible aerosol framework should lead to a better quantification of impacts of a more accurate
324 representation of the aerosol mixing state (e.g., by allowing a better transition between freshly
325 emitted aerosols that are treated as externally mixed and aged aerosols that are treated as internally
326 mixed in remote regions).

327 A goal of MUSICA is to enable increased flexibility so that more complex and realistic
328 representation of the aerosol lifecycle can be included in air quality simulations and a
329 computationally more efficient representation can be used in climate simulations. Hundreds of
330 prognostic variables are often needed to represent the size, composition, mixing state, and
331 volatility associated with the multitude of chemical pathways responsible for secondary aerosol
332 formation. In addition, it is often desirable to track anthropogenic, biomass burning, biogenic and
333 other natural aerosols separately, which further increases the computational burden.

334 **3e. Physics, transport, subgrid- processes**

335 Physics processes and subgrid-scale transport encompass turbulence, gravity waves (Section 3f),
336 convective transport, vertical mixing, and wet scavenging of trace gases and aerosols in stratiform
337 and convective clouds. All of these processes can be sensitive to the grid mesh sizes and therefore
338 are often tuned for optimizing model results. In addition, subgrid-scale processes can affect
339 chemical reactivity and aerosol microphysics when model grid cells are not well mixed. Wet
340 scavenging and lightning nitrogen oxides (NO_x) generation is included here, rather than Section
341 3b, because of the reliance of these two processes on the properties of convection as well as
342 resolved clouds.

343 While some numerical weather prediction and climate models already have the capability of
344 regional refinement where scale-aware parameterizations have been evaluated (e.g. Fowler et al.,
345 2016; Fowler et al., 2019), an important activity that needs to be executed for physical processes
346 affecting composition is testing and evaluating parameterizations at grid meshes ranging from 100s
347 km in size to ~ 1 km in size. Ultimately, the parameterizations need to ensure that mass, momentum
348 and energy are conserved in the transition from explicitly resolved to parameterized scales.
349 However, there are several specific challenges for atmospheric chemistry that we highlight here.

350 One specific challenge is the vertical resolution (see also Section 3f). With varying horizontal
351 resolution, the vertical resolution and aspect ratios will also need to change to accurately resolve
352 vertical mixing and the role of convection and turbulence as well as fine-scale chemical processes.
353 Additionally, for representing the long-range transport of plumes or troposphere-stratosphere
354 exchange, a vertical resolution of approximately 100 m is needed (Zhuang et al., 2018) and the
355 question remains to what degree this will be feasible in a refined global mesh. Finally, many
356 coarse resolution models have been tuned for lower vertical resolutions, and it needs to be
357 considered how to adapt and modify a boundary layer routine where a variable vertical grid is
358 needed to accurately capture the vertical mixing of atmospheric constituents.

359 Another specific challenge is seamlessly estimating lightning flash rate. A coarse grid will contain
360 a convective parameterization representing one or many convective storms, while the fine grid will
361 resolve the convective storm. Considering that lightning flash rate parameterizations are based on
362 bulk properties of a single storm, there will be a need to transition from using storm properties in
363 one grid cell to those in several neighboring grid cells. To confront this challenge, continual
364 evaluation and development of lightning schemes will be needed.

365 In addition, constituent transport by shallow convection (Grell and Freitas, 2014), a process often
366 omitted in models, will need to be incorporated at grid scales larger than 100s m. Shallow
367 convective transport is a means of moving trace gases and aerosols to the free troposphere and
368 simultaneously reducing surface mixing ratios. Currently, boundary layer parameterizations are
369 employed by the chemistry transport model and constituents are transported as passive tracers.
370 However, fast chemistry alters trace gas vertical gradients which affects their transport efficiency.
371 Furthermore, most chemistry transport models assume that each grid cell is well mixed, although
372 it has been shown with large-eddy simulations that reactants can be segregated causing effective
373 reaction rates to be different from those in the well-mixed grid cell (e.g., Ouwersloot et al., 2011;
374 Kim et al., 2016). The MUSICA framework will allow further explorations of these issues through
375 its ability to connect to large-eddy simulation models or via regional to local grid refinement.

376 **3f. Whole atmosphere**

377 Gravity waves couple the full vertical extent of the atmosphere through momentum and constituent
378 transport, impacting stratospheric ozone and the drag on low-Earth orbit satellites, while
379 tropopause folds and the Asian monsoon lead to pronounced stratosphere-troposphere exchange
380 of gases and aerosols. The characteristic scales of these circulations are generally finer than those
381 found in global models, despite their global impacts. Regional refinement in MUSICA has the
382 ability to resolve these phenomena, enhancing its value as a tool for supporting multi-scale whole
383 atmosphere science - but it also faces a number of new challenges.

384 Convection, geostrophic adjustment associated with frontal systems, and flow over topography
385 generate vertically-propagating gravity waves with horizontal wavelengths of tens to hundreds of
386 kilometers. Their amplitudes grow exponentially as they propagate upward into the low densities
387 of the mesosphere and lower thermosphere until they break, shedding their momentum and

388 turbulently mixing the atmosphere. The sources of these waves, their characteristics, and their
389 impacts on momentum, heat, and chemical transport are typically parameterized [Garcia et al.
390 2014]. These parameterized waves play a major role in driving the Quasi-Biennial and Semi-
391 Annual Oscillations, the meridional circulation of the mesosphere, and vertical transport between
392 the stratosphere, mesosphere, and lower thermosphere [e.g., Fritts and Alexander 2003;
393 Grygalashvyly et al., 2012; Gardner and Liu, 2016].

394 In the thermosphere, high-energy processes such as photo-dissociation, photo-ionization, and
395 energetic particle precipitation produce atomic oxygen and nitric oxide [Hendrickx et al. 2018].
396 Gravity waves mix these species downward into the mesosphere and stratosphere, with major
397 impacts on airglow emissions, ozone, and oxidative species [Smith et al., 2013]. The transport of
398 atomic oxygen creates a net transfer of chemical potential energy from the thermosphere to the
399 mesosphere, while nitric oxide transport to the stratosphere may drive ozone destruction [Brasseur
400 and Solomon, 2005]. Water vapor, methane, and carbon dioxide are similarly mixed upward, with
401 long-lived greenhouse gases like sulfur hexafluoride mixed up to their loss regions [Kovács et al.,
402 2017]. Observations indicate these gravity-wave-driven vertical transports throughout the
403 mesosphere and thermosphere are severely underrepresented in models [Smith et al., 2015; Huang
404 et al., 2015; Millán et al. 2015; Yue et al. 2015; Gardner et al., 2016b].

405 Regional refinement in MUSICA will resolve mesoscale gravity waves, reducing the dependence
406 of many circulations and transports on parameterizations. Since these phenomena could occur
407 anywhere, ideally adaptive grids would be needed but are not likely to be realized in the near
408 future. The primary challenges will be to: (1) develop a “scale-aware” gravity wave scheme that
409 adjusts the parameterized wave spectrum within the grid refinement region, (2) redevelop the
410 parameterizations to be consistent with the resolved gravity wave transports, and (3) address any

411 spurious momentum forcings at the edges of the refinement where the newly-resolved waves will
412 likely evanesce due to the coarsening grid potentially introducing artifacts in dynamics and
413 transport.

414 In spite of these challenges, regional refinement in MUSICA presents new opportunities for
415 research and model development. “Nature run” simulations with strategically-placed refined
416 meshes, in addition to remote sensing observations, can be used to develop more self-consistent
417 parameterizations of gravity wave dynamics and transport for the global grid [e.g., Gardner et al.,
418 2019]. Regional refinement will better resolve key ocean-atmosphere coupling in subseasonal-to-
419 seasonal forecasting regions, like the East Pacific, as well as finer horizontal transport in the Asian
420 monsoon region (Table 1). However, vertical resolution remains the primary limit to modeling
421 whole atmosphere coupling, and there is a need to explore the possibility of vertical refinement
422 [Holt et al., 2016; Daniels et al., 2016; Garcia and Richter, 2018].

423 **3g. Model evaluation and data assimilation**

424 The integration with observations will be a critical aspect of MUSICA. MUSICA needs to be
425 capable of supporting field campaign design and analyses, satellite calibration and validation,
426 retrieval algorithm development, correlative analysis between different atmospheric quantities as
427 well as data assimilation and inverse modeling. Evaluation is critical to characterize and reduce
428 model errors associated with insufficient scientific understanding, such as uncertainties in input
429 parameters, and model approximations.

430 By appropriately accounting for the differences in the representation of processes at multiple
431 scales, MUSICA provides key opportunities to overcome some of the current limitations faced
432 when confronting models with observations. It will reduce uncertainties in the representativeness

433 of the modeled and observed states of chemical constituents (e.g., Janjic et al., 2017), and as a
434 consequence, will improve the utility of model evaluation and interpretation. As such, MUSICA
435 will be essential for fully exploiting available observational constraints and gains from, for
436 example, the upcoming geostationary satellite constellation (Judd et al., 2018; Chance et al., 2019)
437 (Table 1).

438 Available evaluation tools should provide basic statistical metrics for evaluating the ability of the
439 model to fit large observational data sets, for analyzing complex time series and for establishing
440 statistical relations between the concentration of different species. A database of evaluation data
441 sets will be compiled, with the ability for a user to select which data sets are appropriate for the
442 model evaluation (e.g., satellite curtains and maps, aircraft tracks, surface stations, sonde profiles,
443 etc.) Given the selected data sets, output will be sampled at the appropriate co-temporal and co-
444 located points and written to files for easy comparison.

445 Data assimilation tools will build upon current capabilities, e.g., the Data Assimilation Research
446 Testbed (Anderson et al., 2009), the Community Gridpoint Statistical Interpolation (GSI) tool
447 (Shao et al., 2016), or the Joint Effort for Data assimilation Integration (JEDI --
448 <https://www.jcsda.org/jcsda-project-jedi>). Similarly, evaluation and diagnostic tools will
449 complement existing tools, e.g., the CESM diagnostics package
450 (http://www.cesm.ucar.edu/working_groups/Atmosphere/amwg-diagnostics-package/), the
451 ObsPack Diagnostics (Masarie et al., 2014) or the Aerosol Modeling Testbed (Fast et al., 2011).
452 MUSICA requires more advanced evaluation and assimilation tools that span a wide range of
453 spatial and temporal scales and needs to provide interfaces that make it easy for users to digest
454 their own data. Evaluation tools also need to enable direct model to model intercomparison.

455 Chemical data assimilation faces specific challenges. These include high dimensionality and non-
456 linearity, irregular frequency distribution, representativeness, mass conservation issues, model
457 errors, parameter uncertainties, and more importantly the need to account for emissions (e.g.,
458 surface boundary conditions). Methods will also have to account for the tight coupling within the
459 chemical system (between multiple constituents of varying lifetimes) and across Earth system
460 components (e.g., Carmichael et al., 2008; Sandu and Chai, 2011; Bocquet et al., 2015).

461 The development of tangent linear and adjoint capabilities, along with efforts to improve and refine
462 the ensemble forecast capability, will be an integral part of MUSICA's development and not ex
463 post facto as has been the case in the past. This offers a more effective and efficient development
464 strategy, which can minimize many of the pitfalls (e.g., use of ad-hoc approaches, high
465 computational costs, redundant use of resources). Although still considered a frontier question,
466 multi-scale data assimilation (e.g., Nadeem et al., 2018) will be a target for MUSICA.

467 Technical challenges remain when assimilating chemical data on unstructured grids with variable
468 resolution, particularly with regard to mass-conserving interpolation and grid transformation,
469 similar to the challenges faced with emissions data (section 3b). Development of scale-aware and
470 consistent observation operators (i.e., transformation of states and parameters from model to
471 observation spaces) is important. More advanced algorithms on localization and inflation (for
472 Ensemble Kalman Filter and hybrid methods) and more flexible assimilation windows to specify
473 different length-scales (for 4D-Var) need to be developed to fully exploit the multiscale nature of
474 the infrastructure.

475 **4. Example implementations and current status**

476 MUSICA is designed to be implemented under different configurations with for example different
477 dynamical cores and different formulations of physical and chemical processes. A first
478 implementation (MUSICA V0) will be achieved by configuring MUSICA within the CESM
479 framework, which enables full interactions with ocean, ice and land models. As part of the System
480 for Integrated Modeling of the Atmosphere (SIMA) project, CESM is being extended to support
481 cross-scale simulations and includes the hydrostatic Spectral Element dynamical core with variable
482 resolution in the atmosphere model (Lauritzen et al., 2018). This system allows global simulations
483 with regional refinement down to a few kilometers. A gas-phase only version of the Chemistry
484 and Aerosol Suite - the Model Independent Chemistry Module (MICM) - is being included in the
485 early version of the model infrastructure and the inclusion of aerosols is underway. Currently,
486 MICM supports various versions of the MOZART gas phase chemistry (Emmons et al., 2020) and
487 a gas-phase chemistry box model version based on MICM (MusicBox) will be released to the
488 community together with MUSICA V0.

489 Figure 3 shows surface ozone from a 1-degree uniform global resolution simulation, which is
490 compared to a MUSICA v0 simulation with a regional refined grid of ~14 km resolution over the
491 contiguous U.S. embedded in a 1-degree global resolution (as shown in Figure 2a). Despite the
492 fact that both simulations are nudged to meteorological re-analysis, the regional refinement leads
493 to changes not only over the higher resolution region, but also affects the outflow and leads to
494 differences over the downwind regions.

495 An offline mass conserving emission processing tool for mapping a variety of inventories to
496 unstructured grid meshes with regional refinement will become available together with MUSICA
497 V0. Development of a single online and offline emission tool for MUSICA has started and
498 involves restructuring of the HEMCO emission module (Keller et al., 2014). The current CESM

499 evaluation and diagnostics tool is being adapted to work with the framework. Sharing these beta
500 versions with the wider community provides a framework for co-development and testing.

501 As for data assimilation, the adoption of MUSICA Version 0 with existing capabilities (e.g.,
502 DART) is envisaged to be straightforward and will require less than two years to develop and
503 implement. Future developments will require close coordination among all working groups and
504 other data assimilation efforts. We also foresee that a development of an observation package can
505 be leveraged across different activities (model development, evaluation and testing, data
506 assimilation). Inclusion of tangent linear and adjoint modeling capabilities will take devoted
507 development and, like other efforts, continued support.

508 **5. Community involvement and outlook**

509 The development of a multi-scale infrastructure that meets the scientific needs of the entire user
510 community represents an exciting challenge that necessitates strong partnerships among different
511 organizations and different disciplines ranging from lab studies and field experiments to statistics
512 and computational sciences and from molecular chemistry to space physics. The new capabilities
513 represented by MUSICA will deepen existing, and establish new, working relations of the research
514 community with a variety of users ranging from the research community to stakeholders. MUSICA
515 will contribute to both advancing the science and to providing relevant and actionable information
516 for the development of mitigation policies or warning systems.

517 Within the next few years, MUSICA will gradually replace the current suite of community
518 chemistry models supported by NCAR and is envisioned to also integrate the capabilities of other
519 modeling capabilities in the community. It will provide efficiencies through consolidation of
520 model development and training efforts and provide a single point of entry for the majority of end-

521 users. The transition phase will be dictated by the progress of MUSICA in providing at a minimum
522 the capabilities of current models. The transition will be accompanied by educational activities
523 including user guides and in-person and online tutorials. MUSICA User Tutorials will occur
524 annually, but we envision the community taking part in offering model tutorials (e.g., through
525 regional MUSICA “Hubs”).

526 After the challenges posed by the multi-scale nature of the new infrastructure are met, the
527 atmospheric community will be able to leverage MUSICA to advance our understanding of the
528 multi-scale couplings across the range of spatial scales, throughout the whole atmosphere and
529 across different components of the Earth system. The MUSICA framework, with its inherent
530 modularity and flexibility and ability to tailor configurations to specific issues, as well as its
531 emphasis on global community participation, is an excellent vehicle to address modern, multi-
532 scale and complex problems. MUSICA is envisioned to become an engine of convergent research
533 by not only advancing chemistry/aerosol/air quality modeling capabilities but by providing a
534 framework for advancing research in the entire earth system sciences.

535

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537

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816 troposphere for modeling intercontinental plumes, *Atmos. Chem. Phys.*, 18, 6039-6055, 2018.

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818 Table 1: Examples of new science applications enabled by MUSICA

Past & Current Approach	Future Approach including MUSICA
<i>Impacts of the Asian monsoon on weather and climate</i>	
Hemispheric to global impacts without resolving convection or surface air quality over monsoon region.	More realistic predictions by resolving local air quality and convection in monsoon region consistently with global impacts.
<i>Exploiting the future constellation of geostationary satellites for atmospheric composition</i>	
Global analysis at resolutions coarser than that of observations or regional analysis without considering the benefits of the entire constellation and those of polar orbiting satellites.	Matching measurement resolution over the key regions together with global feedbacks results in more seamless prediction of long-range transport and more accurate source attributions between regions of the globe.
<i>Sub-seasonal to seasonal predictions of air quality and weather</i>	
Hampered by global simulations not fully resolving regional climate-relevant phenomena and with limited accuracy and information content at human impact scales.	Global coupled predictions with strategically placed high resolution over key areas (e.g. El Nino region) will lead to improved regional climate variability and air-sea coupling and also allow information on exposure relevant scales.

<i>Stratospheric intrusions</i>	
Global models hampered by coarse resolution near UTLS or regional models that are hampered by boundary effects.	Higher horizontal and vertical resolutions over tropopause fold regions will allow better representation of frontal passages and the filaments associated with intrusions.
<i>Long-range pollution transport and urban air quality</i>	
Global models providing boundary conditions to regional models only consider one-way feedback and are inconsistent in nature.	Seamless two-way feedback from local to global and global to local scales.
<i>Intercontinental/global-scale transport of chemical layers</i>	
Coarse vertical resolution and associated numerical diffusion prevents simulation of the layered structure of the troposphere.	Adaptive model resolution preserves chemical layers and enables assessment of their global impacts.
<i>Aerosols seeding extreme events (e.g. hurricanes)</i>	
High resolution over impact regions but coarse resolution over aerosol source regions and/or from lateral boundary conditions leads to poor aerosol prediction and affects feedback on extreme event predictions.	High resolution enabled over impacts and aerosol source regions in a consistent framework with fully enabled feedback of meteorology, chemistry, dynamics and between ocean and atmosphere.
<i>Feedback loop of climate change on greenhouse gas concentrations</i>	

Global simulations with coarse resolution over high emissions regions impact the accuracy of simulated pollutant life cycles and land/sea/atmosphere exchange.	Global feedbacks with increased spatial resolution over high emission regions better represent the life cycles of short-lived pollutants and land/sea/atmosphere exchange.
<i>Gravity wave processes impacting stratosphere and mesosphere temperature and mixing</i>	
Global simulations with general circulation, chemistry, and climate dependent on parameterized wave sources, characteristics, and transport; or costly high-resolution “nature runs”.	Better resolution of the gravity wave spectrum within the refined region; a more internally-consistent gravity wave parameterization on the global grid.
<i>Effect of megacities on global atmospheric composition and climate</i>	
Disconnected spatial and temporal scales, separate models for local/regional and global	A fully coupled system will allow to account for detailed chemistry/emissions over megacities, and quantify the associated effects on remote regions (e.g., Arctic) and global atmosphere.
<i>Chemical data assimilation (CDA) and evaluation</i>	
CDA in models is generally done separately from meteorological DA and limited to updating atmospheric concentrations.	CDA will be co-developed as integral part of MUSICA with the objective of updating concentrations and inputs (e.g. emissions)

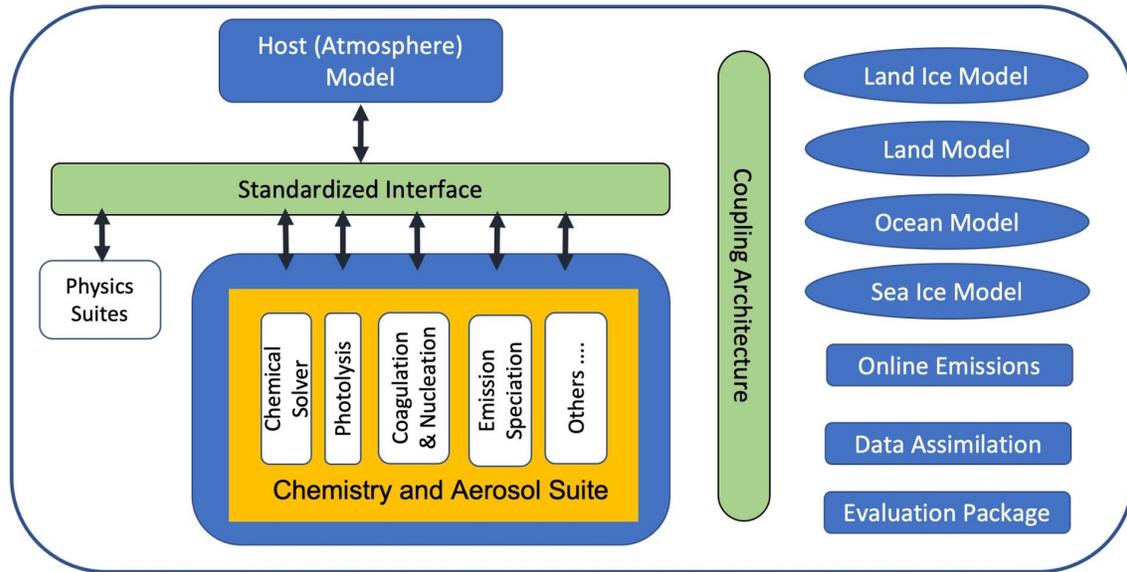
Evaluation tools have been developed separately from DA.	efficiently. Commonalities between DA and evaluation will be addressed in parallel.
<i>Air quality under a changing climate</i>	
Use the Pseudo Global Warming method to provide initial and boundary conditions to a regional AQ model from ‘bias-corrected’ data from an ensemble mean of climate model simulations.	Conduct an ensemble of simulations under various future scenarios with a single self-consistent model with sufficient resolution to simulate key air quality metrics.
<i>Co-benefits of greenhouse gas emission reduction policies</i>	
Conduct separate inconsistent simulations using regional AQ models and global climate models to investigate impacts on AQ and climate change from the move towards a net-zero-emissions future.	Conduct simulations under net-zero future scenarios with a single self-consistent model with sufficient resolution to simulate key air quality metrics and accurately simulate climate change.
<i>Top-down emission estimates</i>	
Either coarse resolution or inconsistency in modeling and emissions when constraining sources and sinks of long-lived species.	Improved accuracy and consistency by simulating transport and chemistry of long-lived species consistently across all scales.
<i>Land surface coupling</i>	

Coarser resolution climate models are limited in their representation of land-atmosphere couplings, such as biogenic emissions and dry deposition of atmospheric constituents. Many regional models lack full coupling between land and atmosphere processes.	Land-atmosphere coupling and regionally finer resolution improves representation of meteorology, biogenic emissions and wet and dry deposition. (E.g. simulating effect of acid rain on vegetation)
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823 Figure 1: MUSICA defines a framework to integrate chemistry into any atmospheric host model.

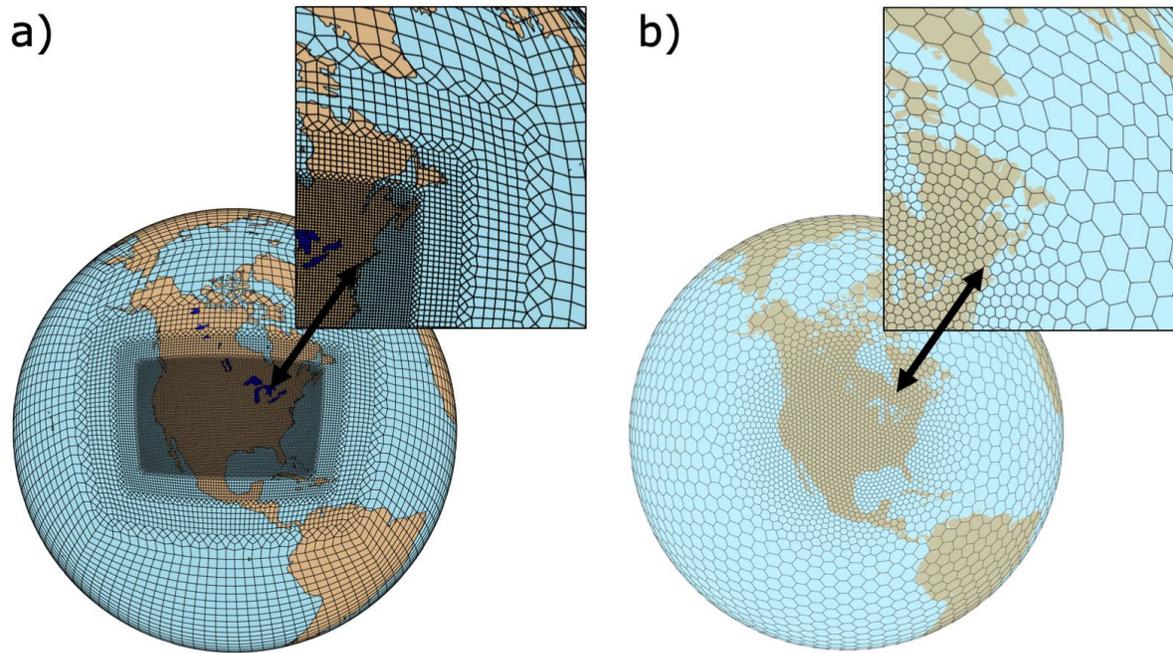
824 It includes coupling to emissions, evaluation and data assimilation tools and enables linking to

825 other Earth System components, all through well-defined standardized interfaces. All physics and

826 chemistry processes operate on individual columns, cross-column processes have to be handled by

827 the host model.

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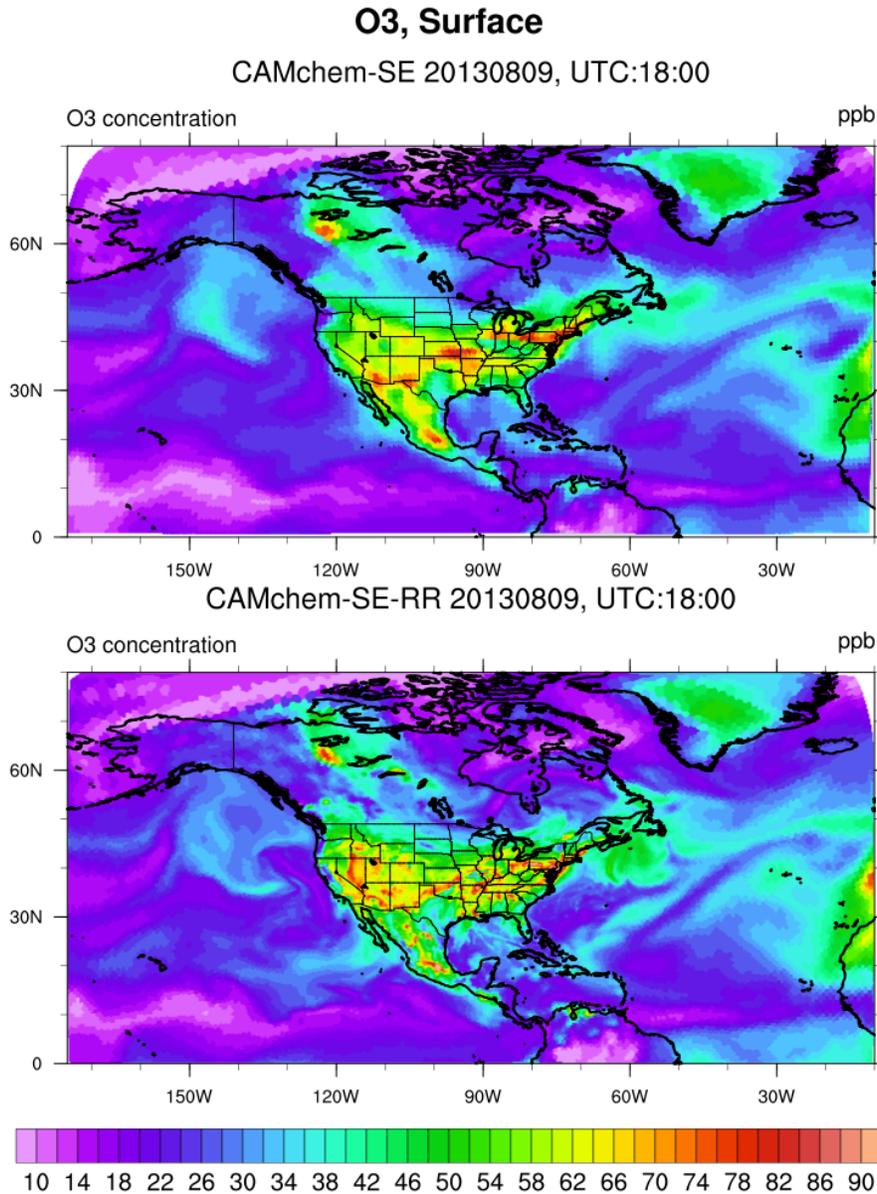


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831 Figure 2: Examples of unstructured grids with regional refinement over the contiguous U.S. (a) the Spectral
832 Element (SE) dynamical core (Zarzycki et al., 2014) and (b) the Model for Prediction Across Scales
833 (MPAS) (Michaelis et al., 2019) dynamical core.

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837 Figure 3: Surface ozone for 9 August 2013 18 UTC simulated at uniform global 1° horizontal
 838 resolution (top) and with a MUSICA_{v0} global 1° simulation that refines to 14 km resolution over
 839 the contiguous U.S. (bottom).

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