

Neural correlates of melodic prediction violations: similarities to language processing

Allison R. Fogel¹, Emily Morgan^{1,2}, Gina R. Kuperberg^{1,3,4}, Aniruddh D. Patel^{1,5}

¹Tufts University; ²University of California, Davis; ³MGH/HST Athinoula A. Martinos Center for Biomedical Imaging;

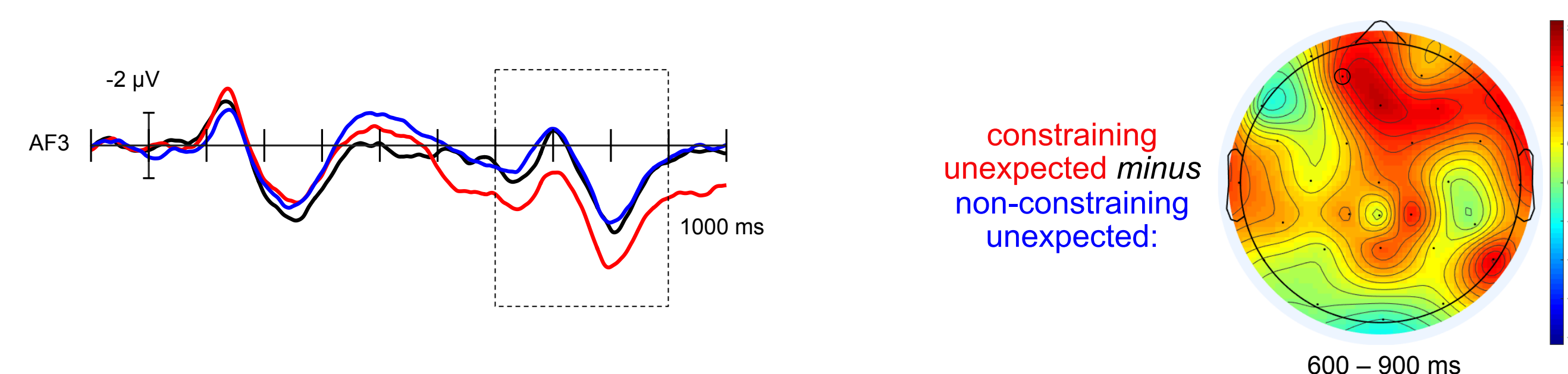
⁴Massachusetts General Hospital; ⁵Azrieli Program in Brain, Mind, & Consciousness, Canadian Institute for Advanced Research (CIFAR)



Introduction

- The concept of “prediction” is frequently evoked in studies of both music and language processing
- It has been suggested that predictive mechanisms may be shared between the two domains¹
- However, very different paradigms have been used to examine the neural correlates of prediction in music and in language
- In ERP studies of language, the effects of violating certain predictions have been examined by manipulating sentence contexts
 - Predictions for a specific word occur when a context constrains strongly for a certain continuation; these predictions can be violated even when a sentence is continued with a different **plausible** word
 - These violations have been observed to elicit a late anterior positive ERP component^{2,3}

Lexically constraining contexts mean constraint = 79%	The lifeguards received a report of sharks right near the beach. Their immediate concern was to prevent any incidents in the sea. Hence, they cautioned the...	swimmers	lexically predictable
		trainees	lexically unexpected (prediction violation)
Lexically non-constraining contexts mean constraint = 26%	Eric and Grant received the news late in the day. They decided it was better to act sooner than later. Hence, they cautioned the...	trainees	lexically unexpected (no prediction violation)



- In contrast, studies of prediction violations in music have mainly used **incongruent** events (e.g., out-of-key notes; usually eliciting an early right anterior negativity) and have not manipulated the contextual constraint of sequences⁴
- Here, we created a musical paradigm that more closely resembles those used in language studies

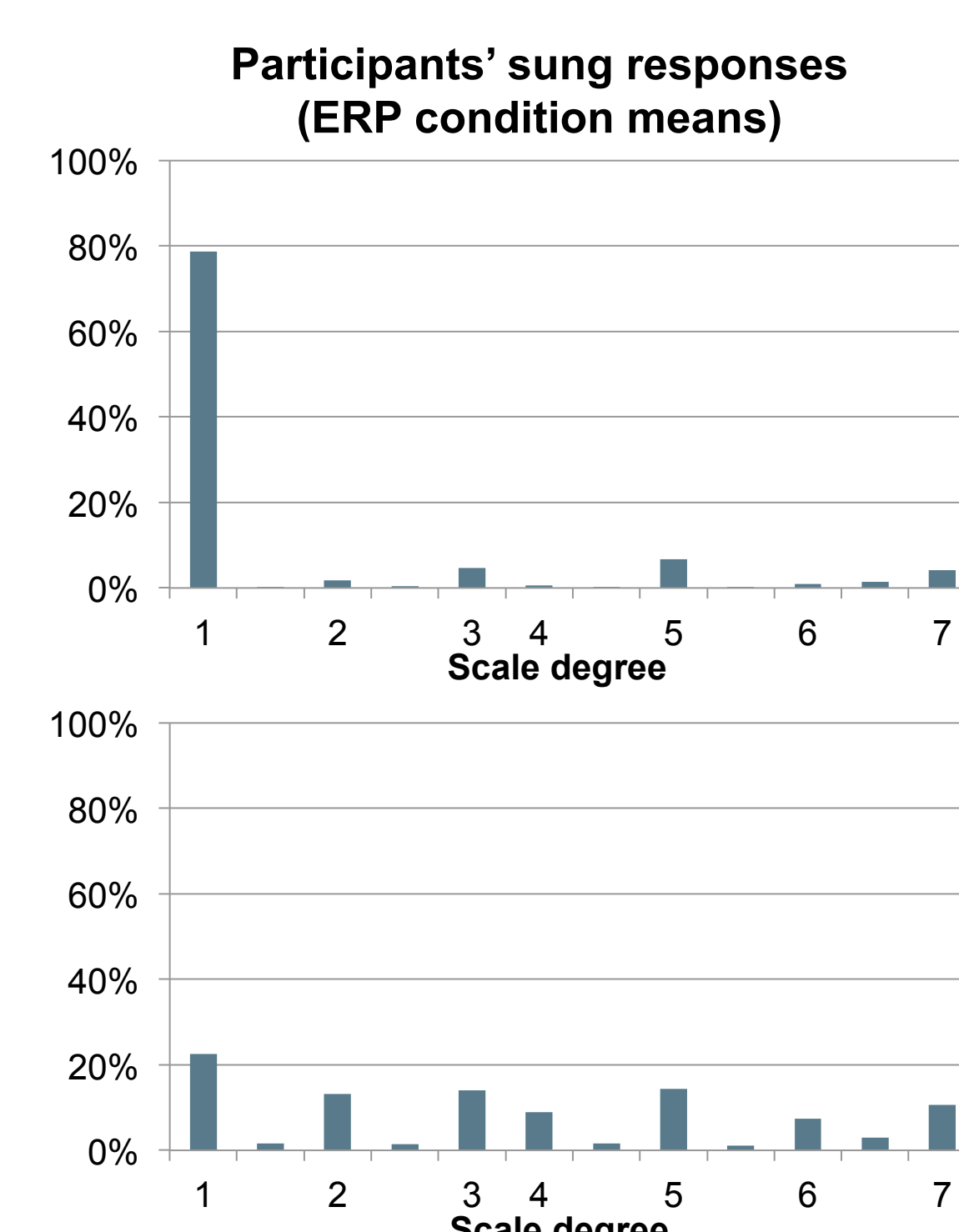
Stimuli Development

Melodic cloze probability task⁵

- Listeners were presented with the openings of novel tonal melodies and asked to “sing the note you think comes next” to continue (not necessarily complete) the melody
- Participants: 50 musicians (at least 5 years of experience within the past 10 years)

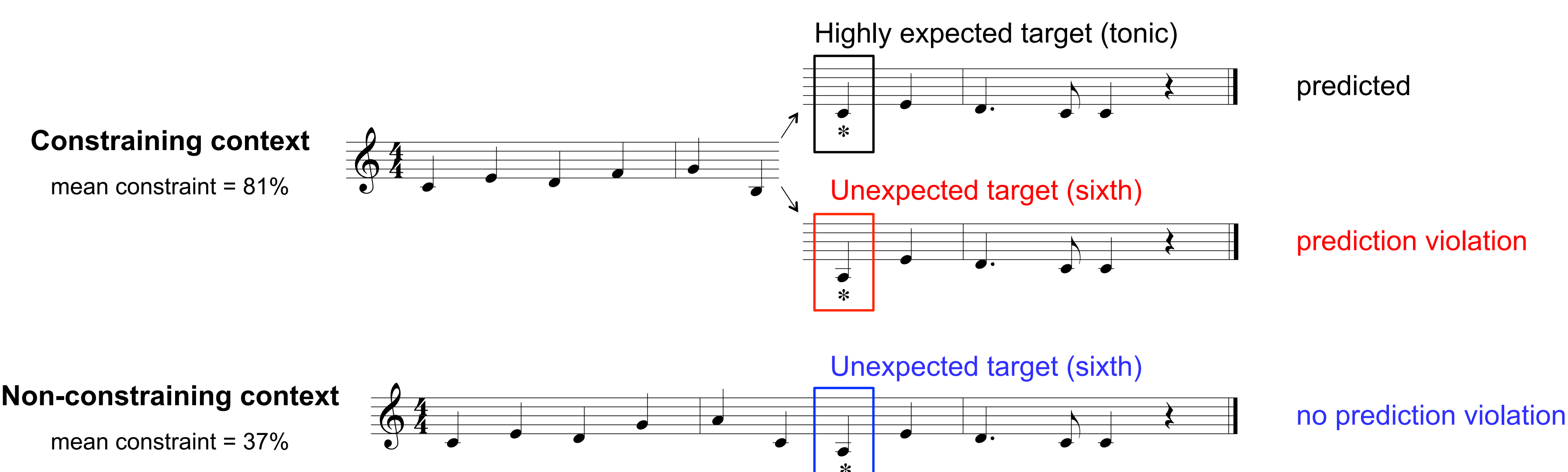
- Stimuli: 60 pairs of novel 5-9 note “melodic stems”
 - Presented with piano timbre at 120 BPM
 - Constraining** melodic stems: designed to constrain expectations to a single continuation; underlying harmonic structure ends with an implied authentic cadence
 - Non-constraining** melodic stems: not designed to constrain expectations; end with an implied IV, vi, or ii harmony

- Results used to define conditions for ERP study



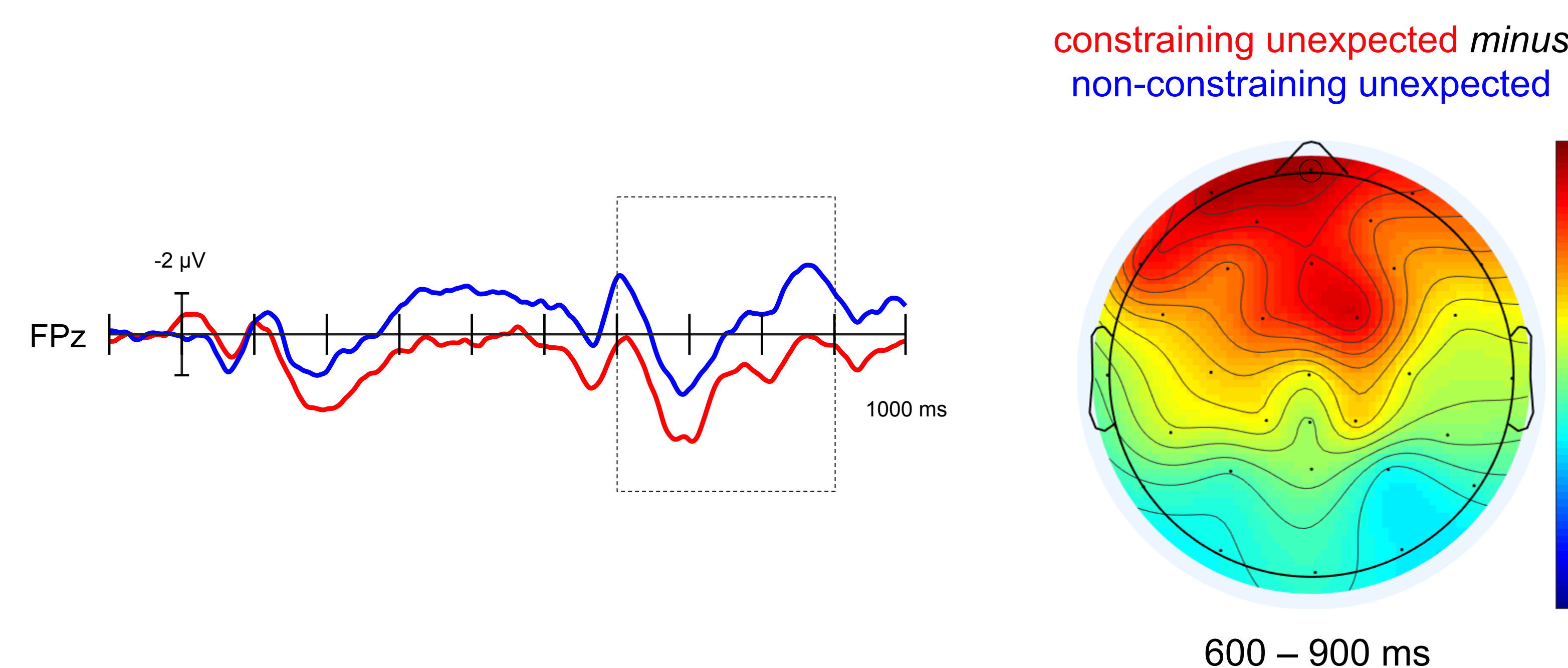
Methods

- Participants:** 25 musicians (at least 5 years of experience within the past 10 years)
- Stimuli:** 60 pairs of novel 10-15 note melodies (cloze stems + continuations created by the composer)
 - All in major keys; contained **no out-of-key notes or any other incongruities**
 - “Unexpected” sixth scale degree sounds entirely natural
 - Presented with piano timbre at 120 BPM
 - Each participant heard a given melody pair in only one condition, plus 30 filler melodies
- Task:** listen attentively and answer occasional memory probes

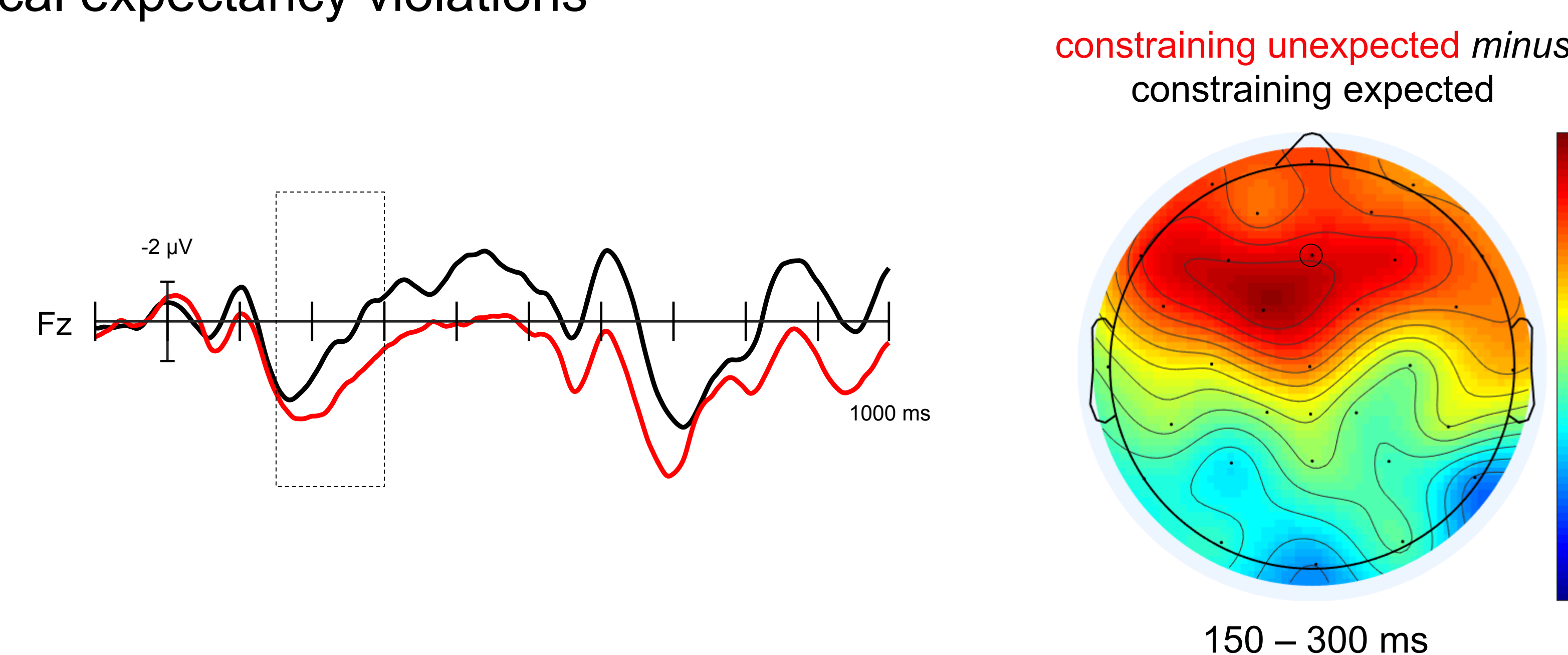


Results

- Unexpected target notes in constraining melodies** elicited a frontal positivity relative to the same **unexpected target notes in non-constraining melodies**, $t(24) = 2.82$, $p = .005$



- Unexpected target notes in constraining melodies** elicited a frontal positivity relative to highly expected target notes, $t(24) = 2.18$, $p = .020$
- No sign of the early right anterior *negativity* that has previously been associated with musical expectancy violations⁶



Conclusion

- When presented in melodies that constrain expectations for a different continuation, plausible (in-key) but non-expected target notes elicit a frontal positivity relative to the same notes in non-constraining melodies
 - This effect of melodic prediction violation resembles the ERP effect seen in language studies of prediction violation

Our results suggest that predictive processes may function similarly in language and music

- In constraining melodies, unexpected target notes elicit a frontal positivity relative to expected target notes
 - No resemblance to the early right anterior negativity (ERAN) previously shown to be elicited by “irregular” notes or chords⁷
- In contrast to most studies of melodic expectancy violations, the melodies used here had in-key target notes and did not contain incongruities of any kind
- We had no overt acceptability task

Manipulating the predictive constraint of sequences provides a new way to study the neural correlates of melodic expectation

References

- Patel & Morgan (2016) *Cognitive Science*.
- Federmeier, Wlotko, De Ochoa-Dewald, & Kutas (2007) *Brain Research*.
- Fogel, Wlotko, Kuperberg, & Patel (2017) CNS 2017, San Francisco.
- Koelsch, Gunter, Friederici, & Schröger (2000) *Journal of Cognitive Neuroscience*.
- Fogel, Rosenberg, Lehman, Kuperberg, & Patel (2015) *Frontiers in Psychology*.
- Koelsch, & Jentschke (2010) *Journal of Cognitive Neuroscience*.
- Koelsch (2011) *Frontiers in Psychology*.