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Parents' and Children's Production of English Negation

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1. Introduction

Previous research has proposed several stages for children's production of negative morphemes (Klima and Bellugi 1966; Wode 1977; Cameron-Faulkner, Lieven, and Theakston 2007). For example, Cameron-Faulkner, Lieven, and Theakston (2007) proposed that English negative morphemes appear with a no>not>n't order in children's speech. Klima and Bellugi (1966) proposed that negation first appears outside the sentence and later moves inside between the subject and the verb. They also proposed that can't and *don't* are learned as unanalyzed wholes before their positive auxiliary variants. However, comprehension studies have not provided evidence for such stages vet (Austin et al. 2014; Feiman et al. 2017; Reuter, Feiman, and Snedeker 2018). This discrepancy can be explained in two ways. First, the lack of evidence may be due to limitations in comprehension studies. Second, it is possible that the proposed stages are limited to production and cannot be generalized to comprehension as well. This paper presents two corpus studies that support the second possibility. The results suggest that some previous stage hypotheses do not hold generally across children and may have been limited to a few children. Furthermore, stages that do hold across children may be limited to production only. In the following section, we explain the previous stage hypotheses proposed for the development of negation. Section 3 presents our first study that investigates the relative frequency of no. not, and n't in parents' and children's speech. Section 4 presents our second study that uses part of speech tagging to address more specific questions regarding previous stage hypotheses. We summarize our findings and discuss future directions in Section 5.

2. Background

Here we discuss three major stage hypotheses proposed in previous literature on negation. First, Cameron-Faulkner, Lieven, and Theakston (2007) investigated the development of multiword negation in the speech of Brian (2;3-3;4, MLU 2.05-3.1) and reported that negative morphemes followed a no>not>n't trajectory, mirroring their order of frequency in parents' speech. Earliest multiword negation strategies were described as a

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combination of no/not with different types of phrases (no/not+XP), with don't and can't being the first contracted forms to emerge.

Second, based on fortnightly recordings of mother-child conversations for three children in the Brown (1973) corpus, namely Eve (18-26 months), Adam and Sarah (26-50 months), Klima and Bellugi (1966) proposed three stages in children's development of negation. In Stage 1, the syntactic category of negation (NEG) includes no and not, produced before or after a sentence "nucleus", i.e. noun and verb phrase without tense or inflection (NEG+S or S+NEG). Examples include: "No singing song", "No the sun shining", "No money", "No play that", "Wear mitten no", "No fall!", and "Not a teddy bear". It was hypothesized that auxiliary negatives like don't and can't are not produced or understood at this stage. In Stage 2, children add *can't* and *don't* as unanalyzed wholes to their list of negators, and move negation inside the sentence, between the subject and the verb phrase (NP+NEG+VP). The main evidence for *can't* and *don't* being unanalyzed wholes in this stage was the absence of positive auxiliary variants like can and do in children's speech. Typical examples at this stage include "I can't/don't see you", "I don't want it", "There no squirrels", "He no bite you", and "I no want envelope". In Stage 3, auxiliary verbs like can't and don't are re-analyzed as AUX+NEG, additional negative auxiliaries like won't and isn't are produced, and positive auxiliaries like can and do are produced for the first time (NP+AUX+NEG+VP).

Third, Wode (1977) used crosslinguistic data to support and expand Klima and Bellugi (1966)'s account. He compared productions of two German children (19-26 months), a Swedish child (20-42 months), and English-speaking children from Bloom (1970) and Klima and Bellugi (1966). He proposed four stages: 1. one-word stage with only *nein*, $n\ddot{a}/nej$, or *no*; 2. multiword anaphoric stage where the single words from stage 1 are used as a response to a previous utterance followed by other words (e.g. "no, outside!" or "nein, Milch"); 3. multiword non-anaphoric stage where a single-word negative like *no* is used sentence-externally instead of sentence-internally (e.g. "nein sauber" for "I don't want to be cleaned" or "no close" for "I can't close the box") 4. multiword intra-sentential negation where negation has moved inside the sentence (e.g. "Kathryn no like celery", "I can't open it", or "ich habe nicht geschlafen").

However, further investigations proved these stage proposals to be controversial. Bloom (1970) studied three children (Kathryn, Eric, and Gia) between 19-27 months and did not find evidence for a sentence-external stage of negation (NEG+S / NEG+S). Children started with isolated *no* and once they produced multi-word utterances, they mostly combined *no* and *not* with noun and verb phrases (no/not+NP/VP). Nevertheless, Bloom (1970) reported that Kathryn produced some instances of sentence-internal negation with *no* such as "Kathryn no like celery". Lord (1974) studied her own child Jennifer (19-26 months) and found no instances of sentenceexternal negation or sentence-internal no. She reported that her child started with single "no" utterances before 24 months and between 24-26 months started combining no/not with nominals, and can't/don't with verb phrases (no/not+NP and can't/don't+VP). Park (1979) argued that Wode (1977)'s account relied on insufficient evidence given that it used only 13 examples and no proper distributional analysis. Park (1979) presented data from three German speaking children around 21-25 months that did not match Wode (1977)'s developmental stages.

de Villiers and de Villiers (1979) suggested previous studies provided little empirical evidence to support a general sentence-external stage. They investigated productions of Adam (27-31 months), Eve (18-22 months), and their own child Nicholas (23-29 months) and found very few sentenceexternal negatives with overt subjects that allowed for assessment of sentence boundary. They pointed out that even among these instances, many could plausibly be anaphoric. Despite these arguments, Déprez and Pierce (1993) used examples from children's productions in English, French, and German to provide a novel syntactic analysis for presenential negation in child language within the Principles and Parameters framework (Chomsky 1993). They argued that instead of negation moving from outside the sentence inside as Klima and Bellugi (1966) suggested, it is the subject NP that fails to move outside, from inside the VP. They suggested that child data is in line with the VP-internal subject hypothesis in adult grammar (Koopman and Sportiche 1991). However unlike previous studies, they had counted utterances with omitted subjects as instances of presentential negation (or rather VP-internal subjects) as well.

In response to Déprez and Pierce (1993), Stromswold and Zimmermann (2000) studied negation in five German-speaking children (Julia, Inga, Andreas, Kathrin, and Nicole) between 17 and 29 months. They found that out of 689 examples of negation, only one could plausibly support the hypothesis that at an early stage the negator can surface to the left of the subject and pre-sententially. Drozd (1995) provided a similar but large-scale analysis for English. Using data available from 123 children in CHILDES between the ages of 11 and 40 months, the study looked at utterances beginning with no, not, and never and used the available linguistic context to classify them as anaphoric or non-anaphoric. The study found a total of 456 instances of pre-sentential negation, out of which only 31 (6.7%) could be classified as instances of non-anaphoric pre-sentential negation. More recently, Schütze (2010) focused on Klima and Bellugi (1966)'s second stage abd provided a quantitative analysis of negation in the speech of five children (Abe, Adam, Sarah, Nina, Ross) between 2 and 5 years of age. He showed that the non-adult-like infinitival negatives (e.g. "He not go there") are quite rare, never exceeding 5% of children's total productions. Instead he found that the only common error reaching about 10% of productions is non-agreeing don't in sentences with third-person singular subjects (e.g. "He don't bite you"). He proposed a grammatical account that could predict such errors.

3. Study 1

The aim of this study was to assess the overall production of negative morphemes no, not, and n't in parents' and children's speech. The study addresses the following questions: 1. Does the overall production of negation in children follow a no < not < n't cline (Cameron-Faulkner, Lieven, and Theakston 2007)? 2. Do children produce negative auxiliary forms such as can't and don't before their positive variants, suggesting that the negative forms are learned as unanalyzed wholes (Klima and Bellugi 1966)?

3.1 Methods

For samples of parents' and children's speech, we used the online database childes-db and its associated R programming package childesr (Sanchez et al. 2019). Childes-db is an online interface to the child language components of TalkBank, namely CHILDES (MacWhinney 2000) and PhonBank. Two collections of corpora were selected: English-North America and English-UK. The dataset contained 14,195,967 tokens from 571 children, after necessary exclusions. We ran a token-based analysis of the corpora as well as an utterance-based analysis that could take utterance length and context into account. All data and analyses are available in the study's online repository³.

In our token-based analysis, all word tokens were tagged for the following: 1. the speaker (parent vs. child), 2. the age of the child when the word was produced in months, 3. whether the word was positive or negative, and 4. the type of negative morpheme produced. Here we report on the following classes of English negative morphemes in English: the forms no and not, all instances of negative auxiliary forms with n't as well as their positive forms without n't as controls. Unintelligible tokens were excluded (N = 402,117), as well as tokens that had missing information on children's age (N = 1,057,287). Third, tokens outside the age range of 1 to 6 years were excluded (N = 542,304) since there were not many utterances outside this age range. Given these measures, data from 100 children were excluded from the final token-based analysis. Similarly, in our utterance-based analysis, each utterance was tagged for the following: 1. the number of tokens in the utterance 2. the speaker (parent vs. child), 2. the age of the child, 3. whether the utterance contained no, not, or n't. Unintelligible utterances (N = 177,804), utterances with missing information on children's age (N = 177,804)551,196) as well as those outside the age range of 1 to 6 years were excluded (N = 99,069). The final collection contained 3,729,241 utterances from 584 children.

3.2 Results

We first look at the proportions of different categories of negation in

³You can access the repository at https://github.com/jasbi/negation_production

parents' and children's speech (Figure 1). The most frequent form in parents' speech was the contracted auxiliary negation n't, followed by no, and finally *not*. In children's productions and between the ages of 12-18 months, almost all negative forms were instances of no, with some contracted auxiliary negatives like *don't* and *can't*. As children grow older, the proportions of *not* and its contracted form n't increased while the proportion of *no* decreased. Similar to Cameron-Faulkner, Lieven, and Theakston (2007) we find that children start producing *no* earlier than other forms. However, we do not find evidence that the full form *not* is produced before its contracted form n't. The results in Figure 1 suggest that children start producing *not* and n't around the same time, if not slightly earlier for n't.



Figure 1: Proportion of different categories of negation in parents' and children's speech between 1 to 6 years of age.

Figure 2 shows the relative frequency of the morphemes no, not and n't per thousand words in the speech of parents and children. Children start producing no between 12-18 months and they immediately surpass their parents' rate of production for this morpheme. Between 18-42 months children produce two to three times more instances of *no* than their parents. This rapid incrase and high frequency of *no* may be partly because parents ask many yes/no questions from children in this age range. After 42 months the frequency of *no* reduces substantially and gets closer to parents' level of 10 per thousand. For the negative morpheme *not*, children start their productions between 12-24 months and by 30 months of age, they are producing not at the same rate as their parents (5 per thousand words). After 36 months children's rate of not productions stay similar to their parents. Finally for the contracted form n't, children's productions start between 12-18 months and by 24 months they reach a rate of 5 instances per thousand words. They keep increasing this rate until they reach their parents' rate of 15 instances per thousand words at 36 months. It is important to note that for all these negative forms, children reached an adult-level of production (in terms of relative frequency) by 30 months of age.

Stromswold and Zimmermann (2000) found that in German-speaking children, the word *nein* was produced before *nicht* and discussed three



Figure 2: Relative frequency (parts per thousand) of the response particle no, verb phrase negation not, and its contracted form n't

potential causes for this order of production: input frequency, phonetic complexity, and syntactic complexity. They explained that input frequency cannot be the cause because in German-speaking children's input *nicht* was more frequent than *nein*. Similarly, English-speaking children hear more instances of n't than no so input frequency cannot be the cause in English either. With respect to phonetic complexity, German *nicht* has a voiceless palatal fricative that can potentially be hard for children and delay its production. However, English no and not are quite similar and do not contain phones that are known to be particularly hard for children. This leaves us with syntactic complexity which is an obvious difference between isolated one-word negators like no/nein and multiword negators like *not/nicht*. Given that children start with shorter utterances (typically one word) and produce longer ones as they grow up, they may produce no earlier than not and n't simply because no can appear as a single word utterance. In other words, even a hypothetical child that comprehends all negative morphemes may produce *no* earlier due to production limitations. We call this the "production bottleneck" hypothesis.

Given our dataset, we can test the production bottleneck hypothesis in two ways. First, we focus on children's multiword utterances. Is the main contributor to the high frequency of no in children's speech the singleword "no" utterances? To answer this question we removed single-token utterances like "yes", "no", and "oh", as well as utterances that combined such elements in a repetitive way like "no no" or "oh no" from children and parents' speech. If early appearance and high frequency of no is mainly due to short and repetitive utterances produced by children early in their development, it should disappear once we focus on multiword utterances. As Figure 3 shows, this is largely what we found. While the frequencies of *not* and n't in multi-word productions were similar to their overall frequencies seen before in Figure 2, the word *no* lost its large advantage in frequency and early occurrence, showing a very similar production trajectory as the other two negative morphemes.



Figure 3: Relative frequency (parts per thousand) of the response particle no, verb phrase negation not, and its contracted form n't in multiword utterances

The second way to test the bottleneck hypothesis is to artificially impose a production limitation on parents' speech. To achieve this in our dataset, we grouped utterances into monthly age bins and sampled parents' utterances in each age bin based on the utterance lengths produced by children in the same age bin. This way in each monthly age bin, we only included adult utterances that matched those of children's in length. This approach limits parents' speech to be shorter earlier and longer later, mimicking children's productive development. The result of this artificial bottleneck on parents' production of negative morphemes is shown in Figure 4 side by side with children's negative productions. While previously parents produced n't more frequently than no and not throughout children's development (Figure 2), after introducing the bottleneck parents show a higher relative frequency for no than not and n't in younger ages similar to what is seen in children. As children's age increases, the relative frequency of *no* decreases and those of *not* and *n't* increase in a way that mimicks the pattern seen in children's production. Later and around 40 months, the order of production reverses and adults produce n't more frequently than the other forms in this artificially induced bottleneck.

Taken together, the two tests indicate that the earlier emergence and high frequency of *no* in children's speech may be largely due to children's limited capacity in producing longer utterances and not necessarily earlier acquisition of this morpheme. Therefore, the question "which form is acquired earlier" may be better addressed by careful comprehension studies in the 12-24 month age range. It is important to note here that both Figure 3 and Figure 2 suggest the 12-24 months age range as a period where all three negative morphemes may receive their early form-meaning mappings. In order to better understand such early mappings of negation and their development we need more comprehension studies in this age range.

Moving to the second question: do negative auxiliaries appear before positive ones? Figure 5 shows the relative frequency of positive and negative



Figure 4: Relative frequency (parts per thousand) of the response particle no, verb phrase negation not, and its contracted form n't in children and parents' speech with an artificial buttleneck imposed on parents speech in each age bin

auxiliary forms in the speech of children and their parents. Our results show that overall, children start producing the positive and negative auxiliary forms around the same time and produce the positive forms at a higher rate than negative ones. This is also true for individual auxiliary words such as do/don't and can/can't which are produced earlier than others. Therefore, the claim that negative auxiliary forms are produced before their positive counterparts is not supported by the available production data and consequently production data does not provide support for the hypothesis that auxiliary negative forms are learned as unanalyzed wholes.



Figure 5: Relative frequency (parts per thousand) of positive auxiliary forms such as *do*, *are*, and *can* as well as their contracted negatives in the speech of parents and children.

3.3 Conclusion

Study 1 looked at the overall profile of negative morphmes no, not, and n't in children and parents' speech. Children produced no earlier and more frequently than not and n't, but we did not find strong evidence for not appearing before n't. We provided two types of evidence in our data that suggest earlier emergence of no in production may be due to a "production

bottleneck". First, we found that when we consider only children's multiword utterances, the early emergence and advantage of *no* largely disappears. Second, we introduced an artificial bottleneck on parents speech by selecting parent utterances in each age bin that matched children's productions in length. The results showed that such an artificially imposed bottleneck creates a production advantage in the speech parents as well. Taking all the evidence together, the production data does not suggest a strong order or stage hypothesis in children's comprehension or acquisition of negative morphemes. We believe it is more appropriate for future comprehension research to adjudicate this matter. The study also investigated whether negative auxiliary forms such as can't and don't emerge before their positive counterparts such as do and can. Contrary to previous reports, our data showed that the positive auxiliary forms emerge around the same time as the negative ones but produced much more frequently. Therefore, production data does not provided evidence for negative auxiliaries being learned as unanalyzed forms as previously suggested.

4. Study 2

The aim of Study 2 was to use available morphosyntactic tags for children's and parents' speech to address the following questions: 1. Do children go through a stage during which their negative utterances consist of a negative morpheme (*no* or *not*) either before or after a complete sentence (Klima and Bellugi 1966; Wode 1977)? 2. Do children initially treat the negative auxiliary *don't* as an unanalyzed whole negator (Klima and Bellugi 1966)?

4.1 Methods

The initial dataset contained 1,337,478 utterances from 747 children, as well as 1,667,576 parent utterances. Untranscribed utterances and utterances missing part of speech tagging were excluded (N = 246,485 for children, 71,166 for parents), and unintelligible words were removed. Additionally, utterances whose number of word tokens did not match the number of part of speech tags were excluded (N = 57,552 for children, 78,331 for parents). This was necessary in order to ensure that each word uttered was mapped onto the correct part of speech.

Each utterance was binned by child age in months and tagged for number of tokens in the utterance, the speaker role (parent vs. child), and polarity (positive vs. negative). Negative utterances were further coded for the presence of *no*, *not*, and *n't*, as well as for syntactic position of the negator. There were 101,786 negative child utterances in the data, and 190,293 negative parent utterances. Repeated instances of *no* were condensed to a single instance. Single-word negative utterances were removed, as well as utterances in which the negative was combined repetitively with extrasyntactic particles (eg. "ah no", "no oh oh") (N = 53,969 for children, 32,966 for parents). After all processing, the dataset contained 47,817 negative

utterances from 462 children, and 157,327 negative parent utterances.

4.2 Results

The first question we examined was whether children go through a stage during which their negation is realized externally to their sentences (Klima and Bellugi 1966; Wode 1977). We used CHILDES POS tags to divide the syntactic positions of children's utterances into several categories. Cases where *no* or *not* combined externally with a sentence (containing an NP and a VP) were marked as [NEG + S] and [S + NEG]. Utterances where *no* or *not* occurred either before or after an utterance not satisfying the definition of 'sentence' above were marked as [NEG + X] and [X + NEG]. Finally sentence-internal negatives were split into a simple [NP + NEG + VP] category and a category for all other internal negatives. Figure 6 shows the syntactic distribution of children's multi-word utterances of *not* across these categories between 18 and 36 months. There were very few to no multi-word negative utterances in any age bin younger than 18 months.



Figure 6: Syntactic analysis of children's *not* utterances between 1 and 3 years of age.

If we limit our criteria to strict sentence-external negation, defining a sentence exclusively as an overt subject NP + VP, then the case of not utterances is clear-cut: children produce almost no instances of strict sentence-external not ([not + S] or [S + not]) at any age. If we expand our definition of 'external' to include utterances of the form [not + X] or [X +not, where X is some expression that does not fit our strict definition of a sentence, the story becomes more complicated. Instances of this utteranceexternal not account for more than half of all multi-word not utterances in several younger age bins. However, as discussed above and in previous literature, counting such [not + X] utterances as sentence-external (which include subject-drop sentences) requires the additional stipulation that there is a covert subject between negation and the rest of the utterance (and crucially not before negation). It is not clear if such stipulation is warranted and corpus data may not be able to adjudicate this matter. It is worth noting that many utterances of the form [X + not] are grammatical in adult speech (eg. "why not", "no it's not"). Furthermore, even with the

stipulation of covert subjects, it is still the case that as soon as children begin to use *not* in multi-word utterances, they are already also using it sentence-internally, making an initial stage of sentence-external *not* appear much less likely given the available corpus data.

Turning now to children's early uses of no, Figure 7 illustrates the syntactic distribution of children's and parents' multi-word no utterances between 18 and 36 months. Again, due to potential elisions, utterances where no combines with a full sentence [NP+VP] are more convincing. The number of [S + no] utterances is relatively small at all ages for children and adults. Utterances of the form [no + S] do occur with considerable frequency, but as pointed out by previous literature (Bloom 1970; Wode 1977; Drozd 1995), they can be anaphoric and not truly sentence-external as intended by Klima and Bellugi (1966). Our large-scale analyses provide two types of evidence suggesting that such utterances do not constitute strong evidence for a pre-sentential stage.



Figure 7: Syntactic analysis of children's and parents' *no* utterances between 1 and 3 years of age.

First, [no + S] utterances account for between a quarter and a half of all parental multi-word *no* utterances as well. Since parents do not produce ungrammatical *no*-external utterances, we can expect this rate in parental speech to reflect grammatical anaphoric cases of *no*. As Figure 7 shows (top green bars), children start with low proportions of [no+S] utterances and the proportions increase gradually until they reach the adult level. This pattern is the opposite of what a non-adult-like pre-sentential stage predicts, namely initial prevalence of non-adult-like pre-sentential utterances and their gradual decrease. The observed pattern is more consistent with children learning to follow anaphoric negation with longer and more complex utterances until they reach adult level production. Second, Figure 8 shows the breakdown of presentential utterances by children and their parents into cases where the sentence itself is negative in polarity (eg. no I didn't), and cases where the sentence is positive (eg. no I run). An utterance of the form [no + S_NEG] is not compatible with the pre-sentential negation hypothesis, as the S_NEG itself exhibits already sentence-internal negation. Ultimately, the only way to know for sure whether cases of [no + S_POS] are anaphoric or not is through careful examination of the context and annotation of instances. As discussed in Section 2, Drozd (1995) looked at a subset of such cases and found less than 7% of these cases plausibly pre-sentential and non-anaphoric.



Figure 8: Parents' and Children's [no + S] utterances.

The second question Study 2 addresses is whether or not children go through a stage during which they treat negative auxiliaries such as don't as untensed, unanalyzed whole negators, akin to no and not. One prediction of this hypothesis is that children's negative auxiliaries ought to be distributed without reference to person or number (Schütze 2010). To test this prediction, we separated children's utterances of don't and doesn't after a pronoun into third-singular contexts (where doesn't is correct), and non-third singular contexts (where don't is correct) (Figure 9). If negative auxiliaries were truly untensed, we would expect to see similar levels of don't and doesn'tuse respectively in both contexts. However, what we find instead is a clear asymmetry in the pattern of errors. Children's uses of don't are distributed across both contexts, but doesn't appears almost exclusively in the thirdsingular context, where it is grammatical in adult speech. This asymmetry indicates that at least doesn't is analyzed as an element that agrees for person and number with pronouns.

At first glance, the fact that children use don't in both correct non-third singular and incorrect third singular contexts might appear to support the



Figure 9: Children's positive and negative utterances of do(n't) and does(n't) before 3 years of age.

claim that don't is not correctly analyzed at this stage. However, precisely the same pattern reveals itself in children's positive utterances containing doand does – children again produce does almost exclusively in correct thirdsingular contexts, but produce do in both correct and incorrect contexts (Figure 9). Thus, the incorrect don't utterances that we observe are in fact expected under the hypothesis that children are already at this age parsing don't as consisting of an auxiliary attached to the clitic nt, and their mistakes can be simply explained as mistakes in agreement for the auxiliary do. These results converge with the findings of Schütze (2010).

4.3 Conclusion

With respect to the sentence-external negation, we found that while children do produce a number of apparently sentence-external negatives, the vast majority of these utterances use *no* instead of *not*, and specifically are of the form [no + S]. Instances of this form do not swell early and then dissipate, as would be expected of an early stage of non-adult-like pre-sentential negation. Instead, they are initially infrequent and slowly climb to adult levels. Furthermore, many of the sentences following these apparently pre-sentential *no*'s are in fact negative themselves, making a true pre-sentential reading much less likely. Considering the claim that children initially treat negative auxiliaries as irreducible units, we found that children discriminate *don't* and *doesn't* by the person and number of the subject in early stages of their multi-word utterances.

5. Discussion – Overall, the results of our studies supported early production of *no* before *not* and *n't*, but no evidence that *not* is produced before *n't*. The results also suggested that earlier emergence of *no* in production is to a large extent due to early productive limitations. Therefore,

the advantage of *no* may or may not extend to children's comprehension, something we leave for comprehension studies to determine. We did not find support for a stage in which negation appears as a pre-sentential operator. We also did not find corpus evidence that the contracted forms like *don't* and *can't* are learned as unanalyzed wholes. This too may be better addressed by comprehension studies. The results are compatible with previous arguments for a great degree of variability in children's productive development of negation (Lord 1974; de Villiers and de Villiers 1979; Park 1979).

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