Dispersion synthesis with multi-ordered metatronic filters

Y. Li, 1,2 I. LIBERAL, 2 AND N. ENGHETA^{2,*}

Abstract: We propose the synthesis of frequency dispersion of layered structures based on the design of multi-ordered optical filters using nanocircuit concepts. Following the well-known insertion loss method commonly employed in the design of electronic and microwave filters, here we theoretically show how we can tailor optical dispersion as we carry out the design of several low-pass, high-pass, band-pass and band-stop filters of different order with a (maximally flat) Butterworth response. We numerically demonstrate that these filters can be designed by combining metasurfaces made of one or two materials acting as optical lumped elements, and, hence, leading to simple, easy to apply, design rules. The theoretical results based on this circuital approach are validated with full-wave numerical simulations. The results presented here can be extended to virtually any frequency dispersion synthesis, filter design procedure and/or functionality, thus opening up exciting possibilities in the design of composite materials with on-demand dispersion and high-performance and compact optical filters using one or two materials.

© 2017 Optical Society of America

OCIS codes: (160.3918) Metamaterials; (260.2030) Dispersion; (120.2440) Filters.

References and links

- R. V. Schmidt, D. C. Flanders, C. V. Shank, and R. D. Standley, "Narrow-band grating filters for thin-film optical waveguides," Appl. Phys. Lett. 25(11), 651–652 (1974).
- 2. R. Magnusson and S. S. Wang, "New principle for optical filters," Appl. Phys. Lett. 61(9), 1022–1024 (1992).
- B. E. Little, S. T. Chu, H. A. Haus, J. Foresi, and J.-P. Laine, "Microring resonator channel dropping filters," J. Lightwave Technol. 15(6), 998–1005 (1997).
- B. E. Little, J. S. Foresi, G. Steinmeyer, E. R. Thoen, S. T. Chu, H. A. Haus, E. P. Ippen, L. C. Kimerling, and W. Greene, "Ultra-compact Si-SiO2 microring resonator optical channel dropping filters," IEEE Photonics Technol. Lett. 10(4), 549–551 (1998).
- B. E. Little, S. T. Chu, P. P. Absil, J. V. Hryniewicz, F. G. Johnson, F. Seiferth, D. Gill, V. Van, O. King, and M. Trakalo, "Very High-Order Microring Resonator Filters for WDM Applications," IEEE Photonics Technol. Lett. 16(10), 2263–2265 (2004).
- F. Xia, M. Rooks, L. Sekaric, and Y. Vlasov, "Ultra-compact high order ring resonator filters using submicron silicon photonic wires for on-chip optical interconnects," Opt. Express 15(19), 11934–11941 (2007).
- Z. Wang and S. Fan, "Compact all-pass filters in photonic crystals as the building block for high-capacity optical delay lines," Phys. Rev. E Stat. Nonlin. Soft Matter Phys. 68(6), 066616 (2003).
- D. Park, S. Kim, I. Park, and H. Lim, "Higher order optical resonant filters based on coupled defect resonators in photonic crystals," J. Lightwave Technol. 23(5), 1923–1928 (2005).
- Z. Qiang, W. Zhou, and R. A. Soref, "Optical add-drop filters based on photonic crystal ring resonators," Opt. Express 15(4), 1823–1831 (2007).
- D. Correas-Serrano, J. S. Gomez-Diaz, J. Perruisseau-Carrier, and A. Alvarez-Melcon, "Graphene-based plasmonic tunable low-pass filters in the terahertz band," IEEE Trans. NanoTechnol. 13(6), 1145–1153 (2014).
- A. Rycerz, J. Tworzydło, and C. W. J. Beenakker, "Valley filter and valley valve in graphene," Nat. Phys. 3(3), 172–175 (2007).
- J. Nakabayashi, D. Yamamoto, and S. Kurihara, "Band-selective filter in a zigzag graphene nanoribbon," Phys. Rev. Lett. 102(6), 066803 (2009).
- 13. Y.-F. Xiao, X.-B. Zou, W. Jiang, Y.-L. Chen, and G.-C. Guo, "Analog to multiple electromagnetically induced transparency in all-optical drop-filter systems," Phys. Rev. A **75**(6), 063833 (2007).
- L. O'Faolain and A. Tsarev, "Experimental demonstration of original optical filter based on multiply coupled waveguides," Opt. Lett. 39(12), 3627 (2014).
- 15. D. M. Pozar, Microwave and RF Design of Wireless Systems (JohnWiley & Sons, Inc., 2002).

#281495

¹Department of Electronic Engineering, Tsinghua University, Beijing 100084, China

²Department of Electrical and Systems Engineering, University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA

^{*}engheta@ee.upenn.edu

- 16. M. David, Pozar, Microwave Engineering, 4th ed. (JohnWiley & Sons, Inc., 2012).
- N. Engheta, A. Salandrino, and A. Alù, "Circuit elements at optical frequencies: nanoinductors, nanocapacitors, and nanoresistors," Phys. Rev. Lett. 95(9), 095504 (2005).
- N. Engheta, "Circuits with light at nanoscales: optical nanocircuits inspired by metamaterials," Science 317(5845), 1698–1702 (2007).
- 19. Y. Sun, B. Edwards, A. Alù, and N. Engheta, "Experimental realization of optical lumped nanocircuits at infrared wavelengths," Nat. Mater. 11(3), 208–212 (2012).
- H. Caglayan, S.-H. Hong, B. Edwards, C. R. Kagan, and N. Engheta, "Near-infrared metatronic nanocircuits by design," Phys. Rev. Lett. 111(7), 073904 (2013).
- 21. J. Shi, F. Monticone, S. Elias, Y. Wu, D. Ratchford, X. Li, and A. Alù, "Modular assembly of optical nanocircuits," Nat. Commun. 5, 3896 (2014).
- A. Alù and N. Engheta, "Tuning the scattering response of optical nanoantennas with nanocircuit loads," Nat. Photonics 2(5), 307–310 (2008).
- A. Alù and N. Engheta, "Input impedance, nanocircuit loading, and radiation tuning of optical nanoantennas," Phys. Rev. Lett. 101(4), 043901 (2008).
- A. Alù and N. Engheta, "Wireless at the Nanoscale: Optical Interconnects Using Matched Nanoantennas," Phys. Rev. Lett. 104(21), 213902 (2010).
- 25. N. Liu, F. Wen, Y. Zhao, Y. Wang, P. Nordlander, N. J. Halas, and A. Alù, "Individual nanoantennas loaded with three-dimensional optical nanocircuits," Nano Lett. 13(1), 142–147 (2013).
- D. Dregely, K. Lindfors, M. Lippitz, N. Engheta, M. Totzeck, and H. Giessen, "Imaging and steering an optical wireless nanoantenna link," Nat. Commun. 5, 4354 (2014).
- F. Monticone, N. M. Estakhri, and A. Alù, "Full control of nanoscale optical transmission with a composite metascreen," Phys. Rev. Lett. 110(20), 203903 (2013).
- 28. A. Silva, F. Monticone, G. Castaldi, V. Galdi, A. Alù, and N. Engheta, "Performing mathematical operations with metamaterials," Science **343**(6167), 160–163 (2014).
- A. Alù, M. E. Young, and N. Engheta, "Design of nanofilters for optical nanocircuits," Phys. Rev. B 77(14), 144107 (2008).
- 30. F. Abbasi and N. Engheta, "Roles of epsilon-near-zero (ENZ) and mu-near-zero (MNZ) materials in optical metatronic circuit networks," Opt. Express 22(21), 25109–25119 (2014).
- 31. B. A. Munk, Frequency Selective Surfaces: Theory and Design (JohnWiley & Sons, Inc., 2000).
- 32. R. Mittra, C. H. Chan, and T. Cwik, "Techniques for analyzing frequency selective surfaces-a review," Proc. IEEE 76(12), 1593–1615 (1988).
- H. A. Smith, M. Rebbert, and O. Sternberg, "Designer infrared filters using stacked metal lattices," Appl. Phys. Lett. 82(21), 3605–3607 (2003).
- S. Govindaswamy, J. East, F. Terry, E. Topsakal, J. L. Volakis, and G. I. Haddad, "Frequency-selective surface based bandpass filters in the near-infrared region," Microw. Opt. Technol. Lett. 41(4), 266–269 (2004).
- J. A. Bossard, D. H. Werner, T. S. Mayer, J. A. Smith, Y. U. Tang, R. P. Drupp, and L. Li, "The design and fabrication of planar multiband metallodielectric frequency selective surfaces for infrared applications," IEEE Trans. Antenn. Propag. 54(4), 1265–1276 (2006).
- 36. J. Cheng, W. L. Wang, H. Mosallaei, and E. Kaxiras, "Surface plasmon engineering in graphene functionalized with organic molecules: a multiscale theoretical investigation," Nano Lett. 14(1), 50–56 (2014).
- J. Cheng and H. Mosallaei, "Truly achromatic optical metasurfaces: a filter circuit theory-based design," J. Opt. Soc. Am. B 32(10), 2115–2121 (2015).
- 38. Y. Zhao and A. Alù, "Tailoring the dispersion of plasmonic nanorods to realize broadband optical metawaveplates," Nano Lett. 13(3), 1086–1091 (2013).
- J. Zhang, J.-Y. Ou, N. Papasimakis, Y. Chen, K. F. Macdonald, and N. I. Zheludev, "Continuous metal plasmonic frequency selective surfaces," Opt. Express 19(23), 23279–23285 (2011).
- C. Saeidi and D. van der Weide, "Nanoparticle array based optical frequency selective surfaces: theory and design," Opt. Express 21(13), 16170–16180 (2013).
- C. Saeidi and D. van der Weide, "Synthesizing frequency selective metasurfaces with nanodisks," Appl. Phys. Lett. 103(18), 183101 (2013).
- 42. S. Tretyakov, Analytical Modeling in Applied Electromagnetics (Artech House, Inc., 2003).
- 43. R. E. Collin, Foundations for Microwave Engineering, 2nd ed. (IEEE Computer Society Press, 2001).
- 44. Y. Li and N. Engheta, "Structuring band-pass dispersion with cascaded high- and low-pass optical metatronic metasrufaces," in *URSI Commission B, International Symposium on Electromagnetic Theory* (2016).
- 45. P. B. Johnson and R. W. Christy, "Optical constants of the noble metals," Phys. Rev. B 6(12), 4370–4379 (1972).
- 46. E. D. PALIK, Handbook of Optical Constants of Solids (Elsevier, 1985).
- 47. R. Ulrich, "Far-infrared properties of metallic mesh and its complementary structure," Infrared Phys. **7**(1), 37–55 (1967).
- B. T. Sullivan and K. L. Byrt, "Metal/dielectric transmission interference filters with low reflectance.
 Experimental results," Appl. Opt. 34(25), 5684–5694 (1995).
- A. M. Melo, M. A. Kornberg, P. Kaufmann, M. H. Piazzetta, E. C. Bortolucci, M. B. Zakia, O. H. Bauer, A. Poglitsch, and A. M. P. Alves da Silva, "Metal mesh resonant filters for terahertz frequencies," Appl. Opt. 47(32), 6064–6069 (2008).

1. Introduction

Filters are indispensable components in both microwave and optical systems generally aimed to attenuate signals located in unwanted frequency ranges. However, although filters are ubiquitous across the electromagnetic spectrum, different design strategies are utilized as function of the wavelength of operation. For instance, at the infrared and optical regimes, filters are constructed by using resonators such as optical gratings [1,2], microrings [3–6], defects in photonic crystals [7–9], graphene-based structures [10–12], and/or multi-coupled waveguides [13,14]. Since these devices are intrinsically based on interference phenomena, the dimensions of the filters are relatively large as compared to the wavelength of operation [1-14]. Contrarily, at the radio frequency (RF) and microwave regimes, filters are usually built by using lumped circuit elements [15,16], e.g. inductors, capacitors, and resistors. In this manner, the dimensions of the filters are in a deeply subwavelength scale [15,16]. Therefore, a question may naturally arise: is it possible to design optical filters based on the same concepts of lumped subwavelength components as it is customary for electronic and microwave filters? If so, this methodology may provide a useful approach for design of materials with desired frequency dispersion, since the constituent building blocks would be much smaller than operating wavelengths of interest.

In this regard, optical metatronics [17,18], i.e., metamaterial-inspired optical nanocircuitry, appears as an excellent candidate to transfer into the optical domain the tools and techniques aimed to the design of electronic and microwave higher-order filters. In essence, metatronic circuits control the flow of displacement current and, to this end, nanostructures play the role of lumped circuit elements [17]. As in electronics, this modularization approach enables to construct complex circuits by assembling individual and lumped circuit elements [19-21]. This strategy has already been exploited in the design of nanoantenna systems [22-26], metasurfaces [27] and computational devices [28]. Optical metatronic circuits have also been successfully employed in the design [29,30] and experimental demonstration [19–21] of optical nanofilters. These preliminary studies serve to explain the underlying physics of metatronic filters, as well as to illustrate how the design techniques for electronic circuits can be applied to optical components. However, practical applications require higher-order filters with flatter passbands and more abrupt transitions to the stopbands, which would also enable synthesis of composite structures with on-demand desired frequency dispersion. Here, we present the design and full-wave analysis of higherorder metatronic filters formed by collections of properly designed metasurfaces made of one or two materials. Specifically, we make use of the insertion loss method [16] in order to design higher-order optical filters with a (maximally flat) Butterworth response. We present designs of low-pass, high-pass, band-pass and band-stop filters using stacked metasurfaces, demonstrating that such components can be readily designed as the combination of simple lumped components consisting of electrically thin slabs of one or two materials.

It is worth remarking that previous works have already addressed the design of spatial and frequency filters based on stacked planar layers at microwave [31,32], infrared [33–37] and visible [38,39] frequencies, including the design of higher-order filters [40,41]. These works are based on early efforts in frequency selective surfaces [31] (FSS), where the devices are often designed on the basis of equivalent circuit models of FSS structures [42]. Typically, analytical or numerical methods are employed to solve the many-body scattering problem of the elements composing a FSS [32], and, then, once the solution is known, the collective response is encapsulated into an equivalent or averaged grid impedance [42].

By contrast, metatronic circuits do not represent equivalent circuits. On the contrary, they locally operate as actual circuits controlling the flow of the displacement current. The advantages of this approach are twofold: First, metatronic circuits are inherently simple, i.e., instead of solving many-body scattering problems in order to define an equivalent impedance, metatronic circuit elements are characterized by simple geometries with known impedance expressions (albeit occasionally approximate), physically connected to the flow of

displacement current. Second, since elements of metatronic circuits actually and locally function as lumped circuit elements, they can be combined via series and parallel connections to construct more complex circuits, as if they were in an electronic circuit board. The proposed technique of optical filter design may resemble the microwave waveguide filters, which consist of inductive and capacitive discontinuities, e.g., irises [43]. This fact facilitates the transfer to the optical domain of the tools aimed to the design of electronic circuits. For example, in the present work we will show that, once a metatronic optical filter has been designed, it can be easily converted into other classes of filters by using a known set of impedance transformations.

2. Metatronic circuit elements

Before delving into the details of the dispersion synthesis and design process we start by introducing the individual circuit elements, e.g., capacitors and inductors, composing first-order optical filters. To this end, we consider a material slab (of infinite size along the x- and y- axes) with thickness a much smaller that the wavelength of operation $a \square \lambda$. We assume that the slab is illuminated under normal incidence by an incident plane-wave with the electric field polarized along \hat{y} , i.e., the electric field is parallel to the surface of the slab. For the sake of simplicity, we assume the space between the slabs is free space, and one can easily generalize this method to have dielectric spacers. The free-space propagation of this wave can be modeled as a transmission line with intrinsic impedance equal to the free-space medium intrinsic impedance $Z_0 = \sqrt{\mu_0/\varepsilon_0}$, whereas, for this polarization, the thin slabs behave as a shunt circuit elements, thus operating as first-order optical nanofilters [19,20,29] (see Fig. 1). For instance, as schematically depicted in Fig. 1(a) (green color), when the slab is composed of a dielectric (nonmagnetic) material of relative positive permittivity ε_d , it can be modeled as a shunt capacitor (i.e., a first-order low-pass filter) whose capacitance can be simply written as follows [29]

$$C_{slab} = a\varepsilon_d \varepsilon_0 \tag{1}$$

On the other hand, as shown in Fig. 1(b) (yellow color), a plasmonic slab with negative and dispersive relative permittivity $\varepsilon_m(\omega)$ behaves as a shunt inductor (i.e., a first-order high-pass filter), whose inductance is given by [29]

$$L_{slab} = -\frac{1}{a\omega^2 \varepsilon_m(\omega)\varepsilon_0}$$
 (2)

For the sake of simplicity, we will consider plasmonic slabs characterized by an ideally lossless Drude model $\varepsilon_m(\omega) = 1 - \omega_p^2/\omega^2$, where ω_p stands for the plasma frequency. In general, this material model results in an intrinsically dispersive effective inductance, although this inductance value is approximately nondispersive at frequencies where $\varepsilon_m(\omega) \Box - \omega_p^2/\omega^2$, so that $L_{slab} \Box - 1/(\omega_p^2 a \varepsilon_0)$, a constant value. We remark that these very simple impedance expressions are only adopted and utilized for the design procedure at the circuit level. In fact, all results and designs will be subsequently validated by means of full-wave numerical simulations in which the size and dispersion characteristics of the slabs are fully considered. For the sake of clarity and simplicity in describing the main concept, in the present work we assume the materials are lossless, although one can easily add loss to the dispersion characteristics of each constituent materials.

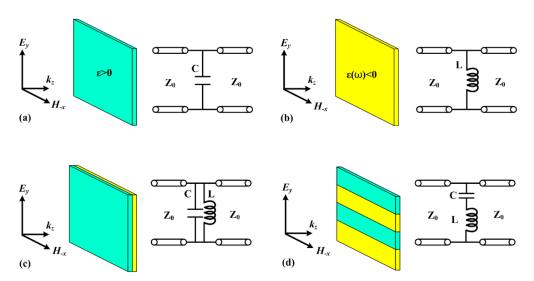


Fig. 1. Sketches and associated circuit diagrams of metatronics-inspired first-order filters: (a) Low-pass filter formed by an electrically thin dielectric (nonmagnetic) material slab. (b) Highpass filter formed by an electrically thin plasmonic slab with negative permittivity. (c) Bandpass filter formed by two adjacent dielectric and plasmonic slabs (parallel connection). (d) Band-stop filter formed by an inhomogeneous slab constructed by alternate dielectric and plasmonic strips (series connection).

One of the main advantages of the metatronic modularization of the material slabs as circuit elements is that it enables us to readily combine them in series and parallel connections [29,30]. As a byproduct, we can straightforwardly develop first-order band-pass and band-stop filters. On the one hand, if the plasmonic and non-plasmonic slabs are arranged alternatively along the z-axis, the interface between the two slabs is parallel to the electric field (see Fig. 1(c)). Consequently, the pair of slabs operates as the parallel connection of capacitor and inductor elements (i.e., a first-order band-pass filter). On the other hand, if the slabs are arranged alternatively along the y-axis, the narrow interface between the two strips is perpendicular to the electric field (see Fig. 1(d)). In this manner, the slabs are operating as series capacitor and inductor (i.e., a first-order band-stop filter).

Subsequently, these first-order circuit elements can be used as the building blocks of more complex higher-order optical filters. In principle, any electronic filter design procedure could be implemented by using these metatronic circuit elements. Here, we focus in the design of optical filters with a (maximally flat) Butterworth response by using the insertion loss method. However, this must be considered only as a particular example of the many design procedures that could be inherited from electronic circuit design in order to synthesize layered structures with given desired frequency dispersion.

In short, the insertion loss method describes the insertion loss of a filter as a combination of polynomials in ω^2 that give rise to the optimal response according to some specific design criteria for a given filter order N (e.g., Butterworth response for the flattest possible passband). In general, the order N of the filter corresponds to the number of circuit units composing it. Consequently, the impedance values of the circuit configurations that adjust to this optimal polynomial frequency response can be derived by using analytical or numerical methods.

3. Higher-order low-pass filter design

To begin with, let us consider the design of a low-pass optical filter whose 3-dB cutoff angular frequency equals ω_{3dB} In this case, the optimal response is obtained by a succession of shunt capacitors and series inductors (c.f., Figs. 2(a) and 2(b) for the circuit diagrams of

2nd- and 3rd-orders low-pass filters, respectively). The associated optimal impedance values can be derived by fitting the prescribed frequency response, and their tabulated values are available in the literature in filter design [12]. In particular, the values of the n-th (capacitor or inductor) element of the aforementioned low-pass filters can be written as follows [15]:

$$C_n^{lp} = g_n / (Z_0 \omega_{3dB}) \text{ for n=1,3,...}$$
 (3)

$$L_n^{lp} = g_n Z_0 / \omega_{3dB}$$
 for n=2,4,... (4)

where g_n are tabulated coefficients that have been determined numerically and are available in the literature. For the sake of completeness and easy reference here, the coefficients for filters up to the third order are included in the first column of Table 1. The other higher order values can be found in the literature [15].

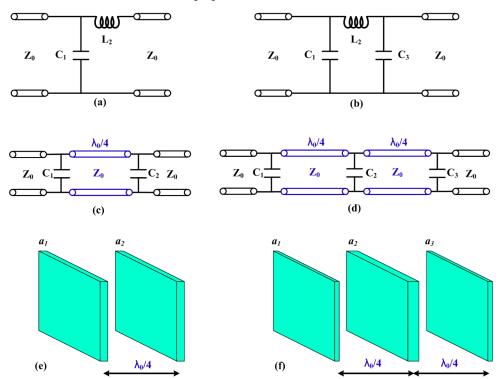


Fig. 2. Higher-order low-pass filter designs: Circuit diagrams of (a) 2nd order and (b) 3rd order filters. Equivalent transmission line models for (c) 2nd order and (d) 3rd order filters. Sketches of the geometry of the metatronic implementation of (e) 2nd order and (f) 3rd order filters using thin slabs with a single dielectric material.

Once the required impedance values are known, it is in principle possible to find a physical implementation for the individual circuit elements composing the filter. However, due to the polarization of the electric field of the incident wave, only shunt components can be implemented by stacking the material slabs as shown in Fig. 1. In [28], a method to overcome this challenge using the epsilon-near-zero (ENZ) and mu-near-zero (MNZ) structures was studied. However, this difficulty can also be circumvented by using impedance transformations from transmission line theory [16,40,41]. Specifically, a shunt capacitor C connected to $\lambda/4$ a transmission line provides the same input impedance as a series inductor $L = Z_0^2 C$. In this manner, the L_n^{lp} series inductors can be replaced by a $\lambda/4$ transmission line

section terminated in a capacitor of value $C_n^{lp} = L_n^{lp}/Z_0^2 = g_n/(Z_0\omega_{3dB})$. Note that for the 3rd and higher-order filters an additional $\lambda/4$ a transmission line section must be included right after this element in order to ensure that the next element behaves as a shunt capacitor. Naturally, this transformation is only exact at the frequency at which the electrical length of the transmission line section equals $\lambda/4$. In our case, we set the length of the section as $\lambda_{3dB}/4$ (a quarter wavelength at the cut-off frequency ω_{3dB}), and it will be shown via numerical simulations that this choice results in a satisfactory performance over the entire frequency band. In summary, a low-pass filter of order N can be constructed as a series of N shunt capacitors with capacitance $C_n = g_n/(Z_0\omega_{3dB})$, separated by $\lambda_{3dB}/4$ transmission line sections, as illustrated in Fig. 2.

Table 1. Tabulated coefficients and dimensions for the physical implementation of different higher-order filters. For values of \mathcal{E}_d and \mathcal{E}_m for each case, see the text.

Filter order	g _n (form [15])	Low-pass: f_{3dB} = 400 THz	High-pass: f_{3dB} = 400 THz	Band-pass: $f_1 = 410$ THz, $f_2 = 610$ THz	Band-stop: $f_1 = 190$ THz, $f_2 = 210$ THz
1st	$g_1 = 2.000$	$a_1 = 24.0 \text{ nm}$	$a_1 = 9.92 \text{ nm}$	$a_1 = 14.2 \text{ nm}, r_1 = 0.34$	$a_1 = 3.18 \text{ nm}, r_1 = 0.34$
2nd	$g_1 = 1.414$	$a_1 = 16.8 \text{ nm}$	$a_1 = 7.02 \text{ nm}$	$a_1 = 10.0 \text{ nm}, r_1 = 0.34$	$a_1 = 2.26$ nm, $r_1 = 0.34$
	$g_2 = 1.414$	$a_2 = 16.8 \text{ nm}$	$a_2 = 7.02 \text{ nm}$	$a_2 = 10.0 \text{ nm}, r_2 = 0.34$	$a_2 = 2.26$ nm, $r_2 = 0.34$
3rd	$g_1 = 1.000$	$a_1 = 12.0 \text{ nm}$	$a_1 = 4.96 \text{ nm}$	$a_1 = 7.08$ nm, $r_1 = 0.34$	$a_1 = 1.59 \text{ nm}, r_1 = 0.34$
	$g_2 = 2.000$	$a_2 = 24.0 \text{ nm}$	$a_2 = 9.92 \text{ nm}$	$a_2 = 14.2 \text{ nm}, r_2 = 0.34$	$a_2 = 3.18$ nm, $r_2 = 0.34$
	$g_3 = 1.000$	$a_3 = 12.0 \text{ nm}$	$a_3 = 4.96 \text{ nm}$	$a_3 = 7.08 \text{ nm } r_3 = 0.34$	$a_3 = 1.59$ nm, $r_3 = 0.34$

4. Filter transformations

Interestingly, applying frequency transformations to the insertion loss method enable one to design other types of filters, such as high-pass, band-pass, and band-stop filters, as described in [15], also illustrated in Fig. 3. We demonstrate further that the modularization tools provided by optical metatronics empower us to apply the same transformations and design procedures. To begin with, the substitution $\omega \to \omega_{3dB}/\omega$ in the design process transforms a low-pass into a high-pass filter with the same 3dB cutoff frequency. Moreover, by applying this frequency transformation it can be found that the impedance transformation required to obtain a high-pass filter consists of replacing each C_n^{hp} capacitor by an inductor L_n^{hp} whose inductance is given by [15] (see Fig. 3(b)):

$$L_n^{hp} = Z_0 / (g_n \omega_{3dR}) \tag{5}$$

Similarly, the frequency substitution $\omega \to \Delta^{-1} \left(\omega/\omega_0 - \omega_0/\omega \right)$ transforms a low-pass response into a band-pass response with fractional bandwidth $\Delta = \left(\omega_2 - \omega_1 \right)/\omega_0$ centered at the frequency $\omega_0 = \sqrt{\omega_1 \omega_2}$, where ω_1 and ω_2 are the prescribed frequency edges of the passband filter. Additionally, it can be proven that the required impedance transformation consists of replacing each capacitor C_n^{lp} by a parallel LC circuit unit (see Fig. 3(c)) with values [15]

$$C_n^{bp} = g_n / (Z_0 \omega_0 \Delta) \tag{6}$$

$$L_n^{bp} = Z_0 \Delta / (g_n \omega_0) \tag{7}$$

Inversely, the frequency substitution $\omega \to -\Delta (\omega/\omega_0 - \omega_0/\omega)^{-1}$ transforms a low-pass frequency response into a band-stop response with fractional bandwidth Δ centered at ω_0 . In this case, the impedance transformation required to obtain a band-stop filter consists of substituting the capacitors C_n^{lp} by a parallel LC circuit unit (see Fig. 3(d)) with values [15]:

$$C_n^{bs} = g_n \Delta / (Z_0 \omega_0) \tag{8}$$

$$L_n^{bs} = Z_0 / (g_n \omega_0 \Delta) \tag{9}$$

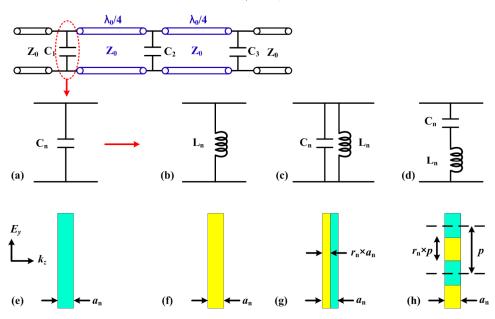


Fig. 3. Filter transformations: circuit diagram of the impedance transformation from a (a) low-pass filter design to (b) high-pass, (c) band-pass, and (d) band-stop filters. Sketch of the metatronic implementation of (a) low-pass, (b) high-pass, (c) band-pass and (d) band-stop filters formed by metasurfaces made of only one dielectric and one plasmonic material.

5. Metatronic implementation

The metatronic implementation of the aforementioned circuit configurations is catalyzed by the simplicity of the impedance expressions, Eqs. (1) and (2), of the slabs acting as lumped elements. In fact, simple design rules of the thicknesses and materials required for the slabs to compose the filters can be readily derived on the basis of those expressions. To begin with, it is clear by comparing Figs. 1(a) and 3(a) that a low-pass filter of order N can be implemented by stacking N dielectric slabs separated by $\lambda_{3dB}/4$. Specifically, the thickness of the n-th slab can be determined by introducing Eq. (1) into Eq. (3), leading to

$$a_n^{lp} = \frac{g_n}{Z_0 \omega_{3dB} \varepsilon_d \varepsilon_0} \tag{10}$$

Similarly, a high-pass filter can be implemented by stacking plasmonic slabs (see Figs. 1(b) and 3(b)). In this case, the thickness of each slab is found by introducing Eq. (2) into Eq. (5), leading to

$$a_n^{hp} = -\frac{g_n}{Z_0 \omega_{3dB} \varepsilon_m (\omega_{3dB}) \varepsilon_0}$$
 (11)

Next, a band-pass filter of order N requires parallel LC circuit units (Fig. 3(c)) that can be implemented as pairs of adjacent dielectric and plasmonic slabs (Fig. 1(c)). Both the total thickness a_n and thickness ratio r_n of the slab (as described in Fig. 3(g)) are determined by the central frequency ω_0 and fractional bandwidth Δ , as well as the available materials, i.e., the permittivities of the dielectric ε_d and plasmonic ε_m slabs. By introducing the parallel connection of Eqs. (1) and (2) into Eqs. (6) and (7), we have:

$$r_n^{bp} = \frac{\varepsilon_d}{\varepsilon_d - \varepsilon_m(\omega_0)} \tag{12}$$

$$a_n^{bp} = g_n \frac{\varepsilon_m(\omega_0) - \varepsilon_d}{\Delta Z_0 \omega_0 \varepsilon_d \varepsilon_0 \varepsilon_m(\omega_0)}$$
(13)

To finalize, a band-stop filter of order N requires series LC circuit units (see Fig. 3(d)) that can be implemented by using inhomogeneous slabs constructed by strips of dielectric and plasmonic materials (see Fig. 1(d)). The thickness of the slab a_n and the height ratio r_n (as described in Fig. 3(h)) can be determined by inserting the series connection of Eqs. (1) and (2) into Eqs. (8) and (9). This exercise leads to the following expressions

$$r_n^{bs} = \frac{\varepsilon_m(\omega_0)}{\varepsilon_m(\omega_0) - \varepsilon_d} \tag{14}$$

$$a_n^{bs} = \frac{g_n \Delta}{Z_0 \omega_0 \varepsilon_0 \left(\varepsilon_d - \varepsilon_m \left(\omega_0 \right) \right)}$$
 (15)

We present several numerical examples to validate the design procedure based on the circuit model approach. Specifically, we include examples for each of the four classes of filters discussed in the previous sections (i.e., low-pass, high-pass, band-pass and band-stop filters). Moreover, we implement three filters with different orders (i.e., N = 1, N = 2 and N = 3) for each of these classes of filters. The numerical results illustrated in Fig. 4 were obtained using the commercial software CST Microwave Studio. Time domain solver is adopted with automatic hexahedral meshing. We simulate infinite large slabs in the xy-plane by bounding the simulation domain with perfect electric conductors (PEC) in the xz-planes, and perfect magnetic conductors (PMC) in the yz-planes. Two waveguide ports are implemented with y-polarized uniform plane wave to measure the dispersion response using transmission coefficient, i.e., S21.

First, we start by designing three different (N = 1, 2, and 3) low-pass filters with cut-off frequency $f_{3dB} = 400$ THz formed by slabs with relative permittivity $\varepsilon_d = 10$. The thicknesses of the slabs calculated by means of the simple expression (10) are gathered in Table 1. The simulated performance is reported in Fig. 4(a), which depicts the frequency response of the transmission coefficient. The simulation results present a reasonable agreement with respect to the response that was expected from the circuital analysis. Specifically, the 3-dB cutoff frequency is 416 THz for the three filters, and the attenuation rate in the cut-off region increases with the order of the filter. Note that the cut-off frequency deviates only a 4% from its prescribed value, which is an excellent result in view of the simplicity of the design using Eq. (10).

Secondly, we transform this low-pass filter into a high-pass filter with cut-off frequency $f_{3dB} = 400$ THz. To this end, we make use of plasmonic slabs with plasma frequency 2000 THz, so that the relative permittivity at the cut-off frequency is $\varepsilon_m(\omega_{3dB}) = -24$. Again, the thicknesses of the slabs were calculated from Eq. (11) and are listed in the third column of Table 1. The simulated performance of the filters is shown in Fig. 4(b), where it is observed

that the 3-dB cutoff frequency is 405 THz (1.25% deviation from its original value). In consistency with the circuit model, increasing the order of the filters results in a larger transmission above the cut-off frequency, as well as a more acute attenuation below it.

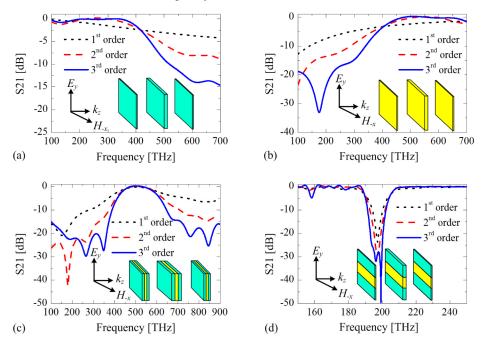


Fig. 4. Simulated performance of higher-order metatronic optical filters. Magnitude of the transmission coefficients (S_{21}) for 1st, 2nd, 3rd order optical filters (a) low-pass, (b) highpass, (c) band-pass, and (d) band-stop frequency response. The geometry of the filters is reported in Table 1. Bottom right insets depict a sketch of the geometry of the implementation of a 3rd order filter with layered structures.

Next, we design a band-pass filter with center frequency $f_0 = 500$ THz and fractional bandwidth $\Delta = 40\%$ ($f_1 = 410$ THz and $f_2 = 610$ THz). As anticipated, the parallel LC circuit units are formed with the combination of plasmonic ($\omega_p = 2\pi \times 5000$ rad/s, $\varepsilon_m(\omega_0) = -99$) and dielectric slabs ($\varepsilon_d = 50$). The dimensions of both slabs were calculated using Eqs. (12) and (13) and are included in Table 1. As shown in Fig. 4(c), the frequency response of the filters is characterized by clear band-pass centered at 506 THz. Naturally, the selectivity of the filter is improved as the order of the filter increases.

However, these results also enable us to identify a constraint in the design of band-pass filters. It is clear from Eq. (13) that the thicknesses of the overall LC units are inversely proportional to the fractional bandwidth Δ . Therefore, if the targeted Δ is very small, i.e., if we want to design a filter with a very narrow bandwidth, the thickness of each layer would become too large to behave as a lumped element and the response of the device would deviate from the predictions of the circuit model. That is why large absolute values of the relative permittivities were selected for the capacitive and inductive slabs in this example. One way to circumvent this constraint could be to use multi-layered structures, as the 'sandwiched' structure depicted in Fig. 4(c), in order to implement the required lumped elements (which also provides a "symmetric" structure). Another possibility to achieve a narrow band-pass response could be to cascade a high-pass filter with $f_{3dB} = f_1$ and a low-pass filter with $f_{3dB} = f_2$, where f_1 is the lower frequency edge and f_2 is the higher frequency edge of the passband [44].

To finalize, Fig. 4(d) represents the transmission properties of our design of a band-stop filter. The center frequency of the filter is set to $f_0 = 200$ THz, while the prescribed fractional

bandwidth is $\Delta=10\%$ ($f_I=190$ THz and $f_2=210$ THz). In this case, the series LC units are formed by using dielectric ($\varepsilon_d=10$) and plasmonic ($\omega_p=2\pi\times500$ rad/s, $\varepsilon_m(\omega_0)=-24$) materials. The corresponding dimensions of the material strips composing the series LC unit are shown in the fourth column of Table 1. Similar to the previous examples, the simulated performance agrees with the expected circuital response, i.e., the stop-band is centered at 197 THz and the attenuation rate increases along with the order of the filter. However, we can again identify a limitation in the design process. Specifically, it is clear from Eq. (15) that the thickness of the slab is directly proportional to the fractional bandwidth Δ , while it is inversely proportional to the center frequency ω_0 . Hence, the required thickness may become unphysically small if the required quality factor (Q) is very large, posing difficulties in the fabrication process.

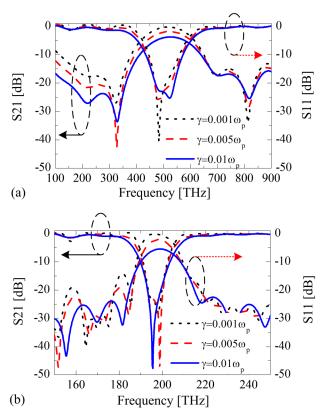


Fig. 5. Transmission and reflection coefficients for the 3rd –ordered filter, for different values of the collision frequency of the plasmonic layer, (a) bandpass filter, (b) bandstop filter.

In view of a future experimental verification of the results, we next study the impact of loss in the performance of the device by modeling the plasmonic material layers with a nonzero collision frequencies γ in the Drude dispersion profile $\varepsilon_m(\omega) = 1 - \omega_p^2 / (\omega^2 + i\omega\gamma)$.

A lossy plasmonic slab can be interpreted from a circuital point of view as a susceptance in parallel with a conductance proportional to the imaginary part of permittivity, which is related to the collision frequency. This parasitic conductance will of course limit the performance of the proposed devices, but it does not invalidate the design procedure. The resulting reflection and transmission coefficients of 3th-ordered bandpass and bandstop filters are depicted in Fig. 5(a) and 5(b), respectively. As it could be expected, the energy dissipation in the pass/stop band increases with larger γ , but the functionality of the filter is still preserved. We have also

simulated the multi-ordered band-pass filters for realistic materials, e.g., silver (Ag) [45] and silicon (Si) [46]. The simulation setup is identical to Fig. 4(c), and thickness of each layer is calculated using Eqs. (12) and (13), and listed in Table 2. As can be seen from the results in Fig. 6, indeed the functionality of our proposed design is preserved. From the results of reflection and transmission coefficients in Fig. 5 and 6, we point out that in the designs in the low-loss scenarios the signal in the stop band is mostly reflected, not dissipated. It is clear from the figure that the reflection coefficient is near 0 dB in the stop band for the lossless cases, in contrast with previous approaches [47–49], in which the signal is dissipated and the reflection is small.

Table 2. Tabulated coefficients and dimensions for the band-pass filters with realistic

materials, Ag [45] and Si [46].

Filter order	<i>g</i> _n (form [15])	Band-pass: $f_1 = 220 \text{ THz}, f_2 = 400 \text{ THz}$
1st	$g_1 = 2.000$	$a_1 = 51.5 \text{ nm}, r_1 = 0.2$
2nd	$g_I = 1.414$	$a_I = 36.5 \text{ nm}, r_I = 0.2$
	$g_2 = 1.414$	$a_2 = 36.5$ nm, $r_2 = 0.2$
3rd	$g_I = 1.000$	$a_1 = 25.7$ nm, $r_1 = 0.2$
	$g_2 = 2.000$	$a_2 = 51.5$ nm, $r_2 = 0.2$
	$g_3 = 1.000$	$a_3 = 25.7 \text{ nm } r_3 = 0.2$

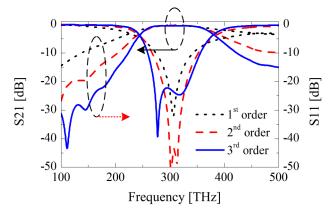


Fig. 6. Simulated reflection and transmission coefficients of the bandpass filter design with realistic materials, Ag and Silicon Si, for the configuration described in Table 2.

6. Conclusions

Our results demonstrate that optical metatronics enable the synthesis of desired frequency dispersion via the design of different higher-order optical filters by using the same tools and methods adopted in the design of electronic and microwave filters. The design of the filters is completely carried out by combining lumped circuit elements implemented as electrically thin slabs, and very simple design rules can be derived using metatronic concepts. After the design phase, the performance of the filters has been assessed using full-wave numerical simulations. Our results can be extrapolated to virtually any frequency dispersion synthesis and design procedure of electronic and microwave circuits and, hence, they pave the way to exciting possibilities in the design of composite materials with desired dispersion and highly functional and compact optical filters.

Funding and Acknowledgments

This work was supported in part by the US Air Force Office of Scientific Research (AFOSR) Multidisciplinary University Research Initiative (MURI) grant number FA9550-14-1-0389, in part by the National Natural Science Foundation of China under Contract 61301001 to Y. Li.